

# HW 3

# Property-Directed Reachability *or IC3*



Aaron Bradley

## PDR

Property-Directed Reachability or IC3

- Makes no copies of transition relation – memory efficient
- Overapproximate postimage (like interpolation)

## PDR Notation

Formula  $X(V) \wedge R(V, V') \wedge Y(V')$  is shortened to  $\mathbf{X} \wedge \mathbf{R} \wedge \mathbf{Y}'$

Meaning: there is a state  $s \in X$  and a state  $s' \in Y$  such that  $(s, s') \in R$   
(there is an edge from  $s$  to  $s'$ )

$s := \text{SAT}(F_i \wedge R \wedge \neg P')$ :

- $s := \text{FALSE}$  if  $\neg \text{SAT}(F_i \wedge R \wedge \neg P')$
- $s :=$  a state satisfying in  $F_i$  with an edge to a state in  $\neg P$  in otherwise

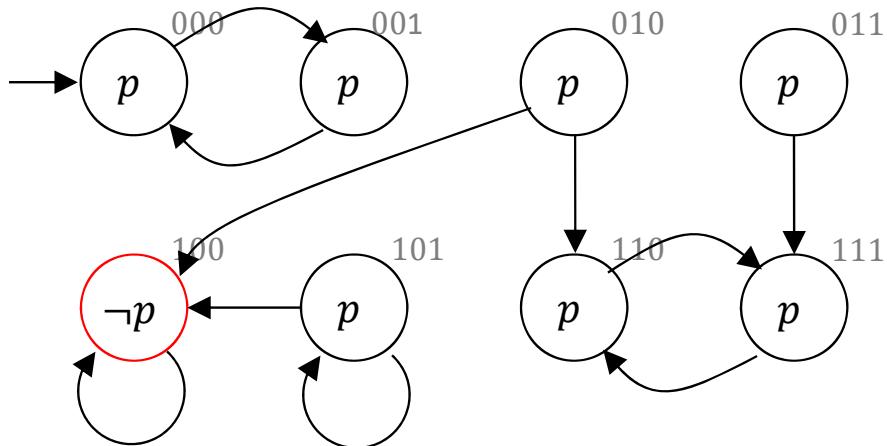
# PDR: Notation

## Definition

- $I \subseteq S$  is **inductive** if
  1.  $S_0 \rightarrow I$  ( $S_0 \subseteq I$ )
  2.  $I \wedge R \rightarrow I'$  ( $\text{postimage}(I) \subseteq I'$ )
- $I \subseteq S$  is **inductive relative to F** if
  1.  $S_0 \rightarrow I$  ( $S_0 \subseteq I$ )
  2.  $I \wedge F \wedge R \rightarrow I'$  ( $\text{postimage}(F \cap I) \subseteq I'$ )

# Relative Inductiveness

$x_1 x_2 x_3$

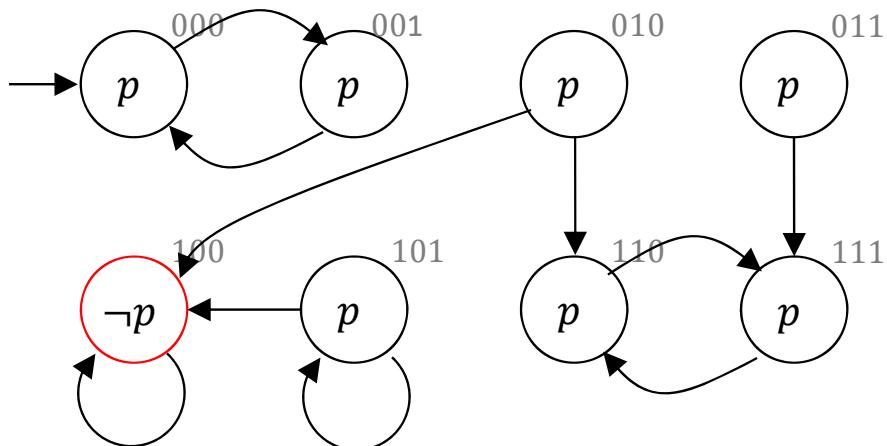


Inductive facts

- Is  $\neg x_1$  inductive?
- Is  $\neg x_2$  inductive?

# Relative Inductiveness

$x_1 x_2 x_3$



Inductive facts

- Is  $\neg x_1$  inductive?
  - $S_0 \rightarrow \neg x_1$
  - $\neg x_1 \wedge R \rightarrow \neg x'_1$  is **false**
  - **No!**
- Is  $\neg x_2$  inductive?
  - $S_0 \rightarrow \neg x_2$
  - $\neg x_2 \wedge R \rightarrow \neg x'_2$
  - **Yes!**
- Is  $\neg x_1$  inductive relative to  $x_2$ ?
  - $S_0 \rightarrow \neg x_1$
  - $\neg x_2 \wedge \neg x_1 \wedge R \rightarrow \neg x'_1$
  - **Yes!**

**Idea: Find (relatively) inductive facts.**

# PDR: Data Structures & Invariants

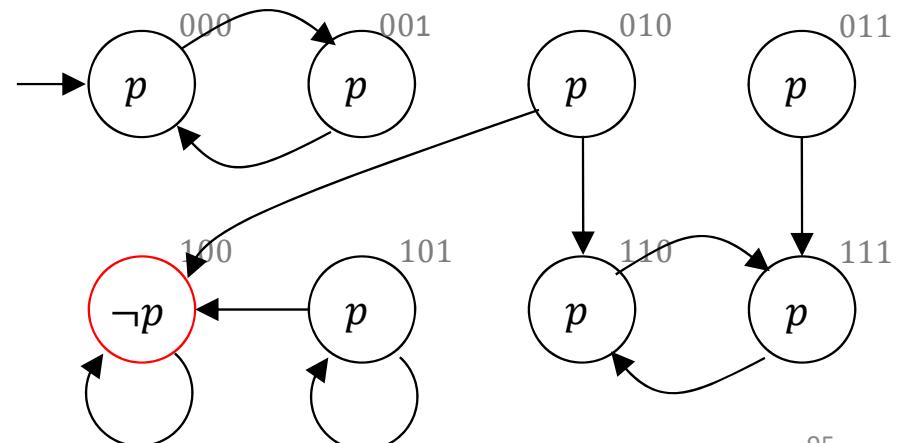
## Data Structure

**Clause:** Disjunction of literals. **Cube:** Conjunction of literals.

Clause and cubes signify a set of states. Longer clauses – more states. Longer cubes – fewer states

