

# Modern Public Key Cryptography


## Commitments and Zero-Knowledge

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based on slides by Sebastian Ramacher and David Derler

Graz, April 28, 2021

# Outline

 Commitment Schemes

 Interactive Proofs

 Zero-Knowledge Proofs

# Commitment Schemes



## Commitments - Informal Idea

Imagine two parties  $A$  and  $B$

- $A$  makes some (secret) decision  $m$
- $A$  wants to later convince  $B$  that decision  $m$  was made
  - $A$  must not be able to change  $m$  later on
  - $B$  must not be able to learn anything about  $m$  before

Real world analogy

- $A$  writes  $m$  on a piece of paper,
  - puts it in a box and locks the box
- $A$  hands the locked box to  $B$ 
  - Later,  $A$  can give the key for the box to  $B$

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# Commitment Scheme

## Commitment Scheme

$Gen(1^\kappa)$ : This probabilistic algorithm on input of  $\kappa$ , outputs (public) parameters  $pp$ .

$Commit(pp, m)$ : This (probabilistic) algorithm on input  $pp$  and message  $m \in \mathcal{M}$ , outputs commitment  $C$  and opening information  $O$ .

$Open(pp, C, O)$ : This deterministic algorithm on input  $pp$   $C$  and  $O$  returns  $m \in \mathcal{M} \cup \{\perp\}$ .

- $pp$  may be generated by a trusted third party (TTP) or one of the parties

# Security

## Binding

- Recall:  $A$  must not be able to change  $m$  later on

More formally:  $\forall$  PPT  $\mathcal{A} \exists$  neglig.  $\epsilon(\cdot)$  such that

$$\Pr \left[ \begin{array}{l} \text{pp} \leftarrow \text{Gen}(1^\kappa), (C^*, O^*, O'^*) \leftarrow \mathcal{A}(\text{pp}), \\ m \leftarrow \text{Open}(\text{pp}, C^*, O^*), \\ m' \leftarrow \text{Open}(\text{pp}, C^*, O'^*) : \\ m \neq m' \wedge m \neq \perp \wedge m' \neq \perp \end{array} \right] \leq \epsilon(\kappa).$$

## Security II

### Hiding

- Recall:  $B$  must not be able to learn anything about  $m$

More formally:  $\forall$  PPT  $\mathcal{A} \exists$  neglig.  $\epsilon(\cdot)$  such that

$$\Pr \left[ \begin{array}{l} \text{pp} \leftarrow \text{Gen}(1^\kappa), (m_0, m_1, \text{state}) \leftarrow \mathcal{A}(\text{pp}), \\ b \xleftarrow{R} \{0, 1\}, C_b \leftarrow \text{Commit}(\text{pp}, m_b), \\ b^* \leftarrow \mathcal{A}(\text{state}, C_b) : b = b^* \end{array} \right] \leq \frac{1}{2} + \epsilon(\kappa).$$



# Discrete Log Commitment

## Scheme

$Gen(1^\kappa)$  : Set  $pp \leftarrow \mathcal{G}^\kappa = (\mathbb{G}, p, g)$  and return  $pp$ .

$Commit(pp, m)$  : Return  $C \leftarrow g^m, O \leftarrow m$

$Open(pp, C, O)$  : If  $C = g^m$  return  $m$  and  $\perp$  otherwise.

- Binding holds unconditional (only single  $m$  satisfies  $C = g^m$ )
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# Pedersen Commitment

## Scheme

$Gen(1^\kappa)$  : Choose  $\mathcal{G}^\kappa = (\mathbb{G}, p, g)$ ,  $h \xleftarrow{R} \mathbb{G}$  and return  $pp \leftarrow (\mathcal{G}^\kappa, h)$ .

$Commit(pp, m)$  : Choose  $r \xleftarrow{R} \mathbb{Z}_p$  and return  $C \leftarrow g^m h^r$ ,  $O \leftarrow (m, r)$

$Open(pp, C, O)$  : If  $C = g^m h^r$  return  $m$  and  $\perp$  otherwise.

- Binding holds under DL (recall first lecture & exercise)
- Hiding holds unconditional ( $\forall C \forall m \exists \text{unique } r : C = g^m h^r$ )
- Who can generate the  $pp$ ?

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## Unconditional vs. Computational Security

There is no scheme (in the classical setting) providing

- unconditional hiding and
- unconditional binding

at the same time.

Why? (Recall exercises.)

