

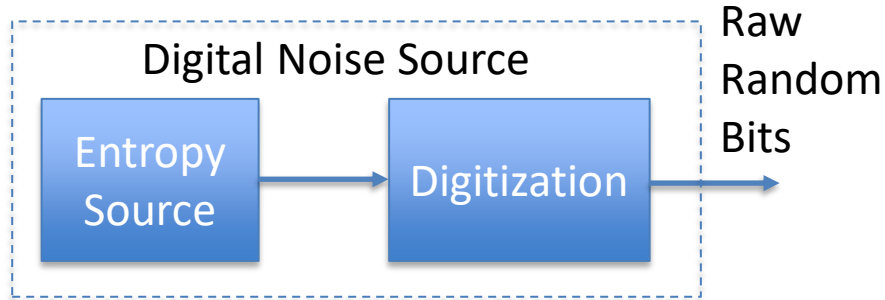
# Postprocessing of Raw TRNG Bits

October 2021

Sujoy Sinha Roy

[sujoy.sinharoy@iaik.tugraz.at](mailto:sujoy.sinharoy@iaik.tugraz.at)





Raw random numbers produced in this way **are generally not IID**, i.e., independent and identically distributed.

- Bits are biased
- and contain correlation

**Could we mitigate or remove statistical defects in raw random data?**

# Postprocessing (conditioning) of Raw Random Bits

‘Postprocessing’ is an application of a deterministic algorithm to removes or mitigates statistical defects from TRNG-produced raw random data (which contains defects).

- Increases randomness per bit by performing data compression.
- Some entropy is always lost due to data compression
- It doesn't produce any ‘new’ randomness

# Postprocessing (conditioning) of Raw Random Bits

'Postprocessing' is an application of a deterministic algorithm to removes or mitigates statistical defects from TRNG-produced raw random data (which contains defects).

- Increases randomness per bit by performing data compression.
- Some entropy is always lost due to data compression
- It doesn't produce any 'new' randomness

There are two ways of postprocessing raw random bits:

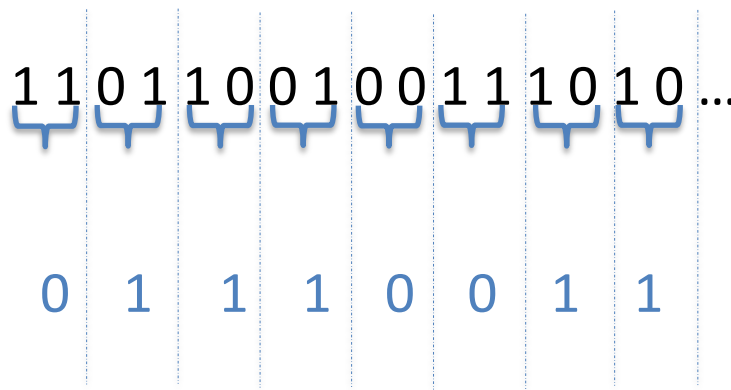
1. Arithmetic postprocessing → do not rely on cryptographic primitives
2. Cryptographic postprocessing → rely on cryptographic primitives

# Arithmetic postprocessing: Parity filter or XOR processing (1)

- Raw random bits are split into blocks of length  $n_f$  bits and
- Then the bits within each chunk are XORed

Example:

Raw bit sequence: 1 1 0 1 1 0 0 1 0 0 1 1 1 0 1 0 ...



with  $n_f = 2$

XORed bit sequence: 0 1 1 1 0 0 1 1



## Arithmetic postprocessing: Parity filter or XOR processing (2)

- Raw random bits are split into blocks of length  $n_f$  bits and
- Then the bits within each chunk are XORed

Example:

Raw bit sequence: 1 1 0 1 1 0 0 1 0 0 1 1 1 0 1 0 ...

with  $n_f = 2$

XORed bit sequence: 0 1 1 1 0 0 1 1

Data compression factor is  $n_f$ .