Formulas  $F_0, \dots, F_k$  over  $V$ , stored as sets of Clauses (Sets  $F_0, \dots, F_k \subseteq S$ )

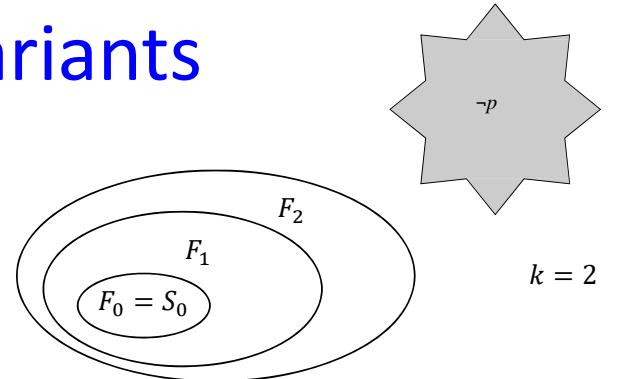
## Example



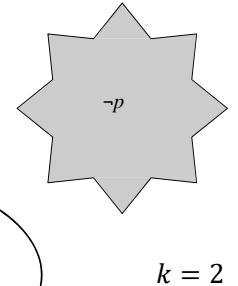
# PDR: Data Structures & Invariants

## Invariants

- I1:  $S_0 \rightarrow F_0$  ( $S_0 \subseteq F_0$ )
- I2:  $F_i \rightarrow F_{i+1}$  ( $F_i \subseteq F_{i+1}$ )
  - I2':  $F_i = F_{i+1} \wedge c_{i1} \wedge \dots \wedge c_{in}$
- I3:  $F_i \rightarrow P = \text{FALSE}$  ( $F_i \subseteq P$ )
- I4:  $F_i \wedge R \rightarrow F'_{i+1}$  ( $\text{postimg}(F_i) \subseteq F_{i+1}$ )



# PDR: Data Structures & Invariants



## Invariants

- I1:  $S_0 = F_0$  ( $S_0 = F_0$ )
- I2:  $F_i \rightarrow F_{i+1}$  ( $F_i \subseteq F_{i+1}$ )
  - I2':  $F_i = F_{i+1} \wedge c_{i1} \wedge \dots \wedge c_{in}$
- I3:  $F_i \rightarrow P = \text{FALSE}$  ( $F_i \subseteq P$ )
- I4:  $F_i \wedge R \rightarrow F'_{i+1}$  ( $\text{postimg}(F_i) \subseteq F_{i+1}$ )

## Facts

1.  $\forall 0 < i \leq k$ : There is no trace from  $F_i$  to  $\neg p$  of  $k - i$  edges or less (I3,I4)
  2. Because of I4:
    1.  $\text{postimg}^i(S_0) \subseteq F_i$
    2. There is no trace from  $S_0$  to  $F_i$  of length  $< i$
    3. There is no counterexample  $k + 1$  edges or less (with I3)
- If  $F_i = F_{i+1}$  then system is correct. (By I3, I4,  $F_i$  is an inductive invariant)

# PDR, First Version

```

function PDR(Model M)
    if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
     $F_0 := S_0; F_1 := P; k := 1;$ 
    while(true)
        while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
            removeBad( $k, s$ )
             $k++; F_k := P$ 

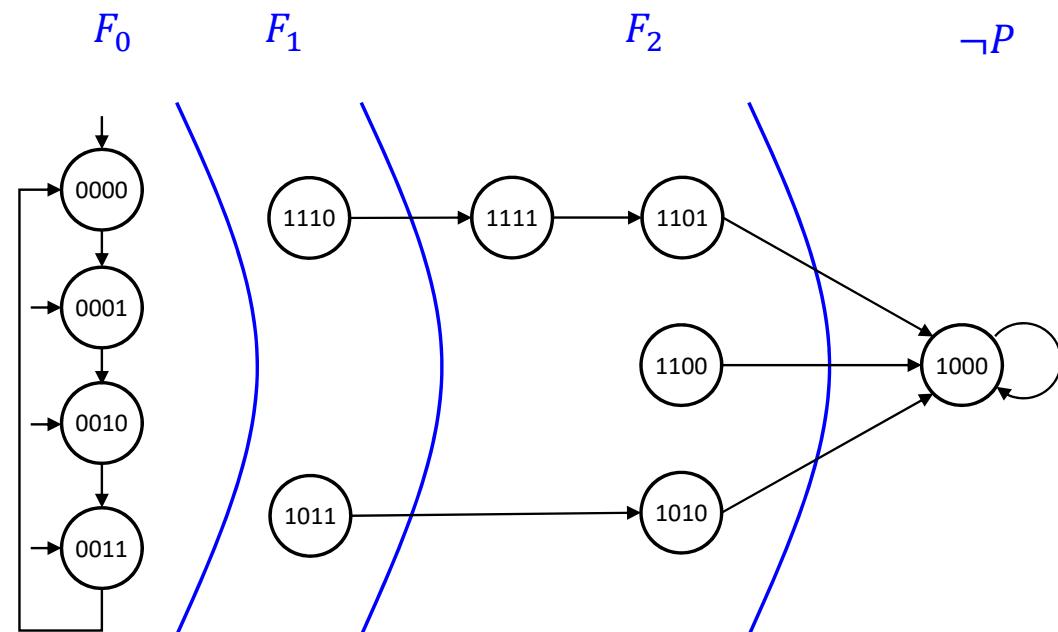
        if  $\exists 0 \leq i < k - 1: F_i := F_{i+1}$  then SUCCEED

    // post:  $\neg \text{SAT}(F_i \wedge s)$ 
    function removeBad( $i \in N$ , state  $s$ )
        if SAT( $S_0 \wedge c$ ) then FAIL
        while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
            removeBad( $i - 1, t$ )

         $\forall 0 < j \leq i: F_j := F_j \wedge \neg s$ 