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# Commitments from Encryption Schemes

Assume an IND-CPA secure encryption scheme

- $\Pi = (Gen, Enc, Dec)$

## Commitment Scheme from $\Pi$

$Gen(1^\kappa)$  : Run  $(sk, pk) \leftarrow Gen(1^\kappa)$  and return  $pk$ .

$Commit(pp, m)$  : Randomly choose  $r$  and return  $C \leftarrow Enc(pk, m; r), O \leftarrow (m, r)$

$Open(pp, C, O)$  : If  $C = Enc(pk, m; r)$  return  $m$  and  $\perp$  otherwise.

## Commitments from Encryption Schemes II

- Binding follows from perfect correctness

- Correctness states

$$\forall (\text{sk}, \text{pk}) \leftarrow \text{Gen}(1^\kappa), \forall m : m = \text{Dec}(\text{sk}, \text{Enc}(\text{pk}, m))$$

- Breaking binding implies that

- $\text{Enc}(\text{pk}, m_0) = \text{Enc}(\text{pk}, m_1)$ , for a fixed  $\text{pk}$
- But then we have  $m_1 = \text{Dec}(\text{sk}, \text{Enc}(\text{pk}, m_0))$

- Hiding follows from IND-CPA security

- $\mathcal{A}$  who breaks hiding can be used to break IND-CPA



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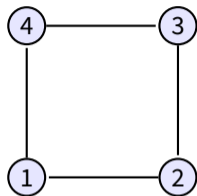
# Interactive Proofs



## Efficiently Verifiable Proofs

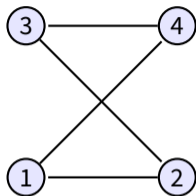
- $\mathcal{NP}$  is the set of decision problems
  - where valid instances have efficiently verifiable proofs
- For any such problem  $S$  there is a deterministic polynomial time verifier
  - such that for any instance  $x \in S$
  - there exists an algorithm (the prover) that provides a polynomial sized witness  $w$  ( $\mathcal{NP}$  witness)
  - such that the verifier accepts on input  $(x, w)$  iff  $x \in S$

## Example: Graph Isomorphism (GI)



$G_1$

$\cong$



$G_2$

- Two graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  are isomorphic if there is a bijection  $\pi : V_1 \mapsto V_2$  s.t.

$$\{u, v\} \in E_1 \iff \{\pi(u), \pi(v)\} \in E_2$$

- Language  $L_{GI} = \{(G_1, G_2) \mid G_1 \cong G_2\}$  is in  $\mathcal{NP}$  (witness  $\pi$ )

# Interactive Proofs

- What if we allow the verifier to adaptively ask the prover?
  - Does not give a benefit (we can define an equivalent non-interactive verifier that takes a transcript)
- Allow an interactive verifier to be probabilistic?
  - Gives more power - yields the class  $\mathcal{IP}$  ( $\mathcal{IP} = \text{PSPACE}$ )
- Consider game between computationally bounded verifier  $\mathcal{V}$  (PPT) and computationally unbounded prover  $\mathcal{P}$ 
  - Prover convinces the verifier of the validity of some assertion ( $x \in S$ )

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# Interactive Proofs: Formalization

## Interactive Proof System (IPS)

An IPS for a language  $L$  is an interactive protocol between an unrestricted prover  $\mathcal{P}$  and a PPT verifier  $\mathcal{V}$  such that on input  $x$  the following conditions hold:

**Completeness:**  $\forall x \in L: \Pr[(\mathcal{P}, \mathcal{V})(x) \text{ accepts}] = 1$

**Soundness:**  $\forall x \notin L, \forall \mathcal{P}^*: \Pr[(\mathcal{P}^*, \mathcal{V})(x) \text{ accepts}] \leq \frac{1}{2}$

- Perfect completeness (imperfect may have error probability)
- Interactive arguments: computational soundness ( $\mathcal{P}^*$  is PPT)
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## Example: Graph Non-Isomorphism (GNI)

- $L_{GNI} = \{(G_1, G_2) \mid |G_1| = |G_2|, G_1 \not\cong G_2\}$
- Unknown if  $L_{GNI} \in \mathcal{NP}$  (clearly in  $\text{co-}\mathcal{NP}$ ), but it is in  $\mathcal{IP}$

### IP for $L_{GNI}$

Let  $x = (G_1, G_2)$  be the common input

$\mathcal{V}$ : Pick  $i \xleftarrow{R} \{1, 2\}$ , randomly permute vertices of  $G_i$  and send to  $\mathcal{P}$