If the raw data has a bias  $\epsilon_{raw}$

then the postprocessed data has a bias:  $\epsilon = 2^{n_f-1} \epsilon_{raw}^{n_f}$

# Arithmetic postprocessing: Von Neuman Processing (1)

This method removes bias completely.

Steps:

1. Partition the input bit string into 2-bit blocks.
2. Discard all '00' and '11' blocks.
3. If a block is '01' then the output bit is 1; If a block is '10' then the output bit is 0.

Example:

Raw bit sequence: 1 1 0 1 1 0 0 1 0 0 1 1 1 0 1 0 ...

✗ ✗ ✗

Output bit sequence: - 1 0 1 - - 0 0

## Arithmetic postprocessing: Von Neuman Processing (2)

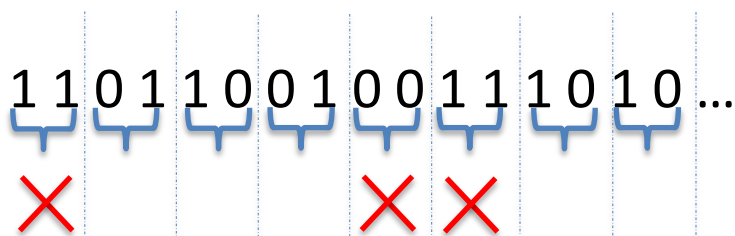
This method removes bias completely.

Steps:

1. Partition the input bit string into 2-bit blocks.
2. Discard all '00' and '11' blocks.
3. If a block is '01' then the output bit is 1; If a block is '10' then the output bit is 0.

Example:

Raw bit sequence: 1 1 0 1 1 0 0 1 0 0 1 1 1 0 1 0 ...



Output bit sequence: - 1 0 1 - - 0 0

Output is produced at a variable rate.

If input has a throughput  $T_{in}$  then the average throughput of output is  $T_{in} \cdot p_1 \cdot (1 - p_1)$ .



# Arithmetic postprocessing: Resilient Function [SMS07]

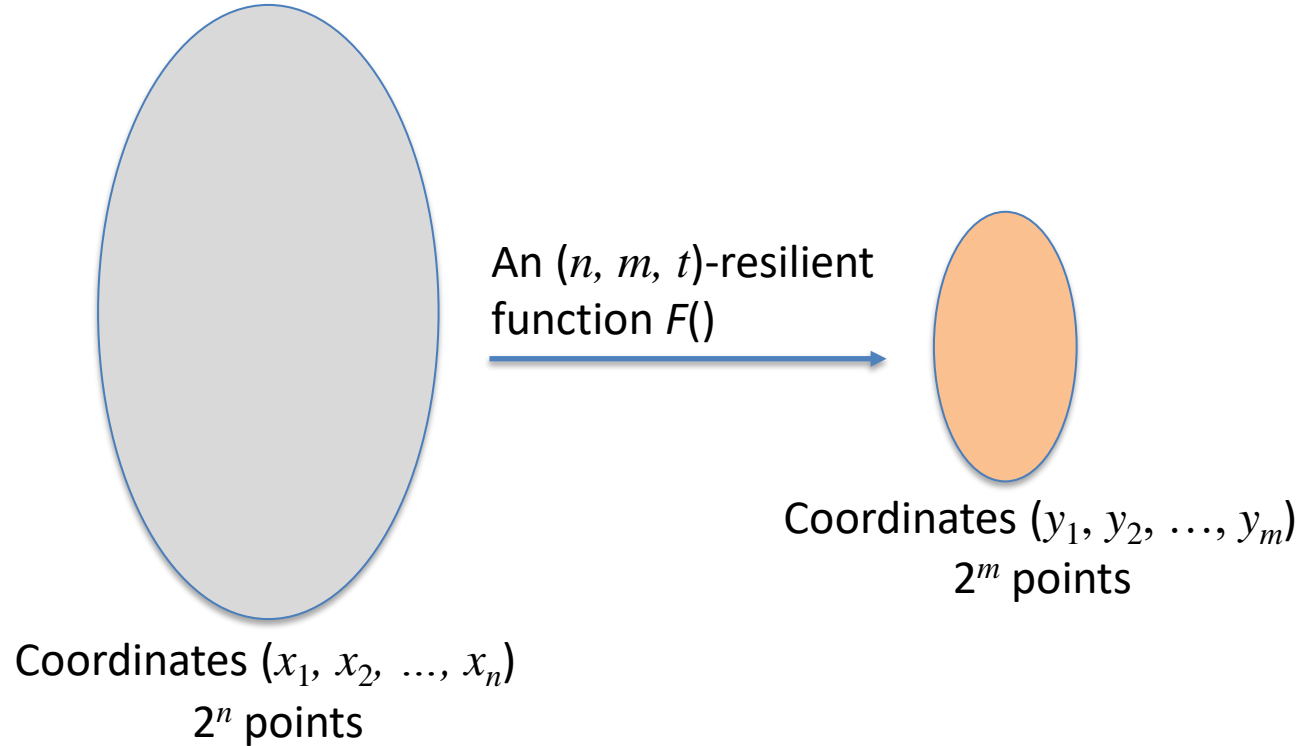
Definition [SMS07]: An  $(n, m, t)$ -resilient function is a function