```

$$k = 2$$



Goal: look for counterexamples of length 3.  
If we find one – done  
If we don't – We have an  $F_3$

# PDR, First Version

```
function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad(k, s)
     $k++; F_k := P$ 

    if  $\exists 0 \leq i < k - 1: F_i = F_{i+1}$  then SUCCEED
```

```
// post:  $\neg \text{SAT}(F_i \wedge s)$ 
function removeBad( $i \in N$ , state  $s$ )
  if SAT( $S_0 \wedge s$ ) then FAIL
  while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
    removeBad( $i - 1, t$ )
```

$\forall 0 < j \leq i: F_j := F_j \wedge \neg s$

remove states in  $F_k$   
with edge to  $\neg P$

remove states in  $F_i$   
with path to  $\neg P$  of  
length  $k - i + 1$

# PDR, First Version

```

function PDR(Model M)
    if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
     $F_0 := S_0; F_1 := P; k := 1;$ 
    while(true)
        while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
            removeBad( $k, s$ )
             $k++; F_k := P$ 

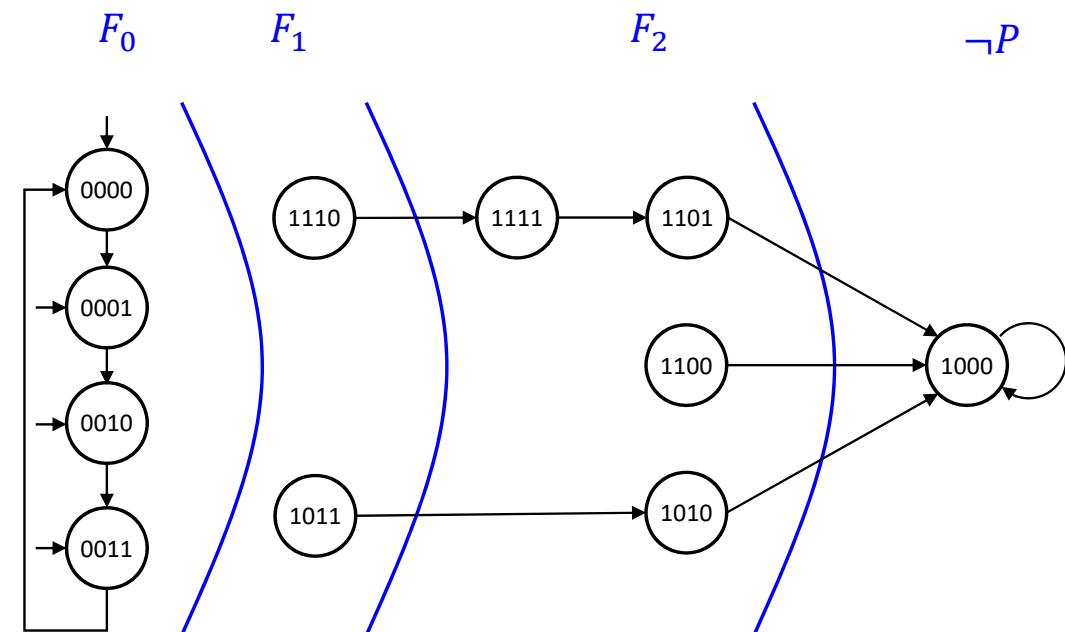
        if  $\exists 0 \leq i < k - 1: F_i := F_{i+1}$  then SUCEED

    // post:  $\neg \text{SAT}(F_i \wedge s)$ 
    function removeBad( $i \in N$ , state  $s$ )
        if SAT( $S_0 \wedge c$ ) then FAIL
        while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
            removeBad( $i - 1, t$ )

         $\forall 0 < j \leq i: F_j := F_j \wedge \neg s$ 

```

$$k = 2$$



Do the invariants hold?

# PDR, First Version

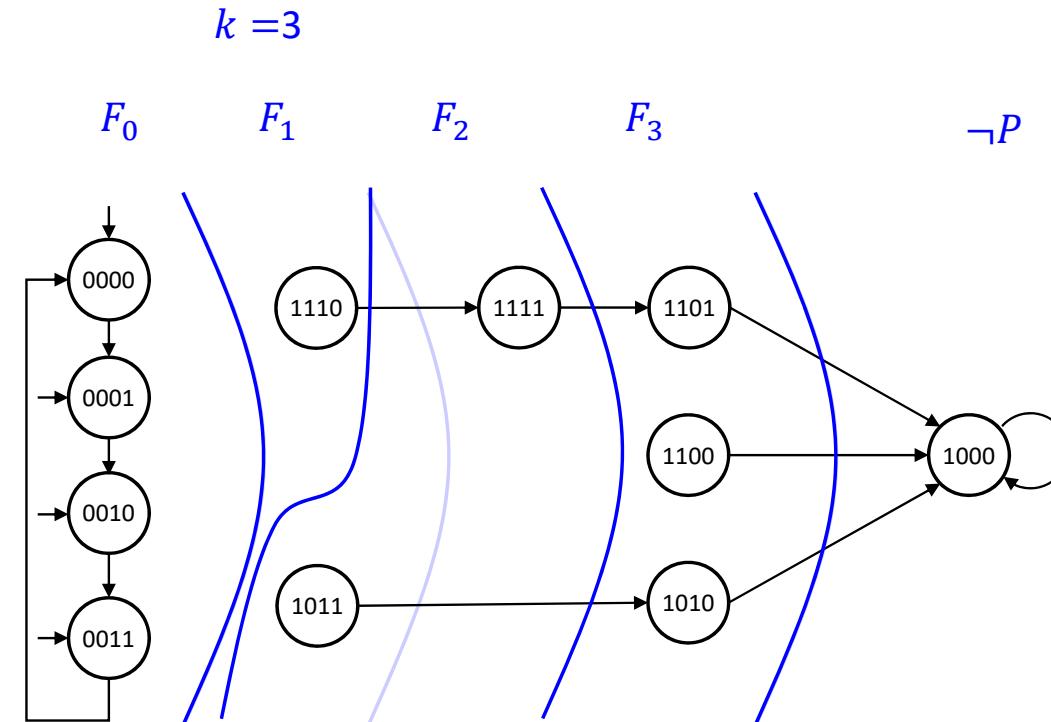
```

function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad( $k, s$ )
       $k++; F_k := P$ 

    if  $\exists 0 \leq i < k - 1: F_i := F_{i+1}$  then SUCEED

  // post:  $\neg \text{SAT}(F_i \wedge s)$ 
  function removeBad( $i \in N$ , state  $s$ )
    if SAT( $S_0 \wedge c$ ) then FAIL
    while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
      removeBad( $i - 1, t$ )

     $\forall 0 < j \leq i: F_j := F_j \wedge \neg s$ 
  
