

# Computer Organization and Networks

(INB.06000UF, INB.07001UF)

## Chapter 4: Basics on Processors

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# Limitations in State Machines Discussed So Far

- The State machines that we have discussed so far have been designed for a specific application (e.g. controlling traffic lights)
  - Changing the application requires building a new state machine, new hardware, ...
  - We want to have a general purpose machine that
    - Can be used for all kinds of different applications
    - Can be reconfigured quickly
- We want **general purpose hardware** that is “configured” for a particular **application by software**

# How to Build This?

- The most widely used approach is the Von Neumann Model – it is the basis of for example x86, ARM and RISC-V CPUs
- It was proposed in 1945 by John Von Neumann (born in Budapest)

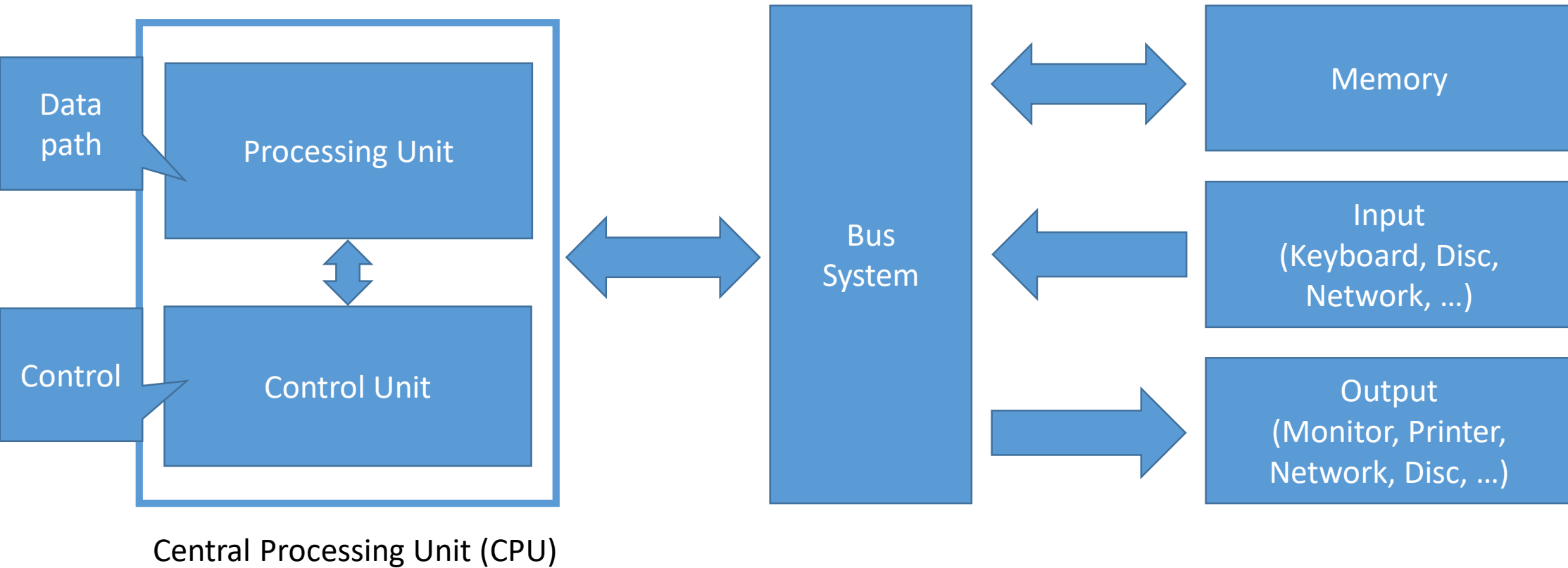


# Von Neumann Model

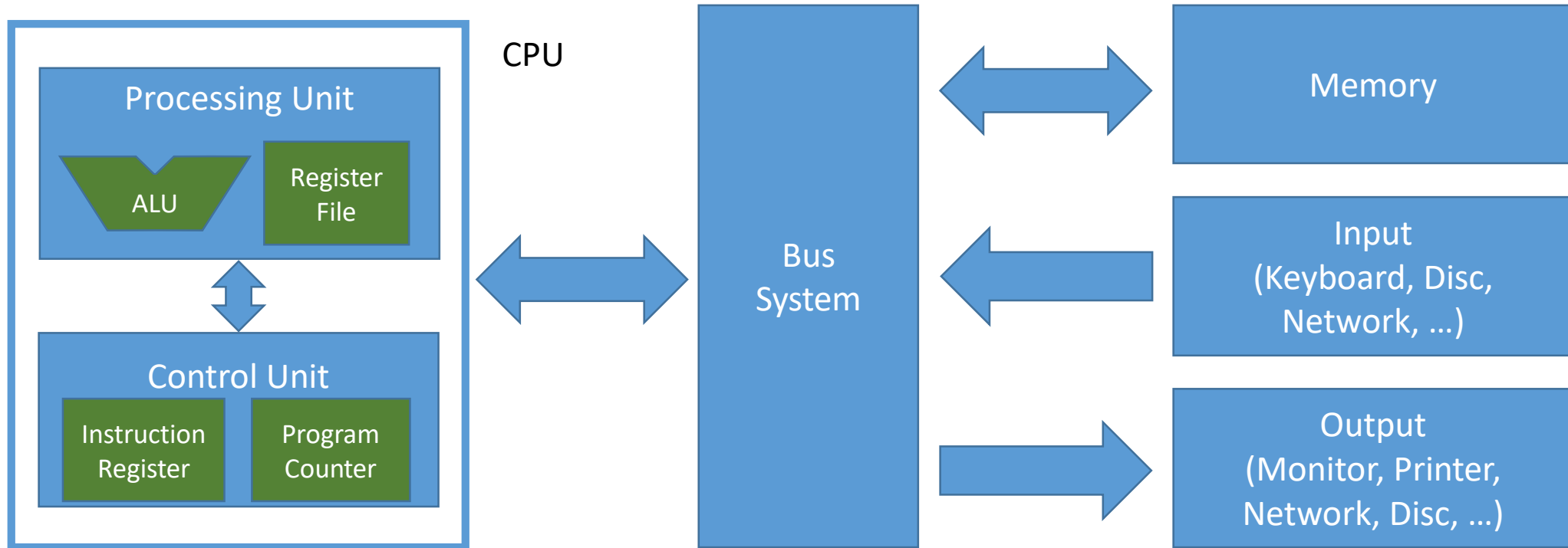
# Von Neumann Model

- Components of a computer built based on Von Neumann
  - Processing Unit
  - Control Unit
  - Memory
  - Input
  - Output
  - Buses

