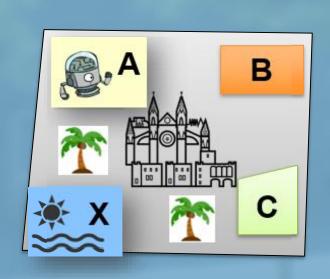
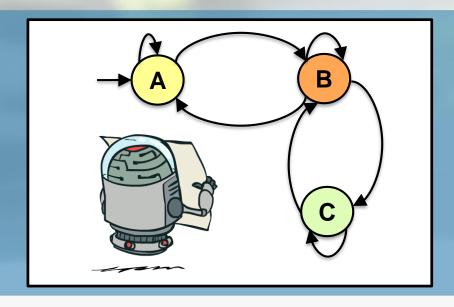


# **Automata and LTL Model Checking**

Bettina Könighofer





Model Checking SS23

May 11th 2023





#### **Model Checking of LTL**

given an LTL property  $\varphi$  and a Kripke structure M check whether  $M \models \varphi$ 

- Construct  $\neg \varphi$
- Construct a Büchi automaton  $S_{\neg \omega}$
- Translate M to an automaton  $\mathcal{A}$ .
- 4. Construct the automaton  $\mathcal{B}$  with  $\mathcal{L}(\mathcal{B}) = \mathcal{L}(\mathcal{A}) \cap \mathcal{L}(\mathcal{S}_{\neg \omega})$
- If  $\mathcal{L}(\mathcal{B}) = \emptyset \Rightarrow \mathcal{A}$  satisfies  $\varphi$
- 6. Otherwise, a word  $v \cdot w^{\omega} \in \mathcal{L}(\mathcal{B})$  is a counterexample
  - a computation in M that does not satisfy  $\varphi$





#### **Outline**

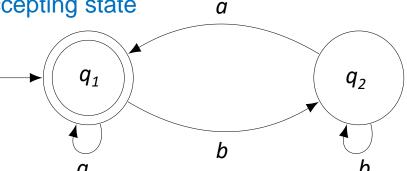
- Finite automata on finite words
- Automata on infinite words (Büchi automata)
- Deterministic vs non-deterministic Büchi automata
- Intersection of Büchi automata
- Checking emptiness of Büchi automata
- Generalized Büchi automata
- Model checking using automata
- Translation of LTL to Büchi automata





# Finite Automata on Finite Words Regular Automata

- Σ is the finite alphabet
- Q is the finite set of states
- $\Delta \subseteq \mathbf{Q} \times \mathbf{\Sigma} \times \mathbf{Q}$  is the transition relation
- Q<sup>0</sup> is the set of initial states
- F is the set of accepting states
  - A accepts a word if there is a corresponding run ending in an accepting state



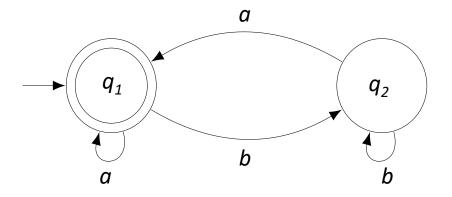




# Finite Automata on Finite Words

#### Regular Automata

- Example:  $\mathcal{A} = (\Sigma, \mathbf{Q}, \Delta, \mathbf{Q}^0, \mathbf{F})$
- $\bullet \quad \mathbf{\Sigma} = \{a, b\}$
- $\mathbf{Q} = \{q_1, q_2\}$
- $\mathbf{Q}^0 = \{q_1\}$
- $\mathbf{F} = \{q_1\}$





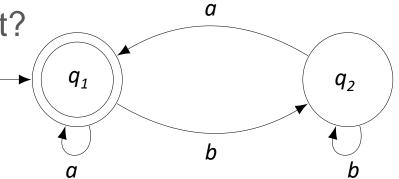


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What words does it accept?





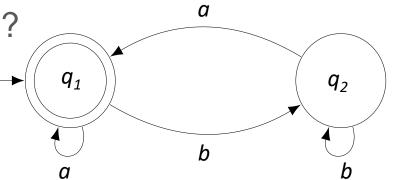


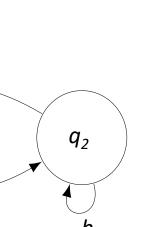
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- $\mathbf{Q}^0 = \{q_1\}$
- $\mathbf{F} = \{q_1\}$
- What words does it accept?

$$\mathcal{L}(\mathcal{A}) = \{ \text{the empty word} \} \cup \{ \text{all words that end with a} \}$$
  