$$F(x_1, x_2, \dots, x_n) = (y_1, y_2, \dots, y_m)$$

from  $Z_2^n$  to  $Z_2^m$  enjoying the property that for any  $t$  coordinates  $i_1, \dots, i_t$ , for any constants  $a_1, \dots, a_t$  from  $Z_2$  and any element  $y$  of the codomain

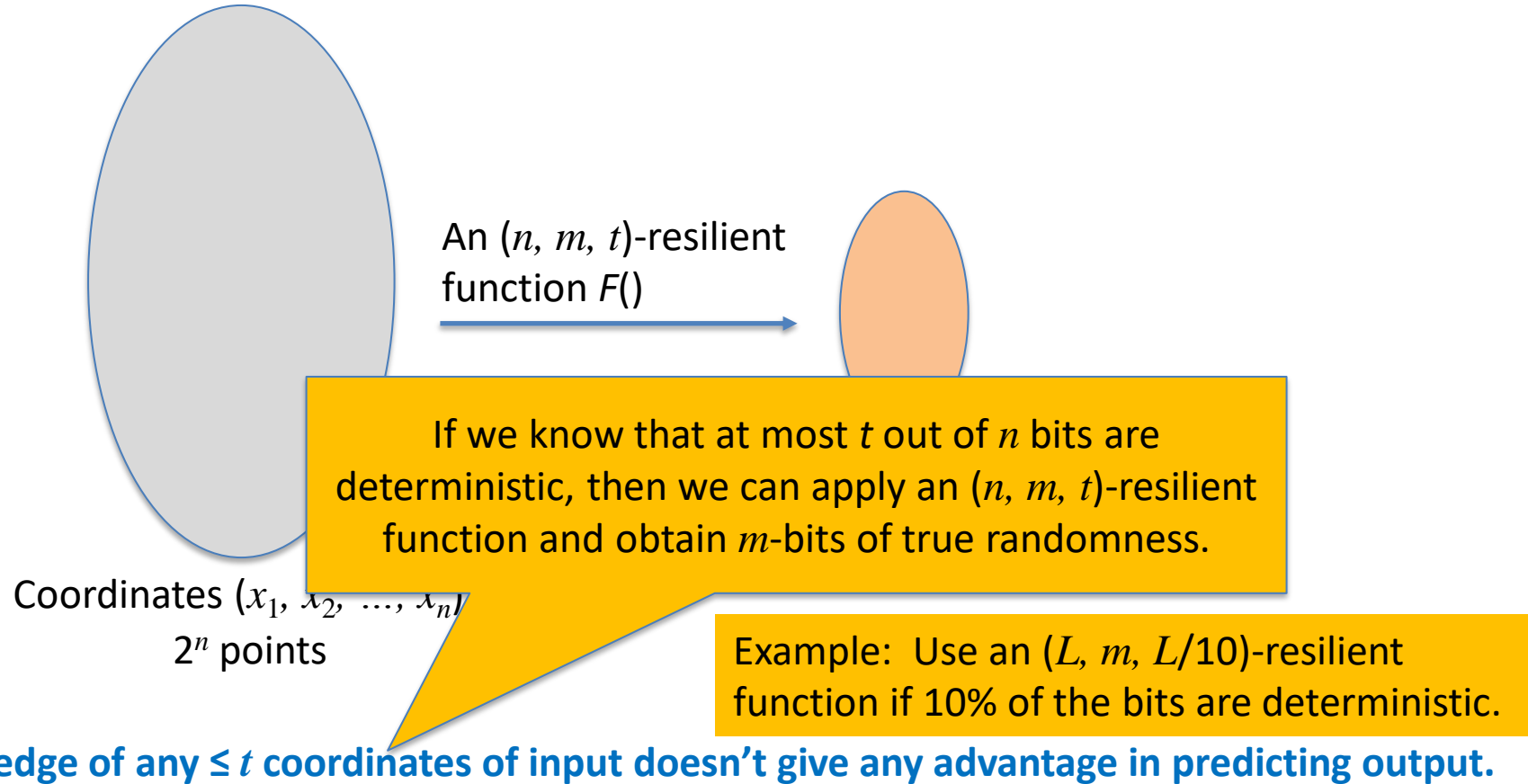
$$\Pr( F(x) = y \mid x_{i_1} = a_1, \dots, x_{i_t} = a_t ) = 1/2^m.$$