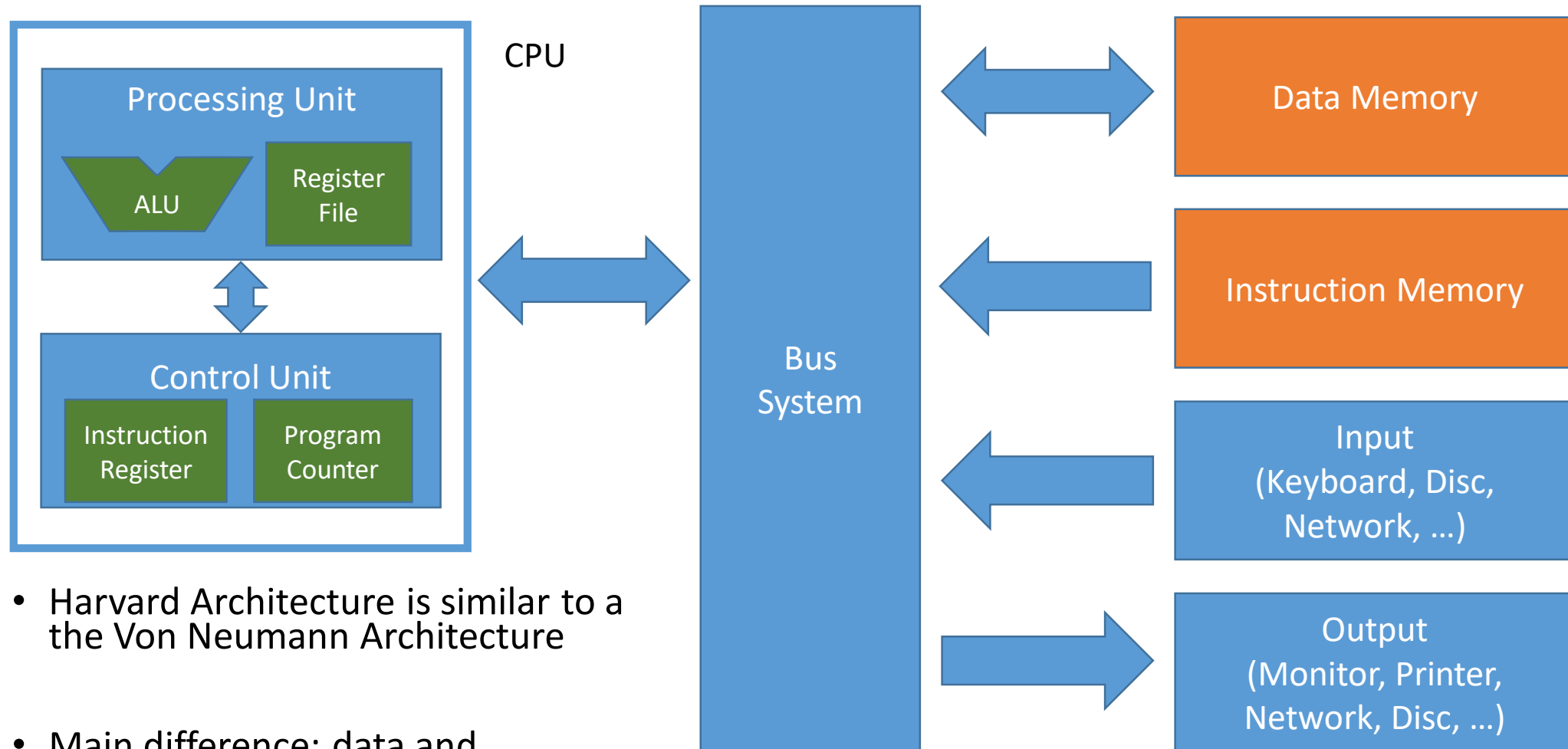
# Von Neumann Model



# Von Neumann Model



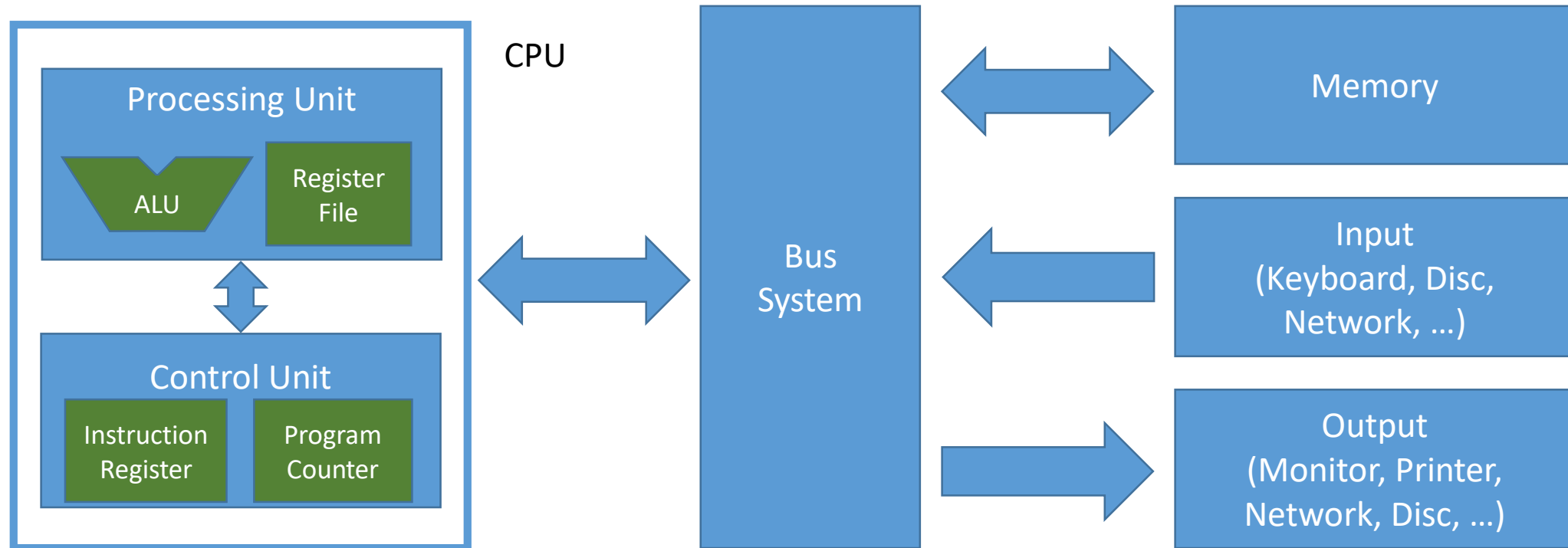
# Harvard Architecture



- Harvard Architecture is similar to the Von Neumann Architecture
- Main difference: data and instruction memory are separated