=  $\{ \epsilon \} \cup \{ a,b \}^* a$ 











# Finite Automata on Finite Words Regular Automata



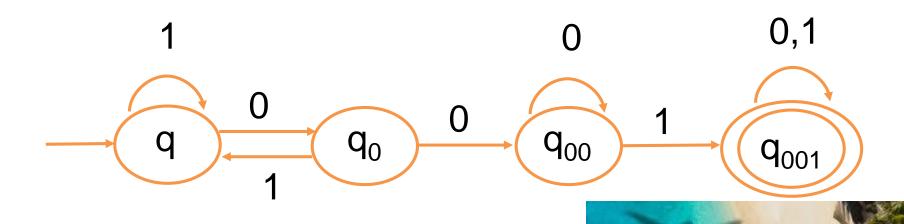
Build an automaton that accepts all and only those strings that contain 001





# Finite Automata on Finite Words Regular Automata

Build an automaton that accepts all and only those strings that contain 001







- Given a word  $v=a_1,a_2,...,a_n$  and automaton  $\mathcal{A}$
- A run  $\rho = q_0, q_1, \dots q_n$  of  $\mathcal{A}$  over v is a sequence of states s.t.
  - $q_0 \in \mathbf{Q}^0$
  - for all  $0 \le i \le n-1$ ,  $(q_i, a_{i+1}, q_{i+1}) \in \Delta$
  - $\rightarrow \rho$  is a path in the graph of  $\mathcal{A}$ .





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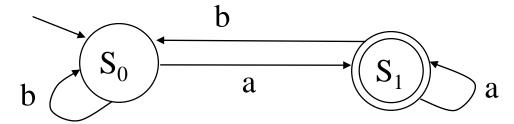
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  - $\rightarrow \rho$  is a path in the graph of  $\mathcal{A}$ .
- A run is accepting ⇔ q<sub>n</sub> ∈ F
- Language of A
  - $\mathcal{L}(\mathcal{A}) \subseteq \Sigma^*$ , is the set of words that  $\mathcal{A}$  accepts.
- Languages accepted by finite automata are regular languages.





#### Deterministic & Non-Deterministic Automata

- $\mathcal{A}$  is deterministic if  $\Delta$  is a function (one output for each input).
  - $|\mathbf{Q}^0| = 1$ , and
  - $\forall q \in \mathbf{Q} \ \forall a \in \Sigma : |\Delta(q,a)| \le 1$
- Det. automata have exactly one run for each word.

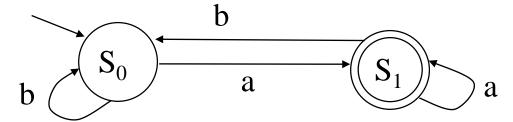




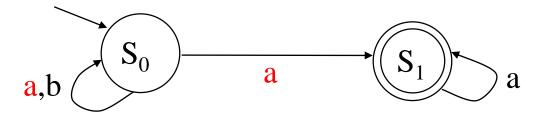


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- Non-det, automata
  - Can have ε-transitions (transitions without a letter)
  - Can have transitions (q,a,q'),(q,a,q")∈ ∆ and q"≠q'

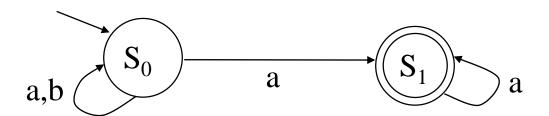




# Nondeterministic Finite Automata (NFA)

NFA accepts all words that have a run that ends in an accepting state

What is the language of this automaton?



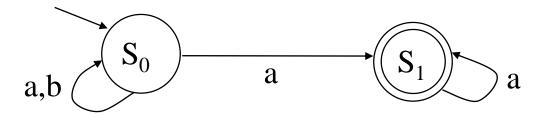




# Nondeterministic Finite Automata (NFA)

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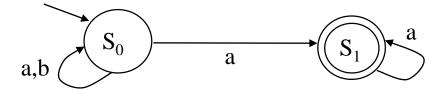


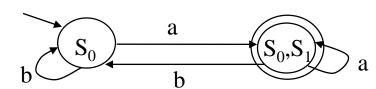
- Every NFA can be transformed to DFA.
- Subset-Construction (exponential blow-up)
  - NFA:  $\mathcal{A} = (\Sigma, \mathbf{Q}, \Delta, \mathbf{Q}^0, \mathbf{F})$
  - DFA:  $\mathcal{A}' = (\Sigma, P(\mathbf{Q}), \Delta', \{\mathbf{Q}^0\}, \mathbf{F}')$  such that
    - $\Delta': P(Q) \times \Sigma \to P(Q)$  where  $(Q_1, a, Q_2) \in \Delta'$  if

$$Q_2 = \bigcup_{q \in Q_1} \{q' | (q, a, q') \in \Delta\}$$

•  $F' = \{Q' | Q' \cap F \neq \emptyset\}$ 

#### Non-deterministic automaton $\mathcal{A}$







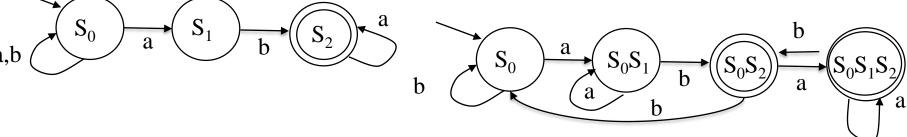


- Compute the equivalent DFA
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Non-deterministic automaton  $\mathcal{A}$ 