```



# PDR, First Version

```

function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad( $k, s$ )
       $k++; F_k := P$ 

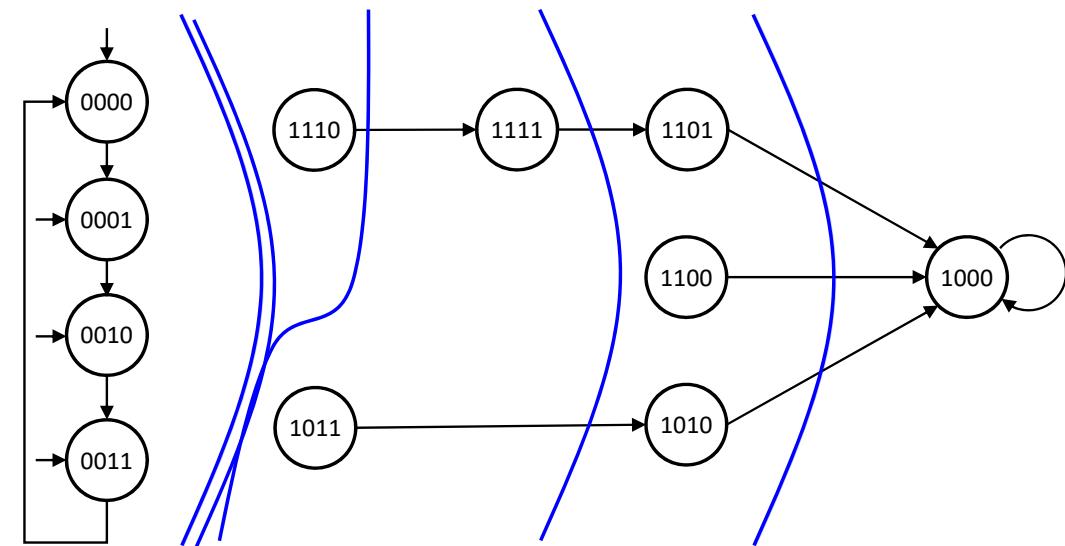
    if  $\exists 0 \leq i < k - 1: F_i := F_{i+1}$  then SUCEED

  // post:  $\neg \text{SAT}(F_i \wedge s)$ 
  function removeBad( $i \in N$ , state  $s$ )
    if SAT( $S_0 \wedge c$ ) then FAIL
    while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
      removeBad( $i - 1, t$ )

     $\forall 0 < j \leq i: F_j := F_j \wedge \neg s$ 
  
```

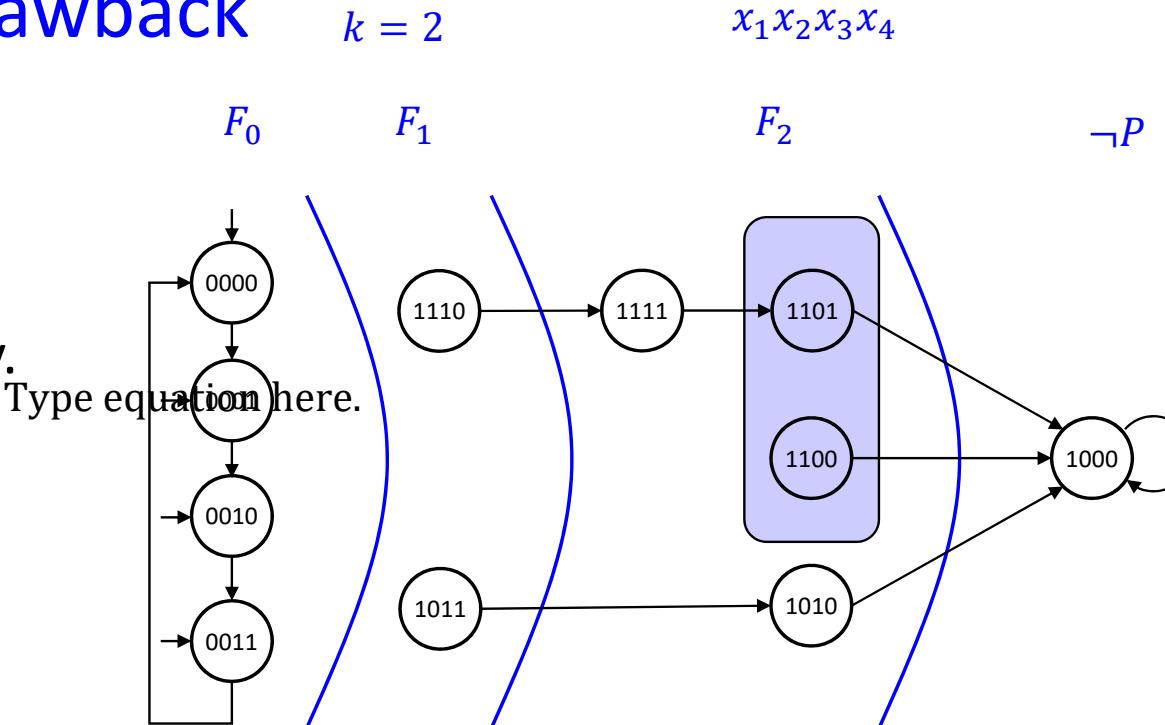
$k = 3$

$F_0 = F_1 \wedge F_2 \quad F_3 \quad F_4 \quad \neg P$



## Drawback

- The first version considers every state individually
- But similar states behave similarly.  
Example: 1100 and 1101.  
**Generalize to  $110\cdots = x_1 \wedge x_2 \wedge \neg x_3$ !**