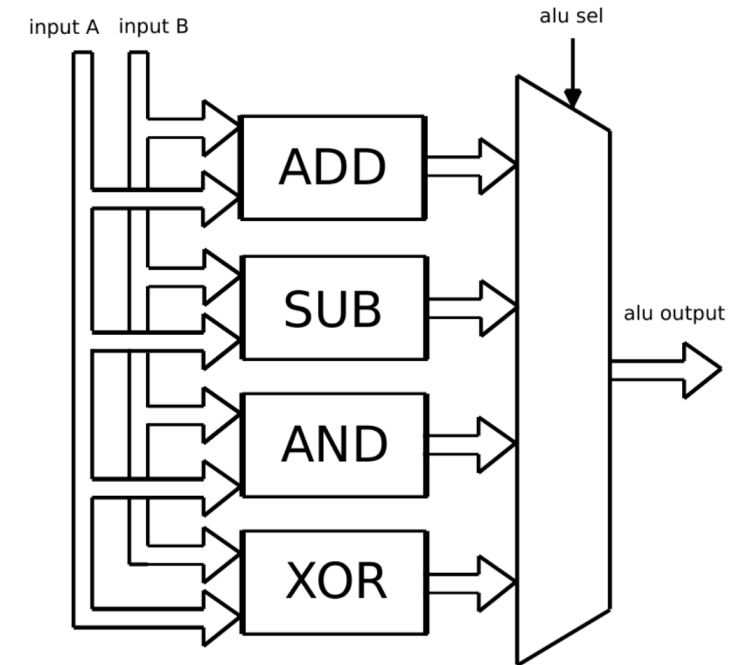
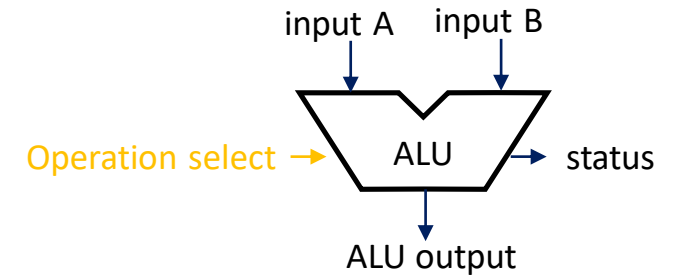


# Von Neumann Model



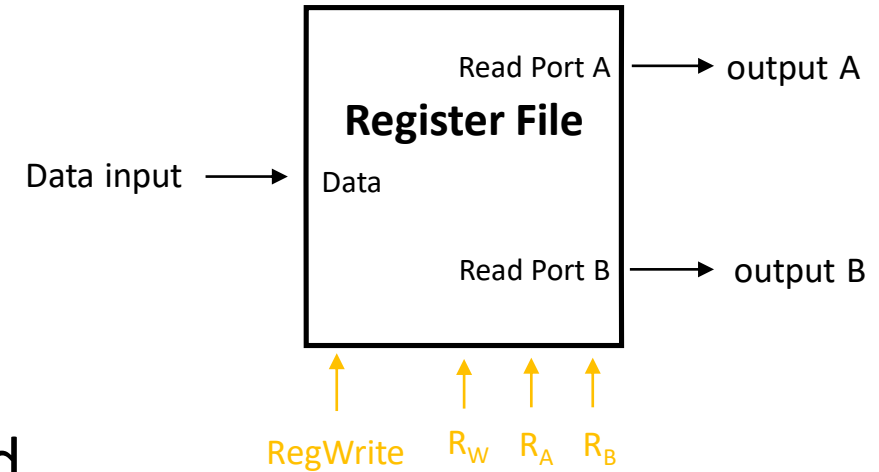
# Arithmetic Logic Unit (ALU)

- The ALU is a combinational circuit performing calculation operations
- Basic Properties
  - Takes two n-bit inputs (A, B); today typically 32 bit or 64 bit
  - Performs an operation based on one or both inputs; the performed operation is selected by the control input `alu_sel`
  - Returns an n-bit output; It typically also provides a status output with flags to e.g. indicate overflows or relations of A and B, such as  $A=B$  or  $A<B$



# Register File

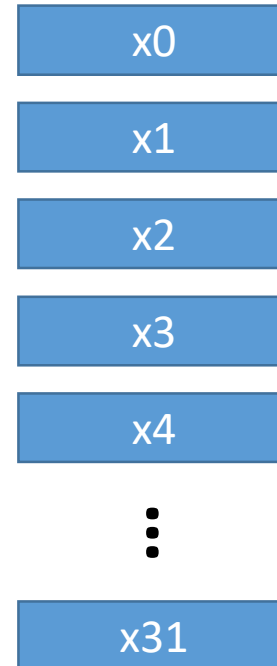
- The register file contains  $m$   $n$ -bit registers
- In a given clock cycle one  $n$ -bit value can be stored in the register selected via the signal  $R_W$ ; In case  $\text{RegWrite}$  is low, no register is written
- In each cycle two registers can be read and are provided at the outputs A and B. The registers to be read are selected via  $R_A$  and  $R_B$
- The register file is essentially a memory with **one write port** and **two read ports**



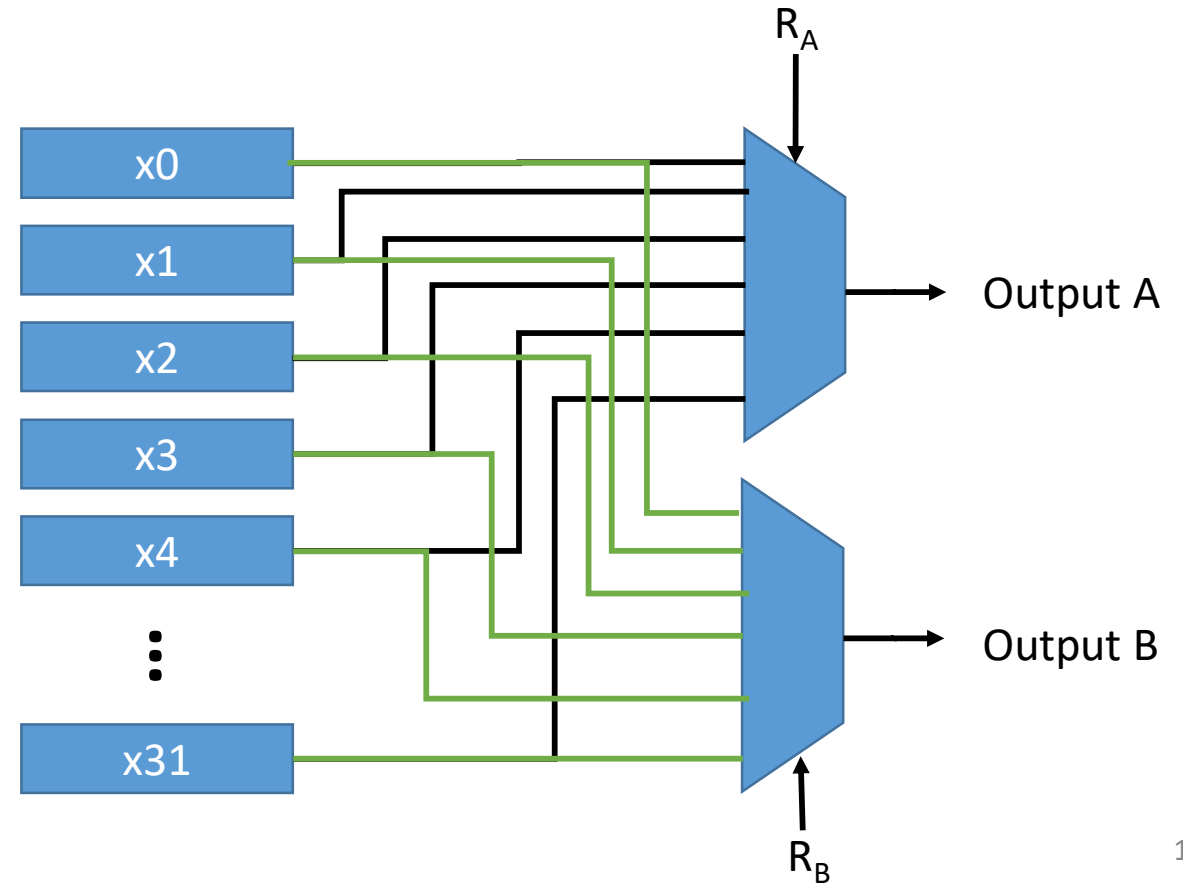
# Data Registers (Register File)