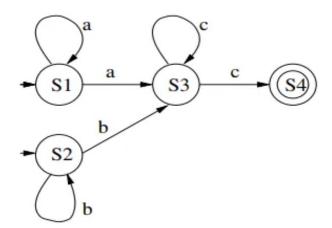
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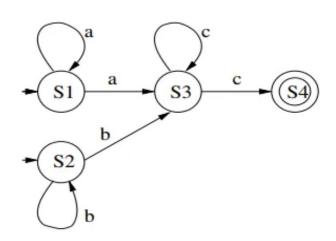
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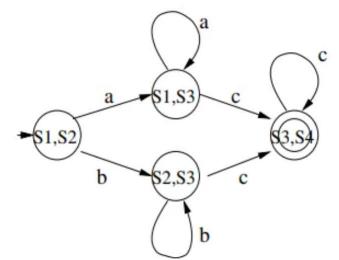
$$Q_2 = \bigcup_{q \in Q_1} \{q' | (q, a, q') \in \Delta\}$$

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#### Non-deterministic automaton A





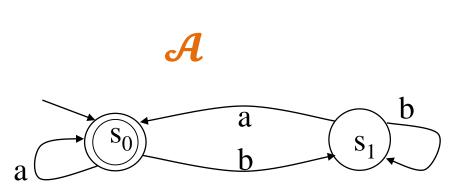


#### Complement of DFA

 The complement automaton A accepts exactly those words that are rejected by A



How do we construct  $\overline{\mathcal{A}}$ ?





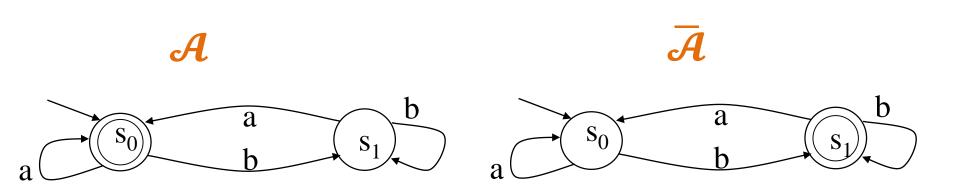




#### Complement of DFA

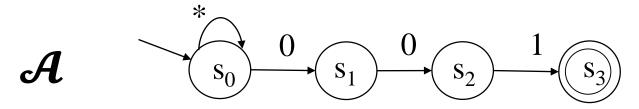


- The complement automaton A accepts exactly those words that are rejected by A
- Construction of  $\overline{\mathcal{A}}$ 
  - Substitution of accepting and non-accepting states

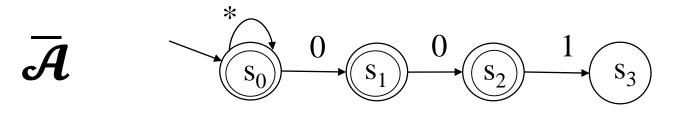




#### Consider NFA that accepts words that end with 001



Let's try switching accepting and non-accepting states:

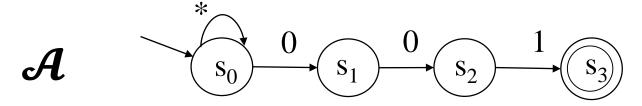




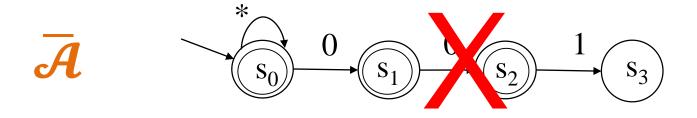
Is  $\overline{\mathcal{A}}$  the complement of  $\mathcal{A}$ ?



#### Consider NFA that accepts words that end with 001



Let's try switching accepting and non-accepting states:



The language of this automaton is {0,1}\* - this is wrong!



#### Complement of NFA



- The complement automaton A accepts exactly those words that are rejected by A
- Construction of  $\overline{\mathcal{A}}$



#### Complement of NFA



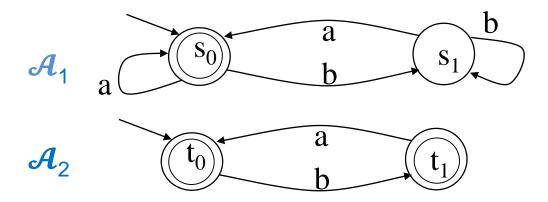
- The complement automaton A accepts exactly those words that are rejected by A
- Construction of  $\overline{\mathcal{A}}$ 
  - 1. Determinization: Convert NFA to DFA
  - 2. Substitution of accepting and non-accepting states