## Conditions

- $\text{UNSAT}(F_1 \wedge R \wedge x'_1 \wedge x'_2 \wedge \neg x'_3)$
- $\text{UNSAT}(S_0 \wedge x_1 \wedge x_2 \wedge \neg x_3)$

# PDR: Naive Generalization

```

function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad(k, s)
       $k++; F_k := P$ 
    if  $\exists 0 \leq i < k - 1: F_i = F_{i+1}$  then SUCCEED
  
```

```

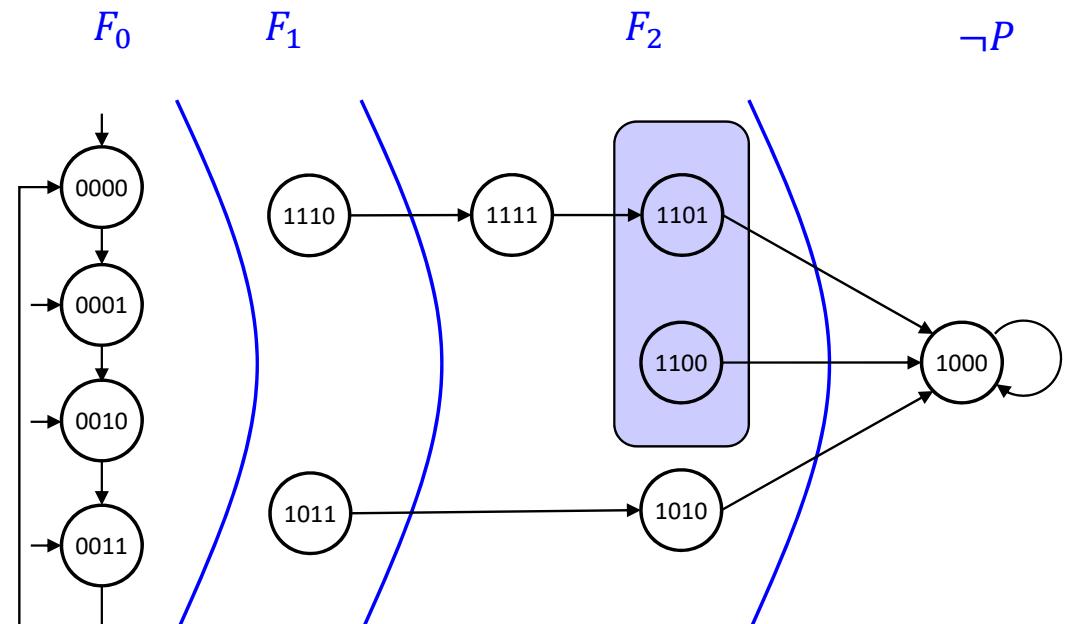
// post:  $\neg \text{SAT}(F_i \wedge s)$ 
function removeBad( $i \in N$ , state s)
  if SAT( $S_0 \wedge c$ ) then FAIL
  while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
    removeBad( $i - 1, t$ )
   $g := \text{generalizeNaive}(i, s)$ 
   $\forall 0 < j \leq i: F_j := F_j \wedge \neg g$ 

```

```

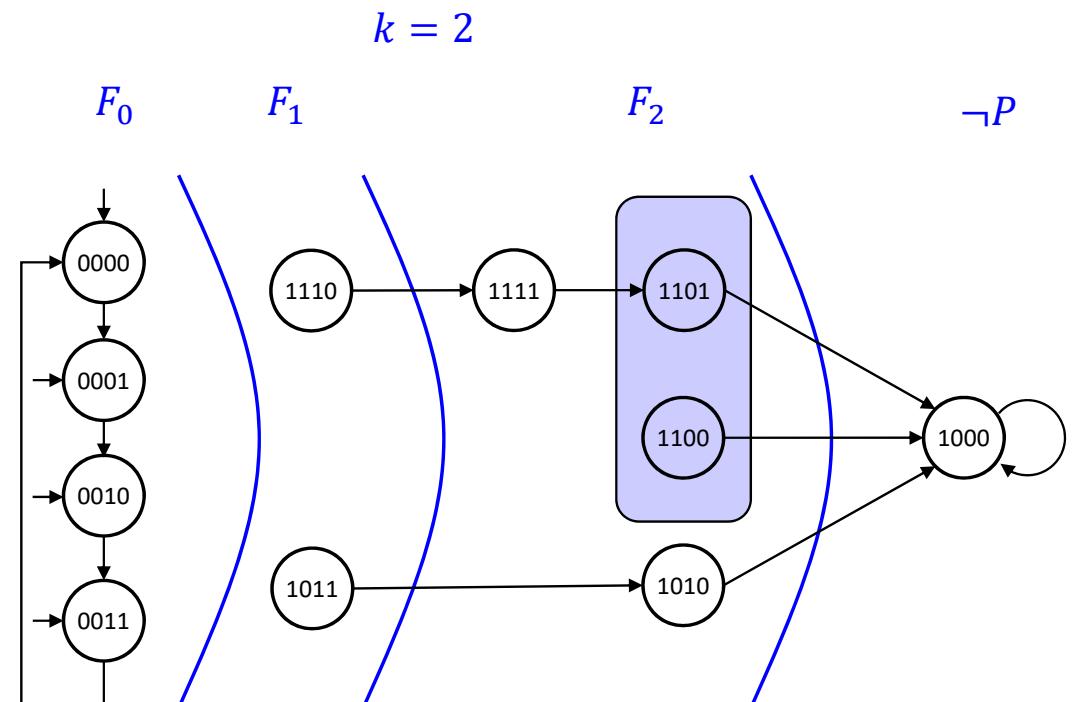
function generalizeNaive( $i$ , state  $s$ )
  return a shortest cube  $c$  such that
  -  $c \leftarrow s$ 
  -  $\neg \text{SAT}(F_{i-1} \wedge R \wedge c')$ 
  -  $\neg \text{SAT}(S_0 \wedge c)$ 