Register File

- In case of RISC-V, the register file consists of 32 registers
- 5 bit are needed for  $R_W$ ,  $R_A$ ,  $R_B$



# Data Registers (Register File)

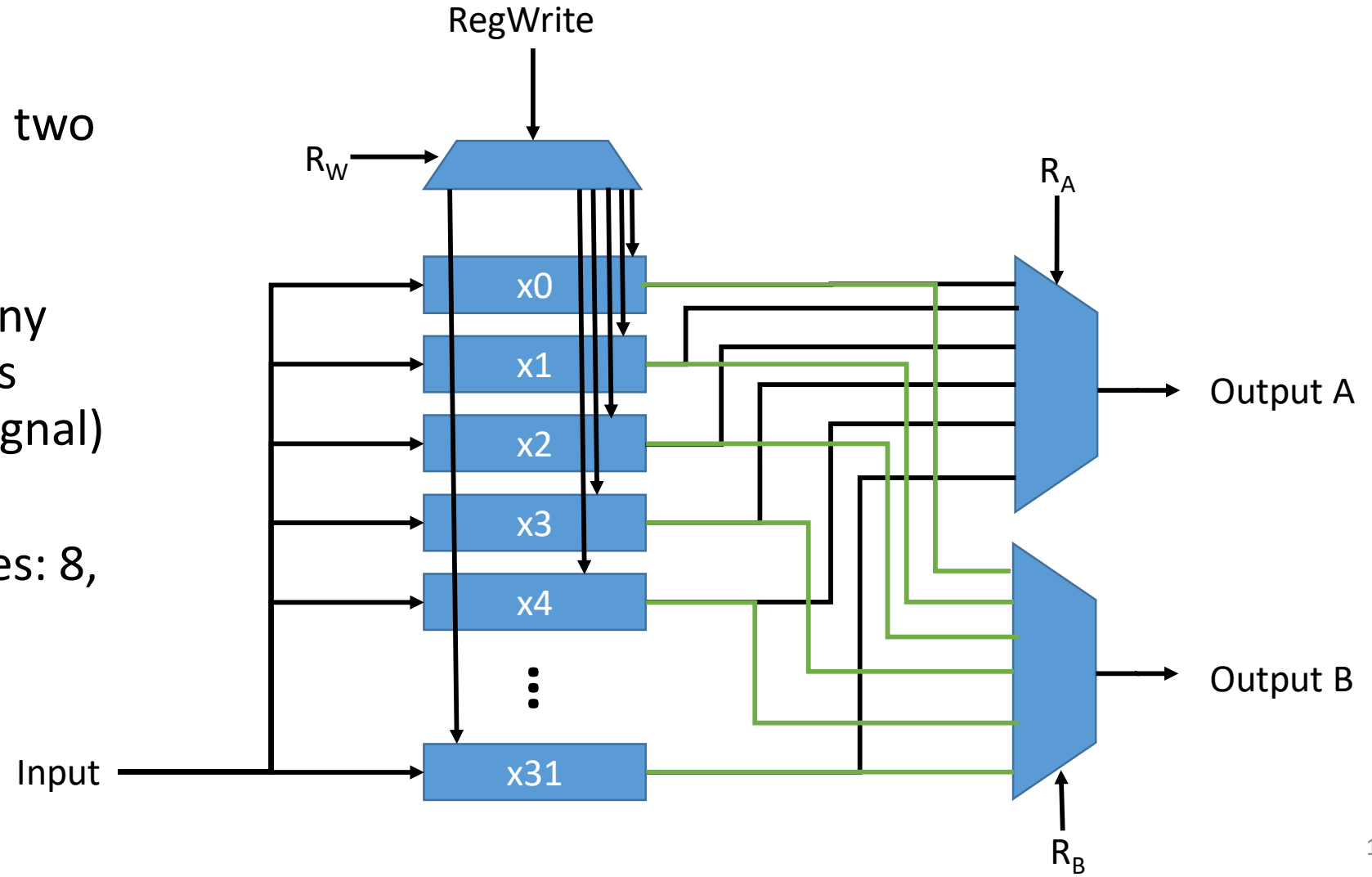


# Data Registers (Register File)

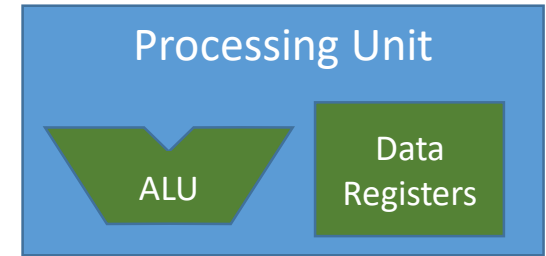
Register File

- Basic Properties

- Data registers with two output MUX
- Input is stored in any one of the registers (selection via  $R_W$  signal)
- Typical register sizes: 8, 16, 32, 64 bit