$\mathcal{P}$ : Find  $b \in \{1, 2\}$  s.t.  $G_i \cong G_b$  and send  $b$  (note that  $\mathcal{P}$  is unbounded)

$\mathcal{V}$ : Accept if  $b = i$

- If  $G_1 \not\cong G_2$ , any permutation of  $G_i$  uniquely determines  $i$
- If  $G_1 \cong G_2$ , distribution of  $G_i$  independent of  $i$

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- Does proving the validity of an assertion always require giving away extra knowledge?
  - No, captured by zero-knowledge
- No adversary can gain anything from a prover (beyond being convinced of the validity of an assertion)
- How to model this requirement?
  - All an adversarial verifier can learn from interacting with the prover can be learned based on the assertion itself
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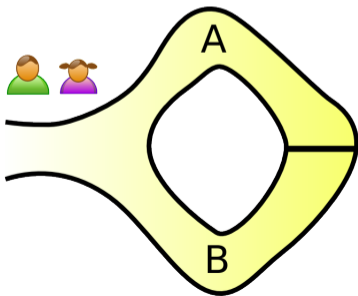
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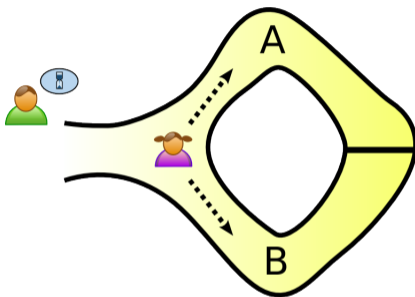


## Story of Ali Baba

- Alice knows a secret word to open a magic door in a cave
- Alice wants to convince Bob that she knows the secret
- But Alice does not want to reveal the secret word, nor for anyone else to find out about her skills (paparazzi)



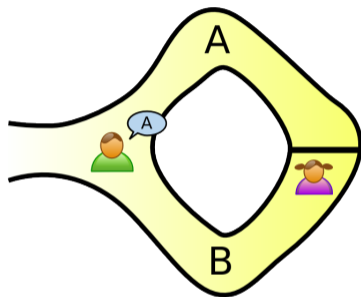
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<http://en.wikipedia.org/>

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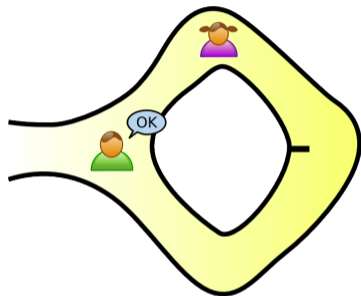
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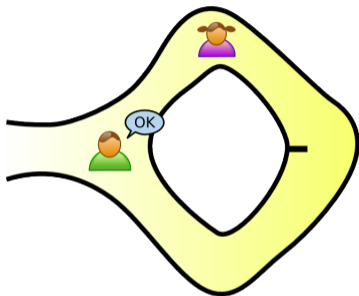
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# Zero-Knowledge Proofs: Formalization

## Perfect Zero-Knowledge

An IPS for a language  $L$  is said to provide perfect zero-knowledge, if for every PPT  $\mathcal{V}^*$  there exists a PPT simulator  $\mathcal{S}$  s.t.

$$(\mathcal{P}, \mathcal{V}^*)(x) \equiv \mathcal{S}(x), \text{ for every } x \in S$$

- Statistical ZK: distributions are statistically close
- Computational ZK: distributions cannot be told apart by efficient distinguishers - computationally indistinguishable

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# Zero-Knowledge Proofs: Formalization

- ZK
  - We do not know how  $\mathcal{V}^*$  exactly behaves
  - $\mathcal{S}$  needs to exist for arbitrary  $\mathcal{V}^*$
  - So, we consider black-box access to  $\mathcal{V}^*$  in the simulation
- Honest-verifier ZK
  - We assume  $\mathcal{V}^*$  behaves honestly
  - Consequently,  $\mathcal{S}$  ignores  $\mathcal{V}^*$  in the simulation



## Example: ZK Proof for GI

### ZKP for GI

Let the common input be a pair of graphs  $G_1 = (V_1, E_1)$  and  $G_2 = (V_2, E_2)$  and let  $\varphi$  be an arbitrary isomorphism between them