```



# Generalize Further?

- We can generalize to 110-
- Can we generalize to 11--?
  - NO:  $f = x_1 \wedge x_2$ , we have  $\text{SAT}(F_1 \wedge R \wedge f)$
- State 1100 is the problem



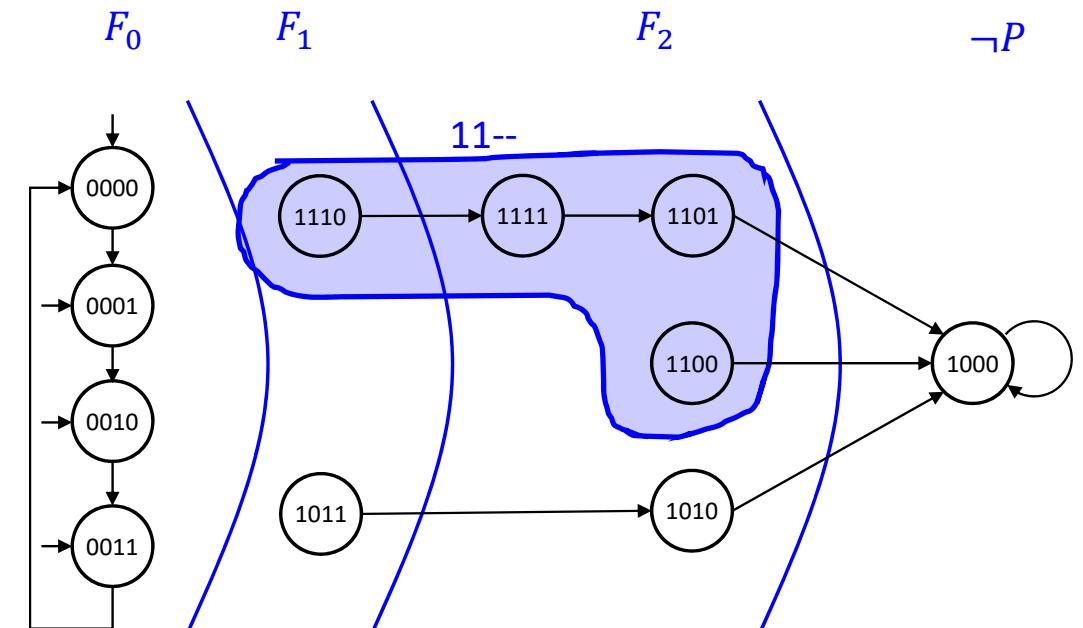
# Relative Inductiveness

shortest cube  $c$  such that

- $c \leftarrow S$
- $\neg \text{SAT}(\neg c \wedge F_1 \wedge R \wedge c')$
- $\neg \text{SAT}(S_0 \wedge c)$

$\neg c$  is relative inductive wrt  $F_2$

Why?



# PDR: Relative Inductiveness

```

function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad( $k, s$ )
       $k++; F_k := P$ 

```

**if**  $\exists 0 \leq i < k - 1: F_i = F_{i+1}$  **then SUCEED**

```

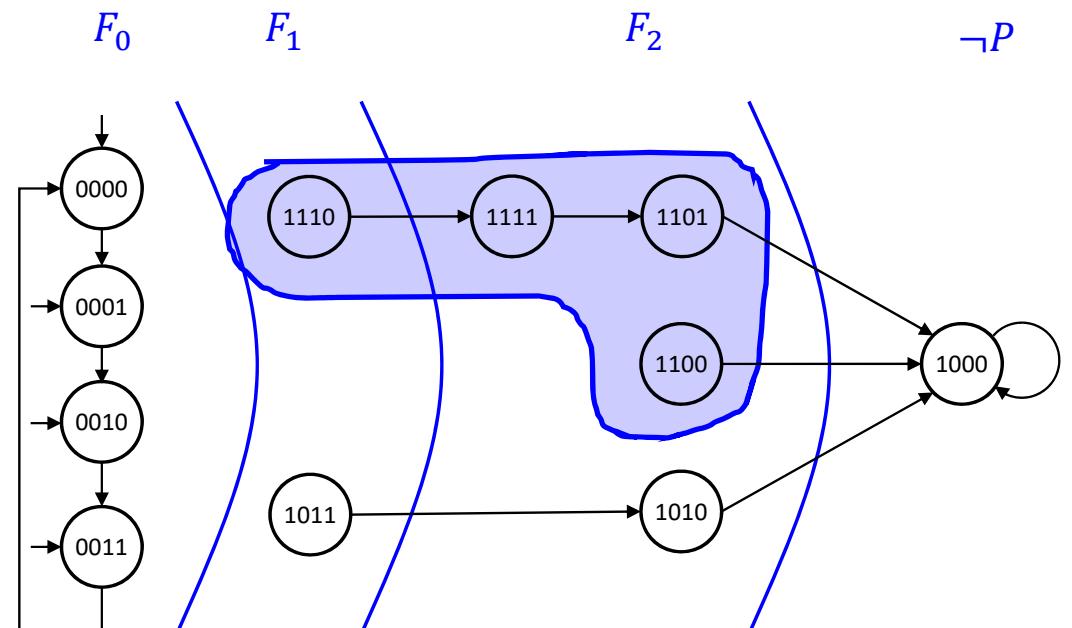
// post:  $\neg \text{SAT}(F_i \wedge s)$ 
function removeBad( $i \in N$ , state  $s$ )
  if SAT( $S_0 \wedge c$ ) then FAIL
  while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
    removeBad( $i - 1, t$ )
   $g := \text{generalize}(i, s)$ 
   $\forall 0 < j \leq i: F_j := F_j \wedge \neg g$ 

```

```

function generalize( $i$ , state  $s$ )
  return a shortest cube  $c$  such that
  -  $c \leftarrow s$ 
  -  $\neg \text{SAT}(\neg c \wedge F_{i-1} \wedge R \wedge c')$ 
  -  $\neg \text{SAT}(S_0 \wedge c)$ 