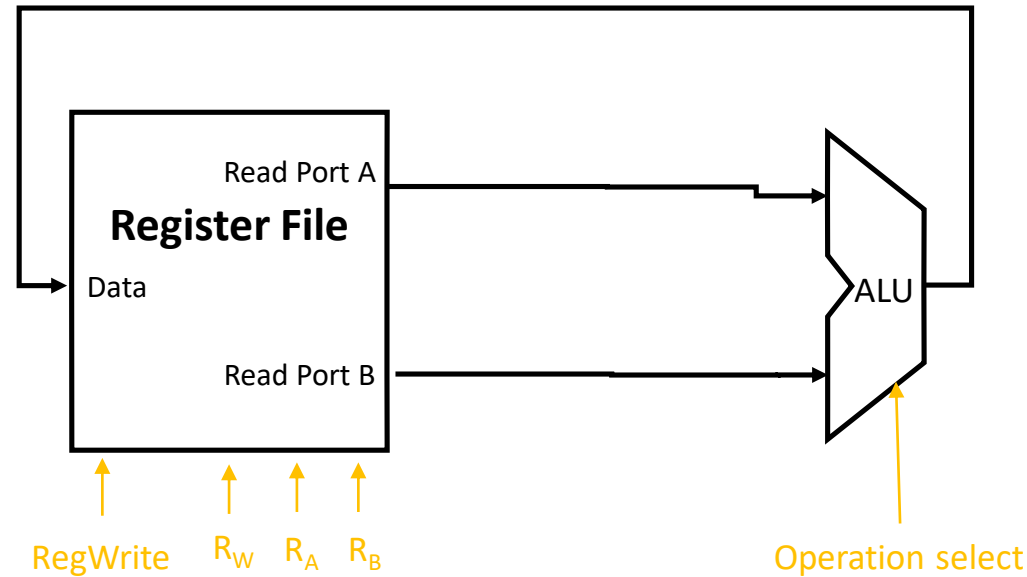


# Processing Unit



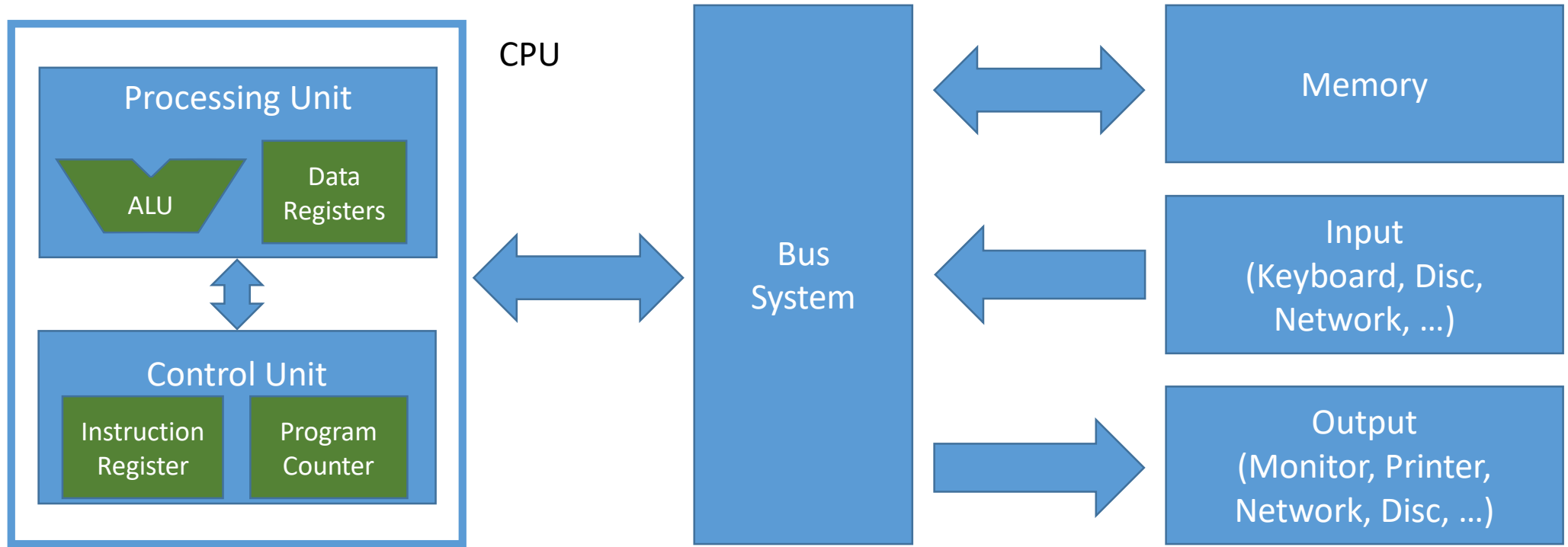
- The processing unit constitutes the data path of the CPU
- Based on control signals that are provided as inputs operations are performed in the ALU and data registers are updated

# A First Simple Datapath for Our CPU



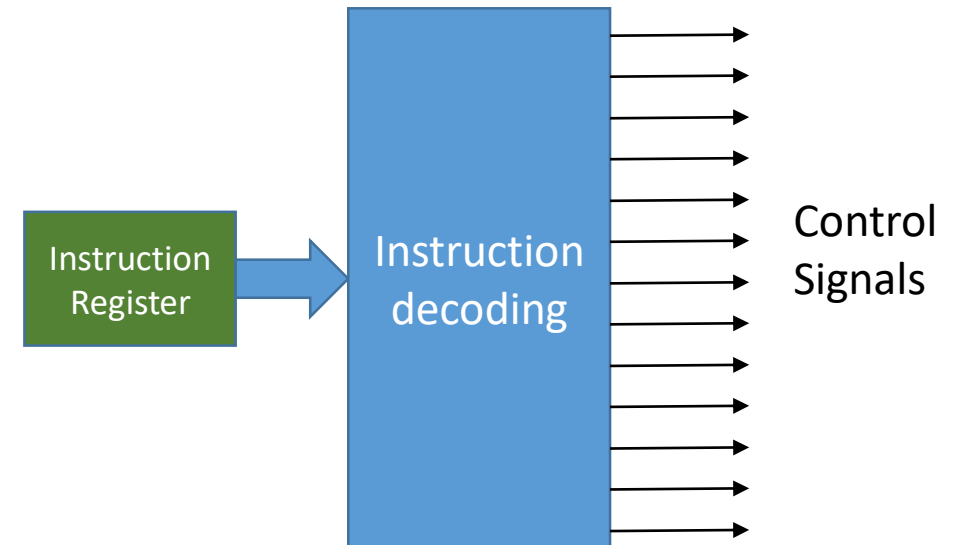
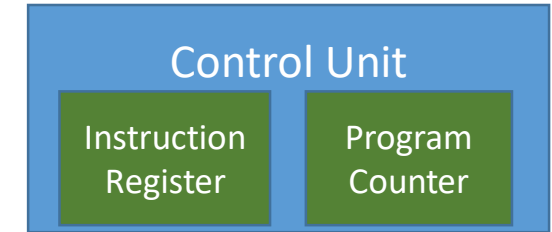
- How do we get data from “outside” into the register file?
- Where do we get the control signals from?