- Given two languages,  $L_1$  and  $L_2$ , the intersection of  $L_1$  and  $L_2$  is  $L_1 \cap L_2 = \{ w \mid w \in L_1 \text{ and } w \in L_2 \}$
- Product automaton of  $\mathcal{A} = \mathcal{A}_1 \times \mathcal{A}_2$  has  $L(\mathcal{A}) = L(\mathcal{A}_1) \cap L(\mathcal{A}_2)$

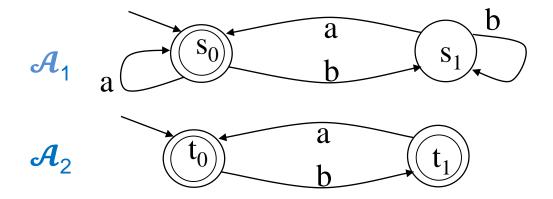




$$\mathcal{A} = \mathcal{A}_1 \times \mathcal{A}_2$$

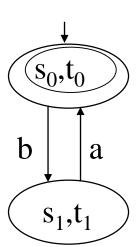
- 1. States:  $(s_0,t_0)$ ,  $(s_0,t_1)$ ,  $(s_1,t_0)$ ,  $(s_1,t_1)$ .
- 2. Initial state:  $(s_0,t_0)$ .
- 3. Accepting states:  $(s_0,t_0)$ ,  $(s_0,t_1)$ .





$$\mathcal{A} = \mathcal{A}_1 \times \mathcal{A}_2$$

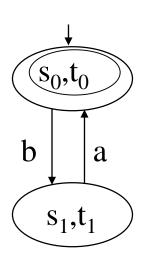
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- Product automaton of  $\mathcal{A} = \mathcal{A}_1 \times \mathcal{A}_2$  has  $L(\mathcal{A}) = L(\mathcal{A}_1) \cap L(\mathcal{A}_2)$ 
  - $Q = Q_1 \times Q_2$  (Cartesian product),

  - $q_0 = (q_{01}, q_{02})$
  - $(q_1, q_2) \in F \text{ iff } q_1 \in F_1 \text{ and } q_2 \in F_2$







#### **Outline**

- Finite automata on finite words
- Automata on infinite words (Büchi automata)
- Deterministic vs non-deterministic Büchi automata
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#### Automata on Infinite Words (Büchi)

$$\mathcal{B} = (\mathbf{\Sigma}, \mathbf{Q}, \mathbf{\Delta}, \mathbf{Q}^0, \mathbf{F})$$

- An infinite run  $\rho$  is accepting  $\Leftrightarrow$  it visits an accepting state an infinite number of times.
  - $\inf(\rho) \cap F \neq \emptyset$
- $\mathcal{L}(\mathcal{B}) \subseteq \Sigma^{\omega}$  is the set of all infinite words that  $\mathcal{B}$  accepts
- Languages accepted by finite automata on infinite words are called ω-regular languages.



34



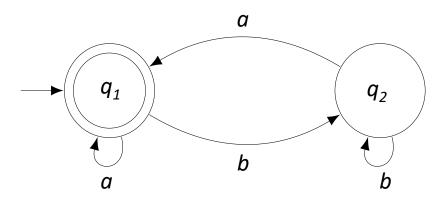
#### Automata on **Infinite** Words (Büchi)

$$\mathcal{B} = (\mathbf{\Sigma}, \mathbf{Q}, \mathbf{\Delta}, \mathbf{Q}^0, \mathbf{F})$$

•  $\rho$  is accepting  $\Leftrightarrow$  inf( $\rho$ )  $\cap$   $\mathbf{F} \neq \emptyset$ 



What is the language of this automaton?







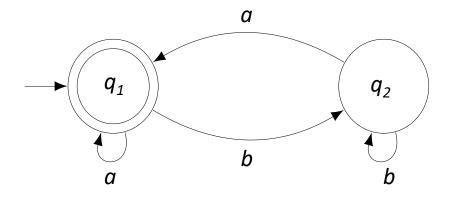
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Language of Büchi Automaton B



$$\mathcal{L}(\mathcal{B}) = \{ \text{words with an} \\ \text{Infinite number of a's} \}$$
or
$$\mathcal{L}(\mathcal{B}) = (\{a,b\}^*a)^{\omega}$$



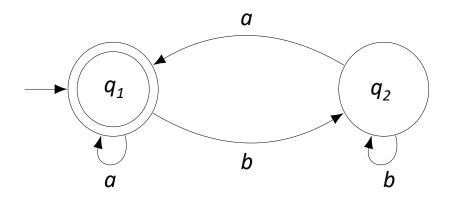


## Automata on Infinite Words (Büchi)

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- Language of Büchi Automaton B

#### Can you express it in LTL?



$$\mathcal{L}(\mathcal{B}) = \{ \text{words with an} \\ \text{Infinite number of a's} \}$$
or
$$\mathcal{L}(\mathcal{B}) = (\{a,b\}^*a)^{\omega}$$