- $\mathcal{P}$ : Choose random permutation  $\pi$  and send  $G' = (V_2, E)$  with  $E = \{(\pi(u), \pi(v)) \mid \{u, v\} \in E_2\}$  to  $\mathcal{V}$  (if  $G_1 \cong G_2$  this graph is isomorphic to both)
- $\mathcal{V}$ : Choose  $b \xleftarrow{R} \{1, 2\}$  and ask  $\mathcal{P}$  to reveal an isomorphism between  $G'$  and  $G_b$
- $\mathcal{P}$ : If  $b = 2$  send  $\psi \leftarrow \pi$ , otherwise send  $\psi \leftarrow \pi \circ \varphi$  to  $\mathcal{V}$
- $\mathcal{V}$ : If received  $\psi$  is isomorphism between  $G'$  and  $G_b$  output accept and reject otherwise

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- The GI protocol is **honest-verifier** ZK
  - $\mathcal{S}$  chooses  $b$  and  $\psi$  uniformly at random and outputs  $(G', b, \psi)$  with  $G'$  being  $\psi$  applied to  $G_b$
- The GI protocol is **perfect** ZK
  - Let  $b^*$  be the random choice of  $\mathcal{V}^*$
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  - If  $b^* \neq b$ ,  $\mathcal{S}$  restarts, otherwise output  $(G', b, \psi)$
  - Output of  $\mathcal{S}$  is perfectly indistinguishable from real (note  $b^*$  is independent of  $b$ ) and we expect a valid transcript every two runs (poly time  $\mathcal{S}$ )

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## Zero-Knowledge for $\mathcal{NP}$

- ZK proofs exist for all  $L \in \mathcal{NP}$
- Recall  $\mathcal{NP}$ -completeness
  - A problem is  $\mathcal{NP}$  complete if it is in  $\mathcal{NP}$
  - and every problem in  $\mathcal{NP}$  is poly time reducible to it
- ZK proof for  $\mathcal{NP}$ -complete language  $\mathbf{L}$  (e.g., graph 3-coloring)
  - Reduce  $L$  to  $\mathbf{L}$  (and the witness)
  - Run ZK proof for  $\mathbf{L}$

# Proofs of Knowledge

- ZKPs only interested in the validity of the assertion itself
- Proofs of knowledge (PoKs) capture IPs where  $\mathcal{P}$  asserts knowledge of some object (e.g., a particular isomorphism)
- What does it mean for a machine  $M$  to know something?
  - There exists an efficient machine  $\mathcal{E}$ , which, given black-box access to  $M$  can extract  $M$ 's "knowledge" (a string)
- PoK: Whenever there is a  $\mathcal{P}^*$  that convinces  $\mathcal{V}$  to know something, we can extract this string from  $\mathcal{P}^*$
- Stronger notion of soundness

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## Proofs of Knowledge: Formalization

- Consider an  $\mathcal{NP}$  relation  $R = \{(x, w) \mid W(x, w) = \text{accept}\}$  where  $W$  is a PT algorithm deciding membership in  $R$
- We can write  $L_R = \{x \mid \exists w \text{ s.t. } (x, w) \in R\}$

### Proof of Knowledge (PoK)

Let  $(\mathcal{P}, \mathcal{V})$  be an IPS for  $L_R$ . Then,  $(\mathcal{P}, \mathcal{V})$  is a PoK with knowledge error  $\rho$  if there exists a PPT knowledge extractor  $\mathcal{E}$  such that for any  $x \in L_R$  and any PPT  $\mathcal{P}^*$  with  $\delta = \Pr[(\mathcal{P}^*, \mathcal{V})(x) \text{ accepts}] > \rho$ , we have that

$$\Pr[w \leftarrow \mathcal{E}^{\mathcal{P}^*}(x) : R(x, w) = \text{accept}] \geq \text{poly}(\delta - \rho)$$



# Proofs of Knowledge: Formalization

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## Some Notes

- Non-interactive ZK (Single message)
  - In the common reference string model
  - General constructions very inefficient
- Witness indistinguishability (Relaxation of ZK)
  - For  $\mathcal{NP}$  relation  $R$  no  $\mathcal{V}^*$  can distinguish if  $\mathcal{P}$  uses witness  $w_1$  or  $w_2$  to  $x$  with  $(x, w_i) \in R$  for  $i \in \{1, 2\}$
- Public coin (e.g., GI) vs. private coin (e.g., GNI - our version is not ZK - but a slightly modified one)
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Questions?

# Further Reading I

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