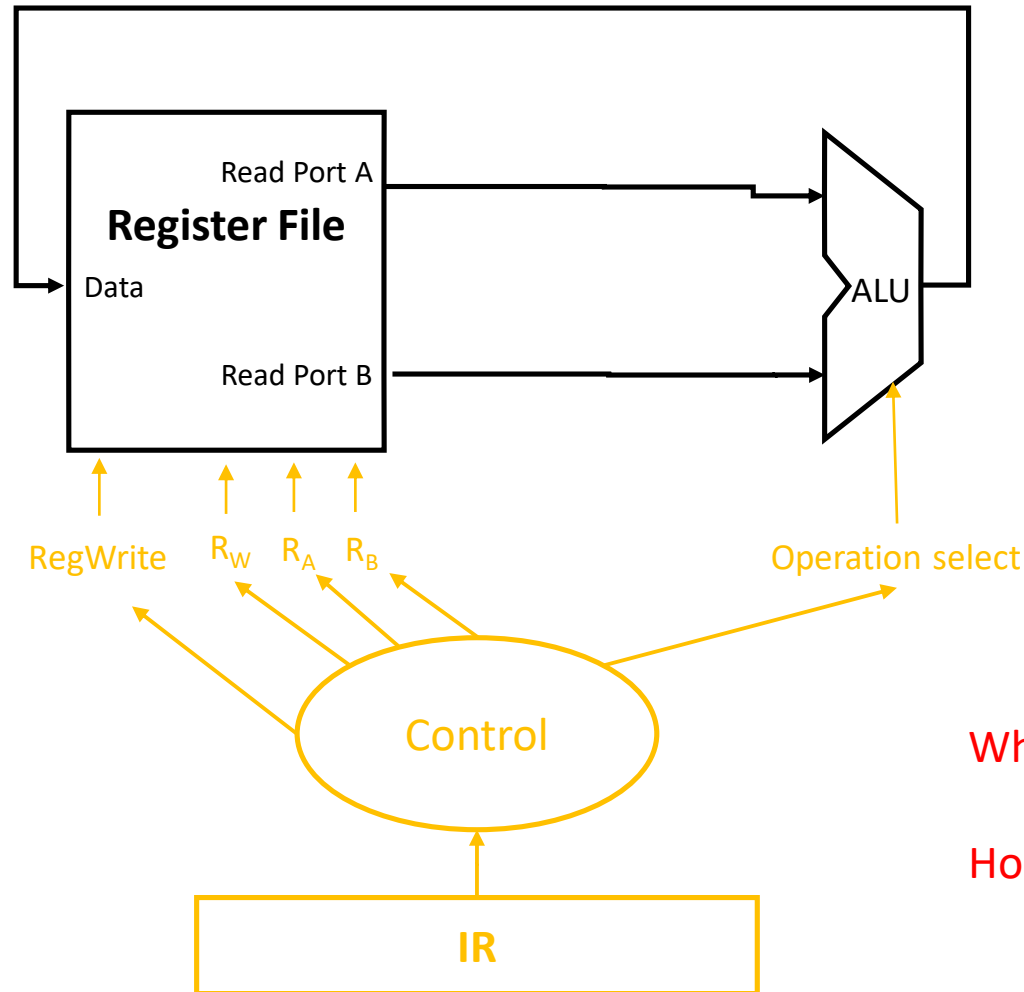


# Instruction Register

- The instruction register stores the instruction that shall be executed by the data path
- The instruction decoder maps the instruction register to control signals



# A First Simple Datapath with Control for Our CPU



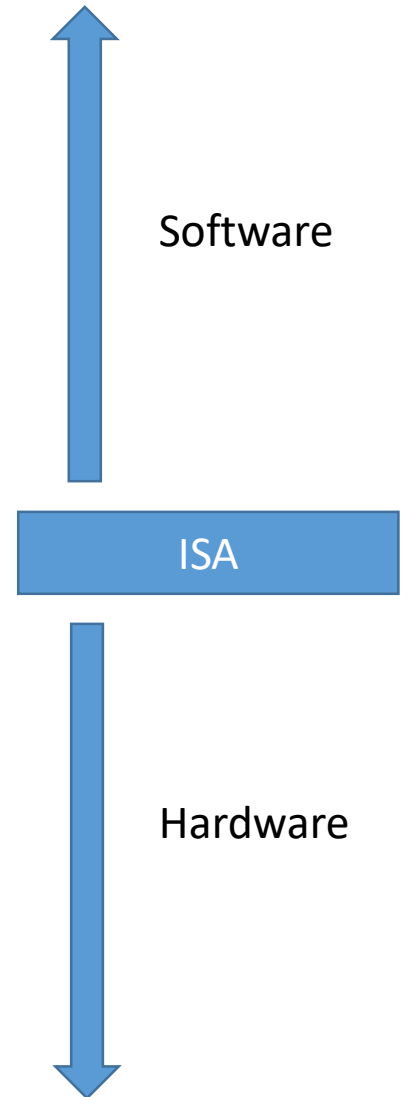
What is an instruction?

How do we encode an instruction?

# Instruction Set Architectures

# Instruction Set Architecture (ISA)

- An instruction is the basic unit of processing on a computer
- The instruction set is the set of all instructions on a given computer architecture
- Options to represent instructions
  - Machine language:
    - A sequence of zeros and ones, e.g. `0x83200002` → this is the sequence of zeros and ones the processor takes into its instruction register for decoding and execution
    - Length varies can be many bytes long (up to 15 bytes on x86 CPUs)
  - Assembly language:
    - This is a human readable representation of an instruction, e.g. `ADD x3, x1, x2`
- The ISA is the interface between hardware and software



# Instruction Set Architectures

- There are many instruction set architectures from different vendors
  - Examples: Intel x86, AMD64, ARM, MIPS, PowerPC, SPARC, AVR, RISC-V, ...
- Instruction sets vary significantly in terms of number of instructions
  - **Complex Instruction Set Computer (CISC)**
    - Not only load and store operations perform memory accesses, but also other instructions
    - Design philosophy: many instructions, few instructions also for complex operations
    - Hundreds of instructions that include instructions performing complex operations like entire encryptions
    - Examples: x86 and x64 families
  - **Reduced Instruction Set Computer (RISC)**
    - RISC architectures are **load/store architectures**: only dedicated load and store instructions read/write from/to memory
    - Design philosophy: fewer instructions, lower complexity, high execution speed.
    - Instruction set including just basic operations
    - Examples: ARM, RISC-V
  - **One Instruction Set Computer (OISC)**
    - Computers with a single instruction (academic), e.g. SUBLEQ  
see [https://en.wikipedia.org/wiki/One\\_instruction\\_set\\_computer](https://en.wikipedia.org/wiki/One_instruction_set_computer)

# Competition Between Instruction Sets

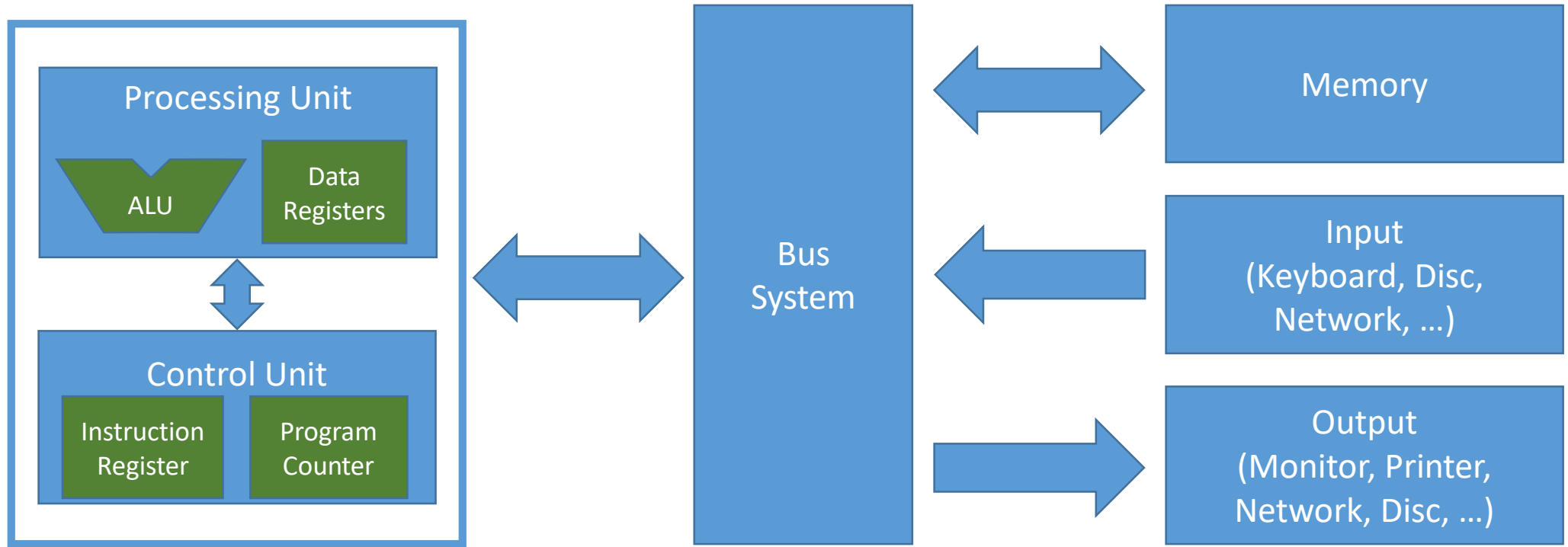
- Given a fixed program (e.g. written in C), which instruction set leads
  - to the smallest code size (the smallest number of instructions need to express the program)?
  - to best performance on a processor implementing the ISA?
  - lowest power consumption on a processor implementing the ISA?
  - ...