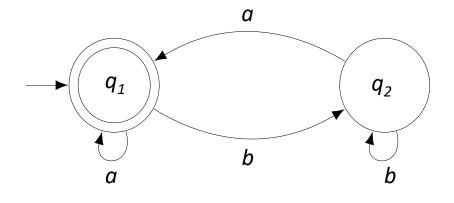


# Automata on Infinite Words (Büchi)

$$\mathcal{B} = (\mathbf{\Sigma}, \mathbf{Q}, \mathbf{\Delta}, \mathbf{Q}^0, \mathbf{F})$$

- $\rho$  is accepting  $\Leftrightarrow$  inf( $\rho$ )  $\cap$   $\mathbf{F} \neq \emptyset$
- Language of Büchi Automaton B





 $\mathcal{L}(\mathcal{B}) = \{ \text{words with an} \}$ Infinite number of a's or  $\mathcal{L}(\mathcal{B}) = (\{a,b\}^*a)^{\omega}$ In LTL: GF(a)



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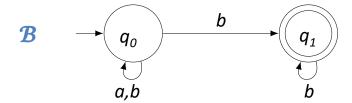


- Deterministic Büchi automata are strictly less expressive than nondeterministic ones.
  - That is, not every nondeterministic Büchi automaton has an equivalent deterministic Büchi one.



Theorem: There exists a non-deterministic Büchi automaton **B** for which there is no equivalent deterministic one.

Consider **B** below. What is its language? (Also in LTL)

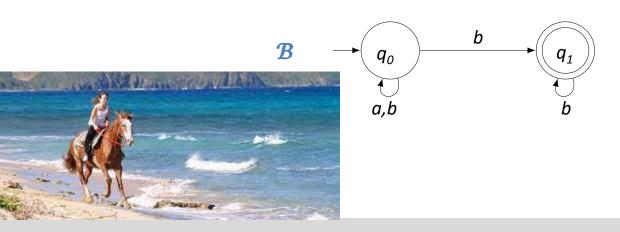






**Theorem:** There exists a non-deterministic Büchi automaton **B** for which there is no equivalent deterministic one.

Consider **B** below. What is its language?



 $\mathcal{L}(\mathcal{B}) = \{ \text{words with a} \}$  **finite** number of a's or  $\mathcal{L}(\mathcal{B}) = \{ a,b \}^* b^{\omega}$ 

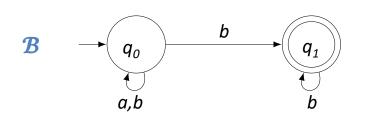
In LTL:
FG¬a or FGb





**Theorem:** There exists a non-deterministic Büchi automaton **B** for which there is no equivalent deterministic one.

Proof: The proof shows that there is no det. Büchi Automaton for "finitely many". Detailed proof see book.



$$\mathcal{L}(\mathcal{B}) = \{ \text{words with a} \}$$
  
finite number of a's or  
 $\mathcal{L}(\mathcal{B}) = \{ a,b \}^* b^{\omega}$ 

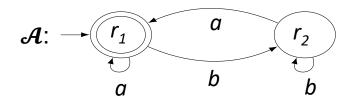
In LTL:
FG—a or FGb



<u>Lemma 2</u>: Deterministic Büchi automata are not closed under complementation.

# Proof: Do

Why? Hint: Automata below



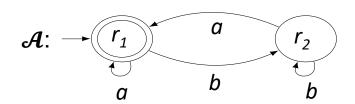


<u>Lemma 2</u>: Deterministic Büchi automata are **not** closed under complementation.

#### **Proof**:

- Consider the language  $\mathcal{L} = \{ words with infinitely many a's \}.$
- Construct a deterministic Büchi automaton  $\mathcal{A}$  that accepts  $\mathcal{L}$ .
- Its complement is L'={words with finitely many a's}, for which there is no deterministic Büchi automaton (see Theorem). □









Theorem: Nondeterministic Büchi automata are closed under complementation.

- The construction is very complicated. We will not see it here.
- Originally Büchi showed an algorithm for complementation that is double exponential in the size n of the automaton
- Mooly Safra (Tel-Aviv University) proved that it can be done by 20(n log n)

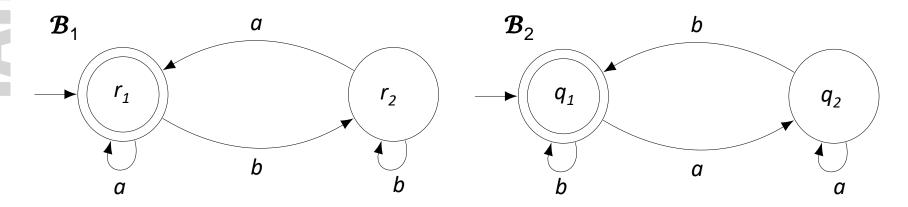


# IIAIK

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- Intersection of Büchi automata
- Checking emptiness of Büchi automata
- Generalized Büchi automata
- Model checking using automata