# Arithmetic postprocessing: Resilient Function [SMS07]



**Knowledge of any  $\leq t$  coordinates of input doesn't give any advantage in predicting output.**

# Arithmetic postprocessing: Resilient Function [SMS07]



# Arithmetic postprocessing: Example of a Resilient Function

[SMS07] used a linear error correcting code  $C = [n, m, d]$  to implement a  $[n, m, d-1]$  resilient function.

$$f(x) = x \cdot \left( G \right)^T$$



This code can correct up to  $(d - 1)$  “errors”

# Arithmetic postprocessing: Example of a Resilient Function

[SMS07] used a linear error correcting code  $C = [n, m, d]$  to implement a  $[n, m, d-1]$  resilient function.

$$f(x) = x \cdot \begin{pmatrix} G \end{pmatrix}^T$$

[SPV06] used a cyclic code for compact implementation on hardware platforms.

$$G = \begin{pmatrix} g_0 & 0 & \dots & 0 \\ g_1 & g_0 & & 0 \\ \vdots & \vdots & \ddots & \\ g_{n-m-1} & g_{n-m-2} & \dots & g_0 \\ 0 & g_{n-m-1} & \dots & g_1 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & & g_{n-m-1} \end{pmatrix}^T$$

## Summary: Postprocessing (conditioning) of Raw Random Bits

‘Postprocessing’ is an application of a deterministic algorithm to removes or mitigates statistical defects from TRNG-produced raw random data (which contains defects).

- Increases randomness per bit by performing data compression.
- Some entropy is always lost due to data compression
- It doesn’t produce any ‘new’ randomness

There are two ways of postprocessing raw random bits:

1. Arithmetic postprocessing → do not rely on cryptographic primitives
2. Cryptographic postprocessing → rely on cryptographic primitives

# Cryptographic postprocessing

A cryptographic postprocessing uses a cryptographic primitive to process the raw random bits and then produce uniformly distributed random bits.

NIST recommended **keyed** algorithms for cryptographic postprocessing:

1. HMAC with any standardized hash function
2. CMAC with AES block cipher
3. CBC-MAC with AES block cipher

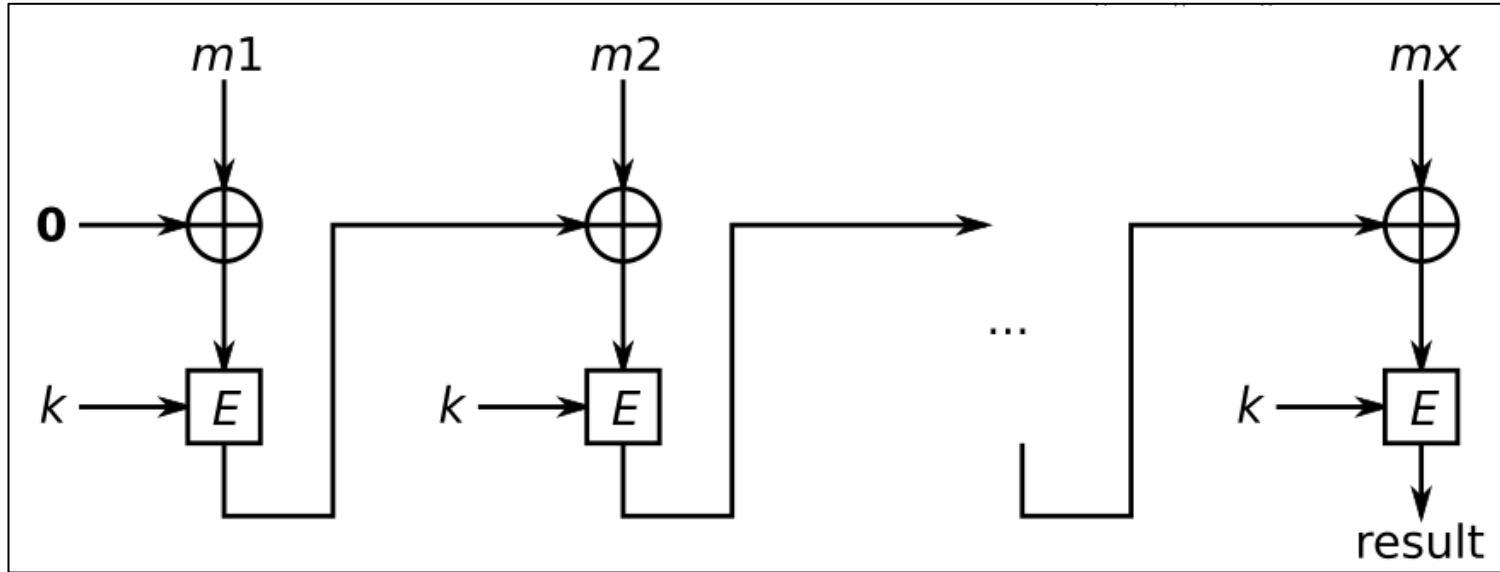
NIST recommended **un-keyed** algorithms for cryptographic postprocessing:

1. Any standardized hash function
2. Hash\_df with any standardized hash function
3. Block\_Cipher\_df with AES block cipher

(Note: df stands for derivative function)

# Cryptographic postprocessing: Example using CBC-MAC

Partition raw random bits into 128-bit blocks and use each block as a message-block.



$E$  is AES-128.

The number of blocks  $\geq 2$ .



# Cryptographic postprocessing

Detailed technical information available on the NIST special publication SP 800-90A

**NIST Special Publication 800-90A**  
**Revision 1**

---

**Recommendation for Random  
Number Generation Using  
Deterministic Random Bit Generators**

---

Elaine Barker  
John Kelsey

# References

[SMS07] B. Sunar, W.J. Martin, and D.R. Stinson. "A Provably Secure True Random Number Generator with Built-In Tolerance to Active Attacks". IEEE Trans. on Comp., Vol. 56, No. 1, 2007.

[Yang18] B. Yang, "True Random Number Generators for FPGAs," PhD thesis, KU Leuven, 154 pages, 2018.  
<https://www.esat.kuleuven.be/cosic/publications/thesis-307.pdf>

[Rozic16] V. Rozic, "Circuit-Level Optimizations for Cryptography," PhD thesis, KU Leuven, 220 pages, 2016.  
<https://www.esat.kuleuven.be/cosic/publications/thesis-286.pdf>

[SPV06] D. Schellekens, B. Preneel, I. Verbauwhede. "FPGA Vendor Agnostic True Random Number Generator". IEEE FPL 2006. DOI: 10.1109/FPL.2006.311206