# Open vs. Closed Instruction Sets

- Most instruction sets are covered by patents
  - Building a computer that is compatible with that instruction set requires patent licensing
- RISC-V (the instruction set of this course)
  - is open
  - developed at UC Berkeley
  - An instruction family from low-end 32bit devices to large 64bit CPUs
  - Significant momentum in industry and academia
  - More information and full specs available at <https://riscv.org/>







# First RISC-V Basics

# RISC-V Instruction Sets

- **Base instruction sets**

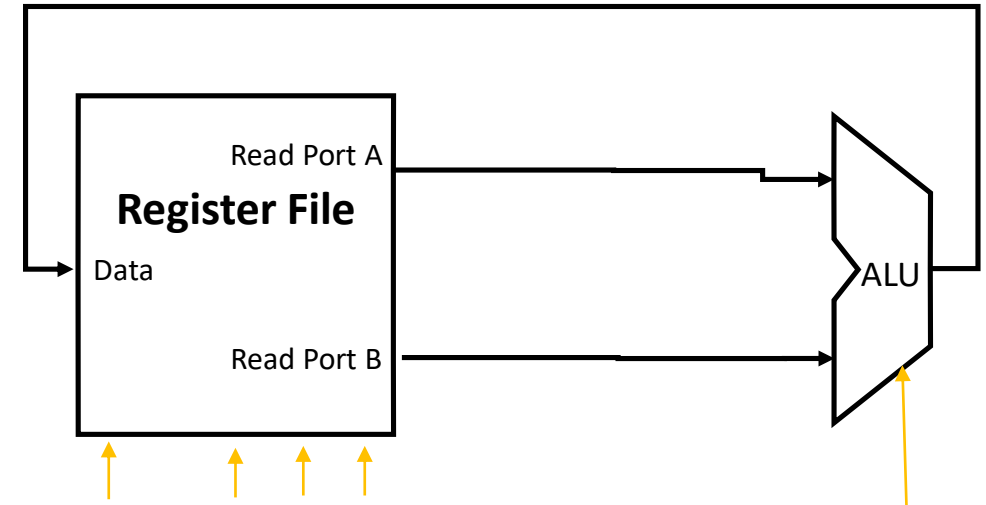
- **RV32I** (RV32E is the same as RV32I, except the fact that it only allows 16 registers)
- RV64I
- RV128I

- **Extensions**

- “M” Standard Extension for Integer Multiplication and Division
- “A” Standard Extension for Atomic Instructions
- “Zicsr”, Control and Status Register (CSR) Instructions
- “F” Standard Extension for Single-Precision Floating-Point
- ....