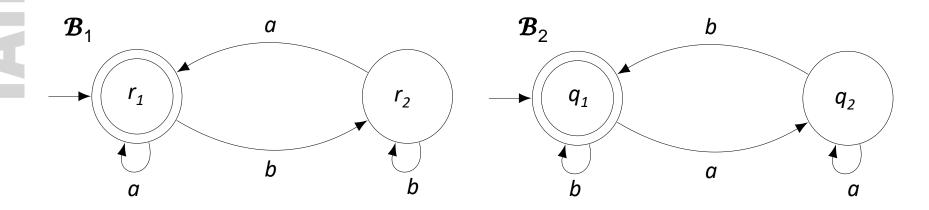




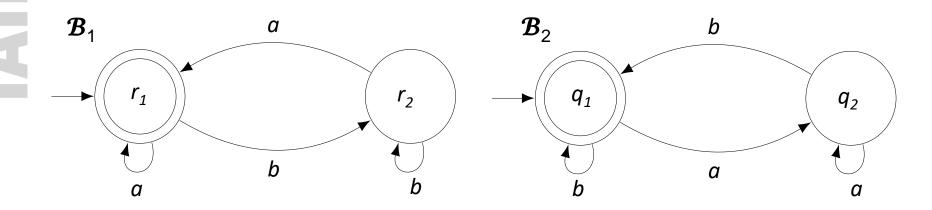
• What is  $\mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2)$ ?







•  $\mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2) =$  {words with an infinite number of a's and infinite number of b's} (not empty)



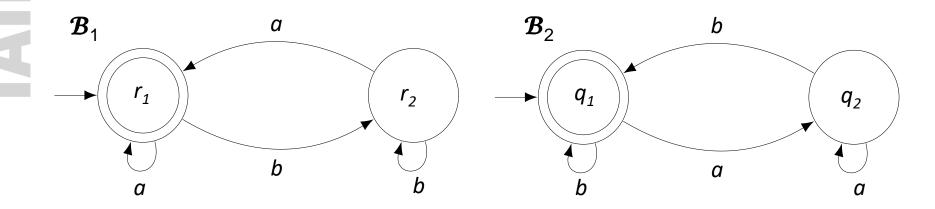
•  $\mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2) =$  {words with an infinite number of a's and infinite number of b's}



What do you get if you build the standard intersection?







- $\mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2) =$  {words with an infinite number of a's and infinite number of b's}
- A standard intersection does not work the automaton will not have any accepting states!
- Solution: Introduce counter!







- Given  $\mathcal{B}_1 = (\Sigma, \mathbf{Q}_1, \Delta_1, \mathbf{Q}_1^0, \mathbf{F}_1)$  and  $\mathcal{B}_2 = (\Sigma, \mathbf{Q}_2, \Delta_2, \mathbf{Q}_2^0, \mathbf{F}_2)$
- $\mathcal{B} = (\Sigma, \mathbb{Q}, \Delta, \mathbb{Q}^0, \mathbb{F})$  s.t.  $\mathcal{L}(\mathcal{B}) = \mathcal{L}(\mathcal{B}_1) \cap \mathcal{L}(\mathcal{B}_2)$  is defined as follows:
  - $Q = Q_1 \times Q_2 \times \{0, 1, 2\}$
  - $\mathbf{Q}^0 = \mathbf{Q}_1^0 \times \mathbf{Q}_2^0 \times \{\mathbf{0}\}$
  - $\mathbf{F} = \mathbf{Q}_1 \times \mathbf{Q}_2 \times \{2\}$





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((q_1,q_2,x), a, (q'_1,q'_2,x')) \in \Delta \Leftrightarrow
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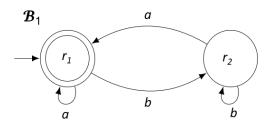
- (1)  $(q_1,a,q_1) \in \Delta_1$  and  $(q_2,a,q_2) \in \Delta_2$  and
- (2) If x=0 and  $q'_1 \in F_1$  then x'=1If x=1 and  $q'_2 \in F_2$  then x'=2If x=2 then x'=0Else, x'=x

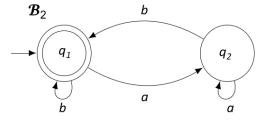
Explanation: x=0 is waiting for an accepting state from  $\mathbf{F}_1$  x=1 is waiting for an accepting state from  $\mathbf{F}_2$ 





- The first copy waits for an accepting state of B<sub>1</sub>
- The second copy waits for an accepting state of B<sub>2</sub>
- All states in the third copy are accepting
- Only the reachable states are drawn