```



# Generalization

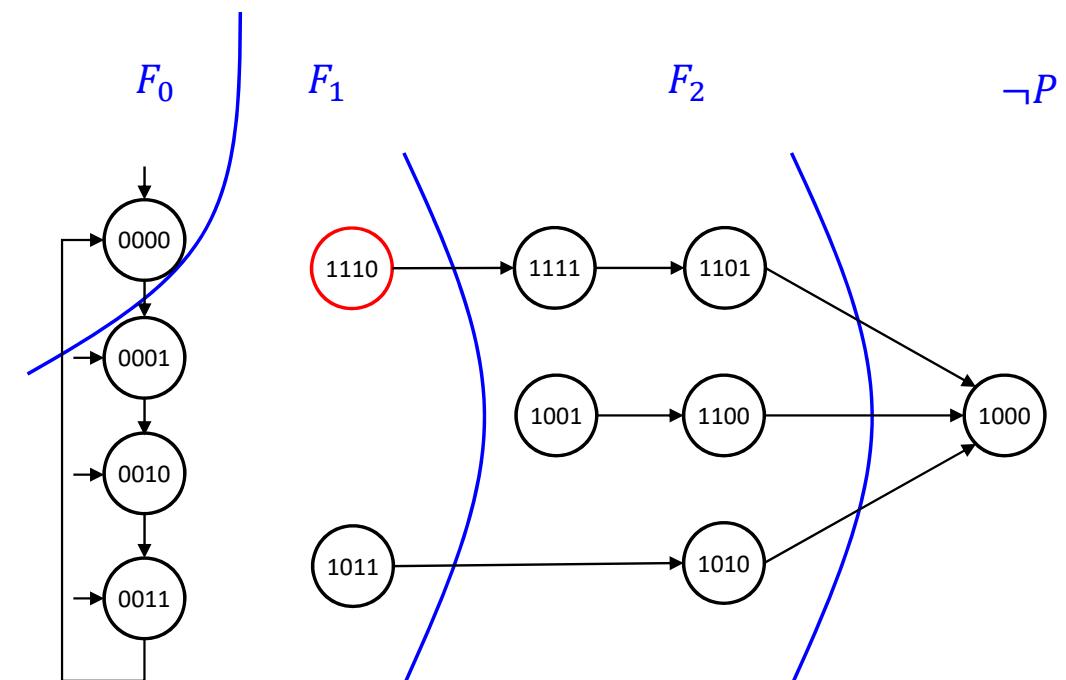
```
function generalize(i, state s)
  c := s
  while c changes
    let  $l_1, \dots, l_n$  be the literals of c
    for i := 1 to n
      c' = c with  $l_i$  removed
      if relInd(c') then c = c'
  return c

function relInd(cube c)
  return  $\neg \text{SAT}(\neg c \wedge F_{i-1} \wedge R \wedge c) \wedge$ 
   $\neg \text{SAT}(S_0 \wedge c)$ 
```

# Propagate Clauses

Suppose you are removing 1110.  
You can generalize to 1---

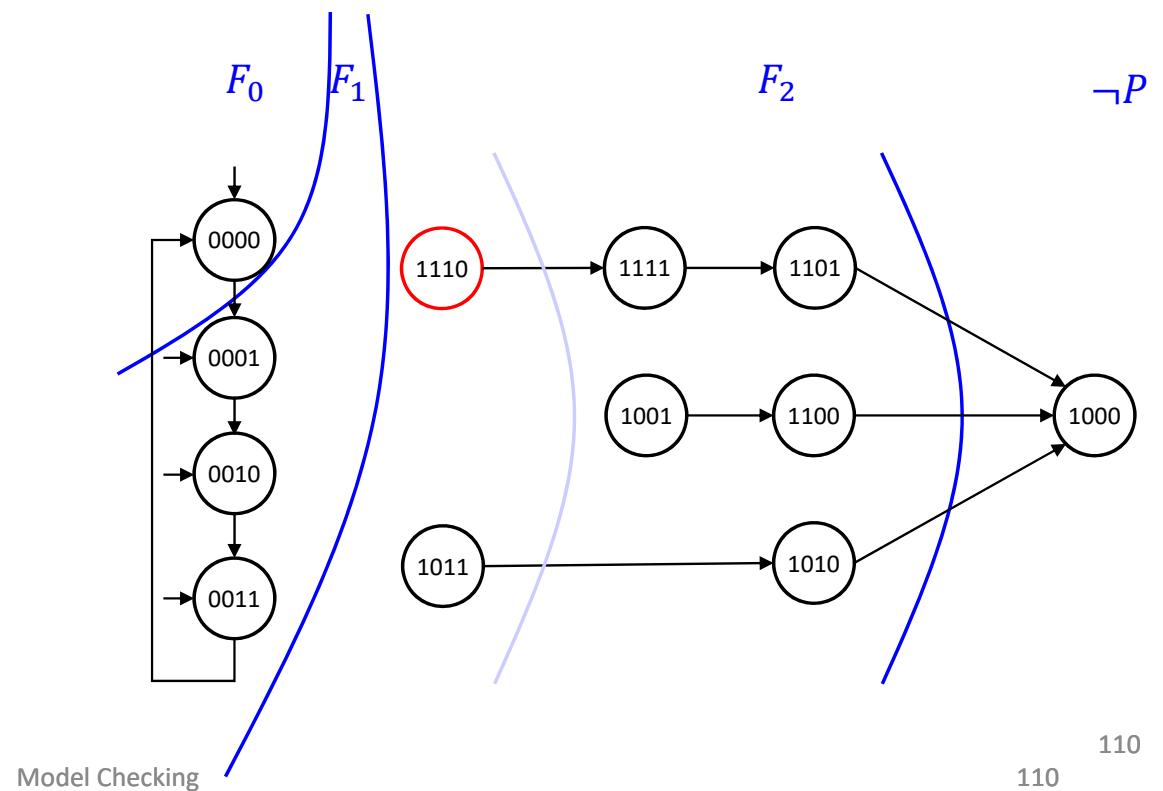
$$F_1 := F_1 \wedge \neg x_1$$



# Propagate Clauses

$F_2 \wedge x_1 \notin postimg(F_1)$ , so we can add  $\neg x_1$  to  $F_2$

$\text{UNSAT}(F_1 \wedge R \wedge F'_2 \wedge x'_1)$



# PDR, Final: Propagate Clauses

```

function PDR(Model M)
  if SAT( $S_0 \wedge \neg P$ ) or SAT( $S_0 \wedge R \wedge \neg P'$ ) then FAIL
   $F_0 := S_0; F_1 := P; k := 1;$ 
  while(true)
    while( $s := \text{SAT}(F_k \wedge R \wedge \neg P')$ )
      removeBad(k, s)
       $k++; F_k := P$ 
      propagateClauses(k)
      if  $\exists 0 \leq i < k - 1: F_i = F_{i+1}$  then SUCEED