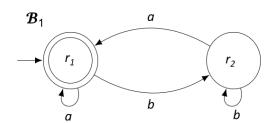


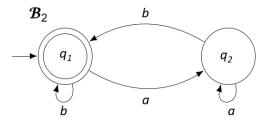


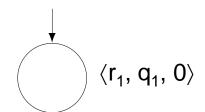




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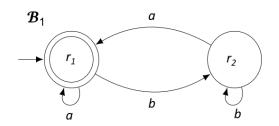


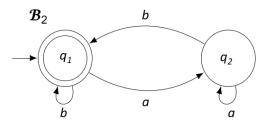


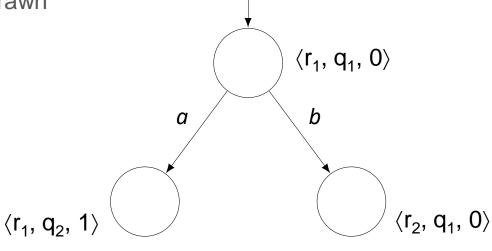




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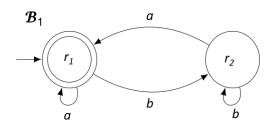


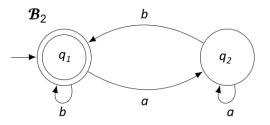


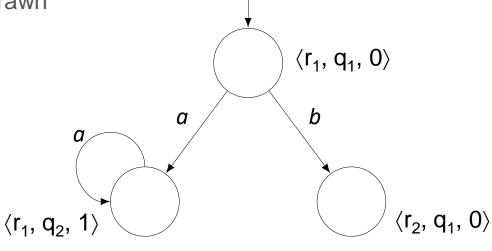




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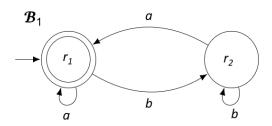


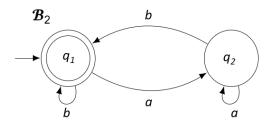


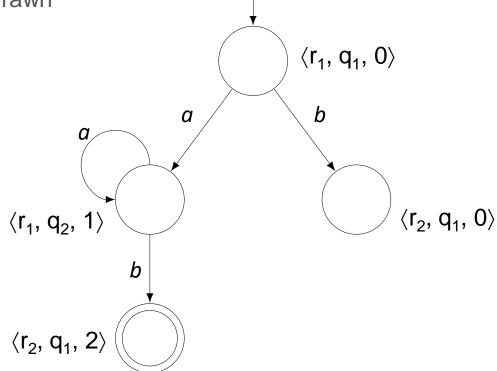




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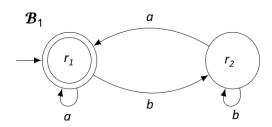


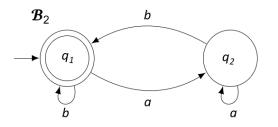


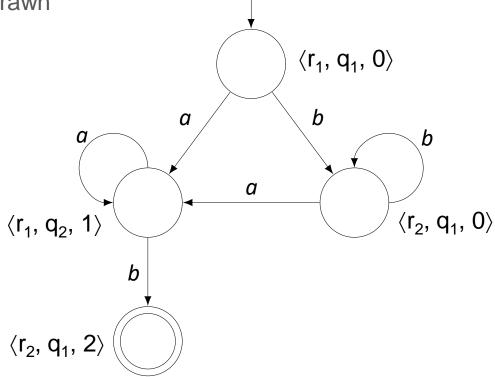




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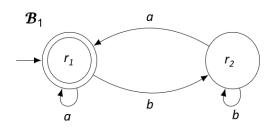


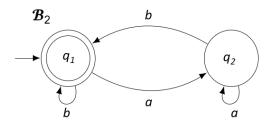


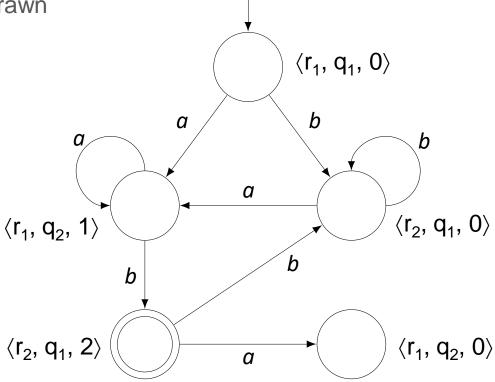




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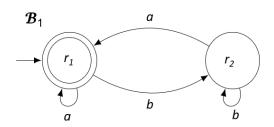


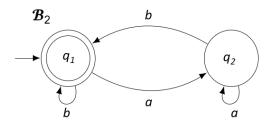


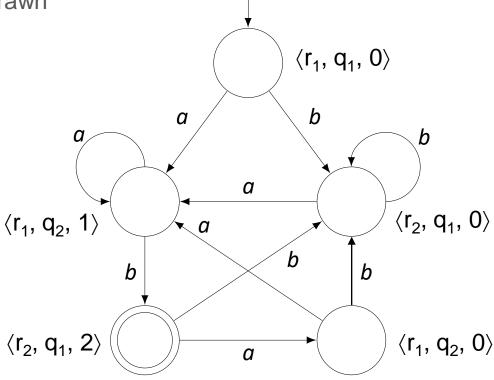




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- Question
  - How do we define the transition relation for B, if x is over {0,1} only?

```
With x over \{0,1,2\} we had:  \begin{aligned} &((q_1,q_2,x),\ a,\ (q'_1,q'_2,x'))\in\Delta\iff\\ &(1)\ (q_1,a,q'_1)\in\Delta_1\ \text{ and }\ (q_2,a,q'_2)\in\Delta_2\ \text{ and}\\ &(2)\ \text{ If }x=0\ \text{and }\ q'_1\in F_1\ \text{ then }x'=1\\ &\text{ If }x=1\ \text{and }\ q'_2\in F_2\ \text{ then }x'=2\\ &\text{ If }x=2\ \text{ then }x'=0\\ &\text{ Else, }x'=x \end{aligned}
```





#### Question

- How do we define the transition relation for B, if x is over {0,1} only?
- Answer
  - For Δ
    - (2) If x=0 and q<sub>1</sub>∈ F<sub>1</sub> then x'=1
       If x=1 and q<sub>2</sub>∈ F<sub>2</sub> then x'=0
       Else, x'=x
  - For F
    - $\mathbf{F} = \mathbf{F}_1 \times \mathbf{Q}_2 \times \{0\}$









- Question
  - In every interval we first wait for  $\mathbf{F}_1$  and then wait for  $\mathbf{F}_2$ .
  - We ignore accepting states that don't appear in this order.
  - Might we miss accepting paths in B?





#### Question

- In every interval we first wait for  $\mathbf{F}_1$  and then wait for  $\mathbf{F}_2$ .
- We ignore accepting states that don't appear in this order.
- Might we miss accepting paths in B?

#### Answer

 No. Since on an accepting path there are infinitely many of those, ignoring finite number of them in each interval will still lead us to the conclusion that the run is accepting







#### **Outline**

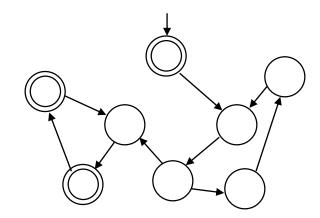
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# Checking for emptiness of $\mathcal{L}(\mathcal{B})$

- An infinite run  $\rho$  is accepting  $\Leftrightarrow$  it visits an accepting state an infinite number of times.
  - $\inf(\rho) \cap F \neq \emptyset$

How to check for  $L(A) = \emptyset$ ?

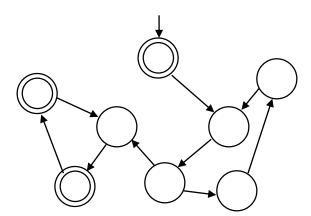




# Checking for emptiness of $\mathcal{L}(\mathcal{B})$

- An infinite run  $\rho$  is accepting  $\Leftrightarrow$  it visits an accepting state an infinite number of times.
  - $\inf(\rho) \cap F \neq \emptyset$
- How to check for  $L(A) = \emptyset$ ?
- Empty if there is no reachable accepting state on a cycle.







# Non-emptiness ⇔ Existence of reachable accepting cycles

- $\mathcal{L}(\mathcal{B})$  is nonempty  $\Leftrightarrow$
- The graph induced by  $\mathcal{B}$  contains a path from an initial state of  $\mathcal{B}$  to a state  $\mathbf{t} \in \mathbf{F}$  and a path from  $\mathbf{t}$  back to itself.

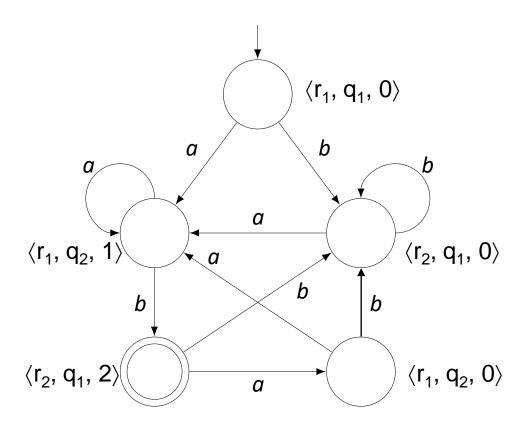




# Example



• Is the language  $\mathcal{L}(\mathcal{B})$  empty?





## Example

 $\langle r_2, q_1, 2 \rangle$  is accepting and reachable from  $\langle r_1, q_1, 0 \rangle$  and reachable from itself