```

```

// post:  $\neg \text{SAT}(F_i \wedge s)$ 
function removeBad( $i \in N$ , state s)
  if SAT( $S_0 \wedge s$ ) then FAIL
  while( $t := \text{SAT}(F_{i-1} \wedge R \wedge s')$ )
    removeBad( $i - 1, t$ )
     $g := \text{generalize}(i, s)$ 
     $\forall 0 < j \leq i: F_j := F_j \wedge \neg g$ 

```

```

function gesneralize(i, state s)
  return a shortest cube  $c$  such that
  -  $c \leftarrow s$ 
  -  $\neg c$  inductive relative to  $F_{i-1}$ 

function propagateClauses(k)
  for  $i := 1$  to  $k - 1$ 
    for every clause  $c \in F_i$ 
      if  $\neg \text{SAT}(F_i \wedge R \wedge \neg c')$ 
         $F_{i+1} := F_{i+1} \wedge c$ 

```

## Further Ideas

- This version is somewhat simplified and doesn't find long counterexamples quickly
- Equivalence of frames = syntactic check
  - Use implication and subsumption to simplify clauses
  - Check Mischchenko paper to see if we add clauses when they are subsumed

# Performance

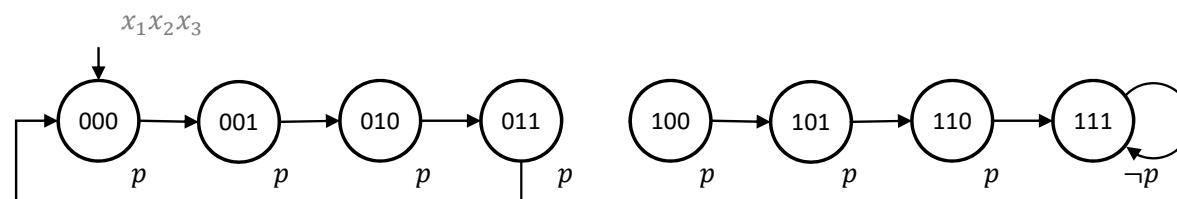
Hardware Model Checking Competition 2020

1. AVR 11 variants of IC3+[abstraction], 2x BMC, 3x k-induction
2. AVY interpolation + PDR
3. nuXmv “portfolio”, including IC3
4. Pono: protfolio, including BMC, k-induction, interpolation, IC3

# Literature

## Literature

- A. R. Bradley, SAT-Bassed Model Checking without Unrolling, VMCAI 2011.  
[http://ecee.colorado.edu/~bradleya/ic3/ic3\\_bradley.pdf](http://ecee.colorado.edu/~bradleya/ic3/ic3_bradley.pdf)
- N. Een, A. Mishchenko, R. Brayton, Efficient Implementation of Property Directed Reachability, FMCAD 2011.  
[https://people.eecs.berkeley.edu/~alanmi/publications/2011/fmcad11\\_pdr.pdf](https://people.eecs.berkeley.edu/~alanmi/publications/2011/fmcad11_pdr.pdf)
- F. Somenzi, Aaron R. Bradley: IC3: where monolithic and incremental meet. – FMCAD 2011: 3-8. [http://theory.stanford.edu/~arbrad/papers/ic3\\_tut.pdf](http://theory.stanford.edu/~arbrad/papers/ic3_tut.pdf)
- A. R. Bradley: Understanding IC3. SAT 2012: 1-14. –  
[https://theory.stanford.edu/~arbrad/papers/Understanding\\_IC3.pdf](https://theory.stanford.edu/~arbrad/papers/Understanding_IC3.pdf)

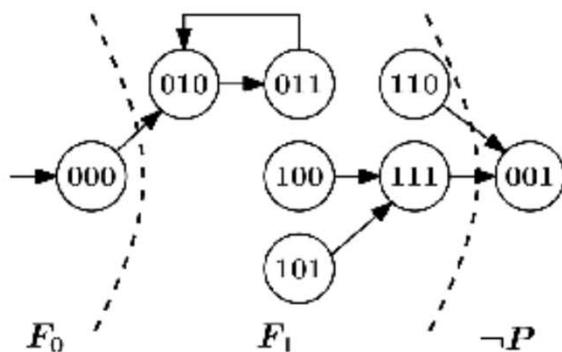


# Model Checking Homework 4

Deadline: 7 April 4:00pm

Send solution to: [modelchecking@iaik.tugraz.at](mailto:modelchecking@iaik.tugraz.at)

Consider the following synchronous Kripke structure  $K$ , with states of the form  $x_1x_2x_3$ . The only initial state is 000, and we are given a property  $P$  that holds everywhere but in 001.



We wish to prove that  $P$  is always true using PDR. We began the algorithm, obtaining the frames  $F_0$  and  $F_1$  as shown in the figure.

**Task 4a [4 points].** Starting from the figure, carry out two iterations of the first variant of the PDR (from  $k=1$ , until  $k=3$ ) shown in class. Clearly indicate the steps and the frames at the end of each iteration. Is the property  $P$  verified at the end? Why/Why not?

**Task 4b [3 points].** As before, perform two iterations of PDR starting from the figure. This time use “naive generalization” during the removal of bad states, as shown in class. Clearly indicate the steps and the frames at the end of each iteration. Is the property  $P$  verified at the end? Why/Why not?

**Task 4c [3 points].** Which of the following statements are false? Justify your answer.

- The set  $\neg x_1$  is inductive.
- The set  $\neg x_3$  is inductive.
- The set  $\neg x_2$  is inductive relative to  $\neg x_1$ .
- The set  $\neg x_3$  is inductive relative to  $\neg x_1$ .