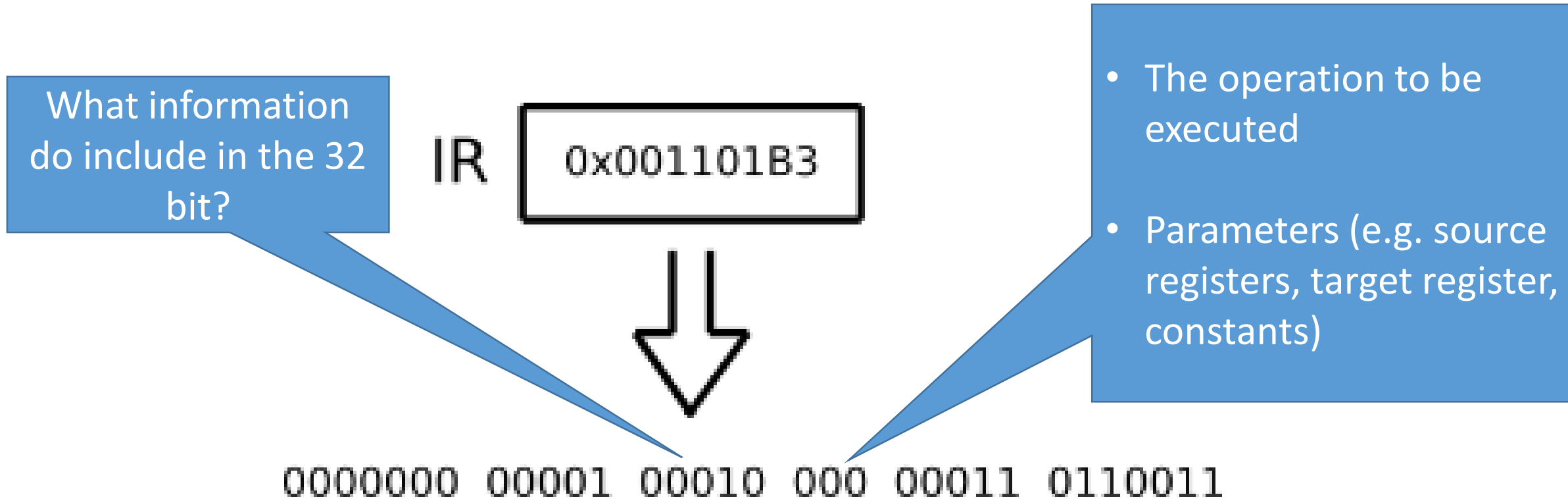
# Register File and ALU

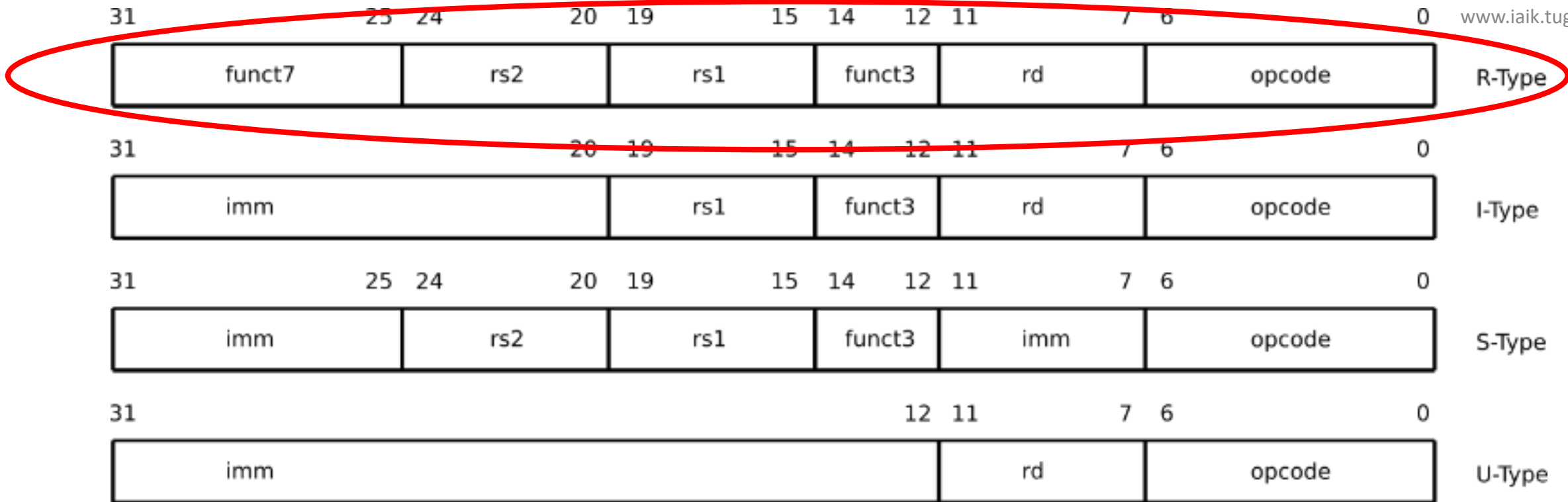
- We focus on RV32I
- The ALU and the register file are all 32 bit
- Our register file consists of 32 registers (Note: register x0 always reads zero; writing to x0 does not lead to storing a value)



# Basics

The base instruction set has fixed-length 32-bit instructions

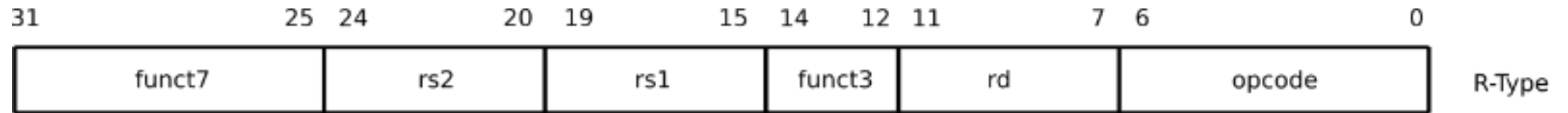




- **Opcode, funct3, funct7:** definition of the functionality
- **Imm:** immediate values (constants)
- **rs1, rs2:** source registers
- **rd:** destination register

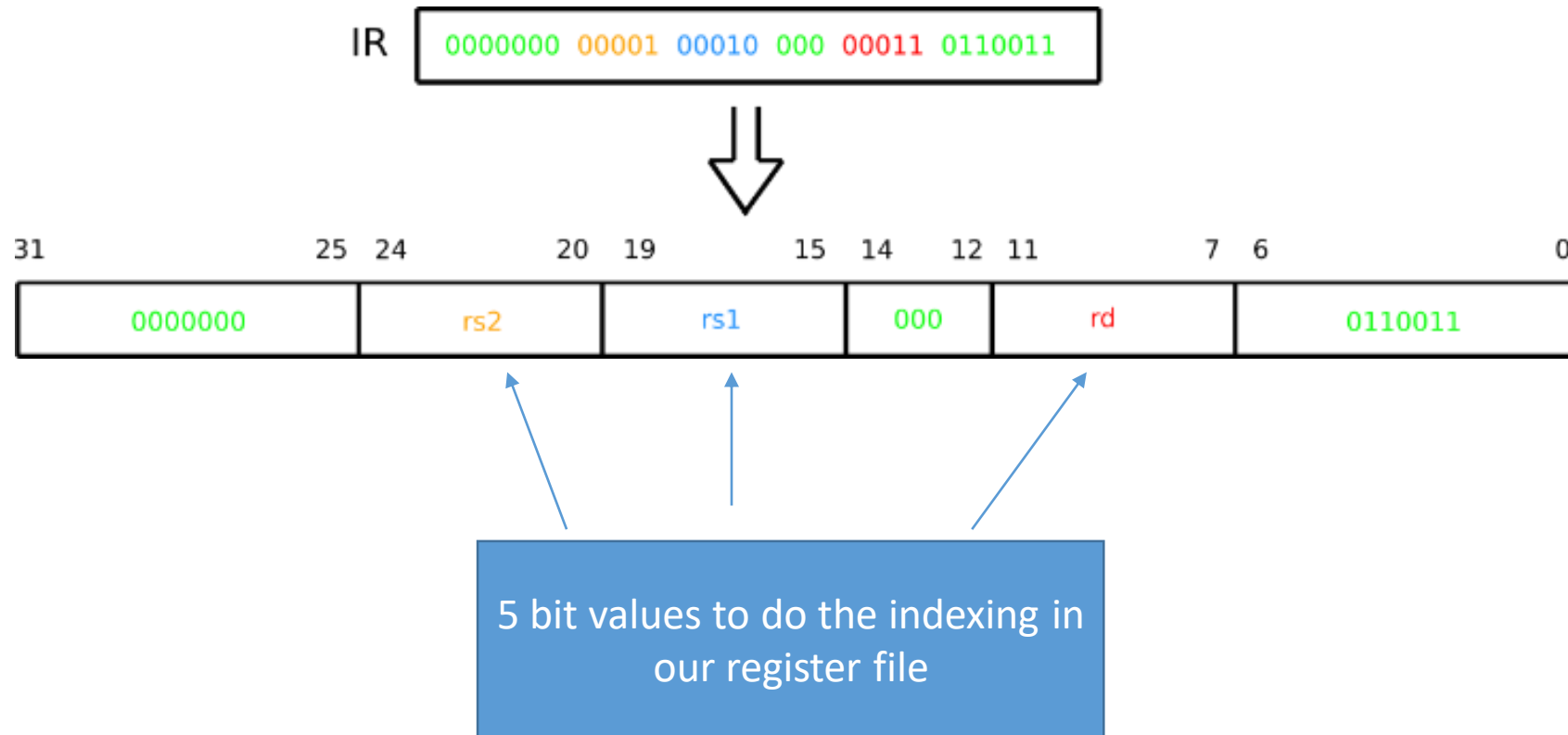
# R-Type Instructions

- These are instructions that perform arithmetic and logic operations based on two input registers



- funct7, funct4 and opcode define the operation to be performed
- rs1 defines source register 1
- rs2 defines source register 2
- rd defines the destination register

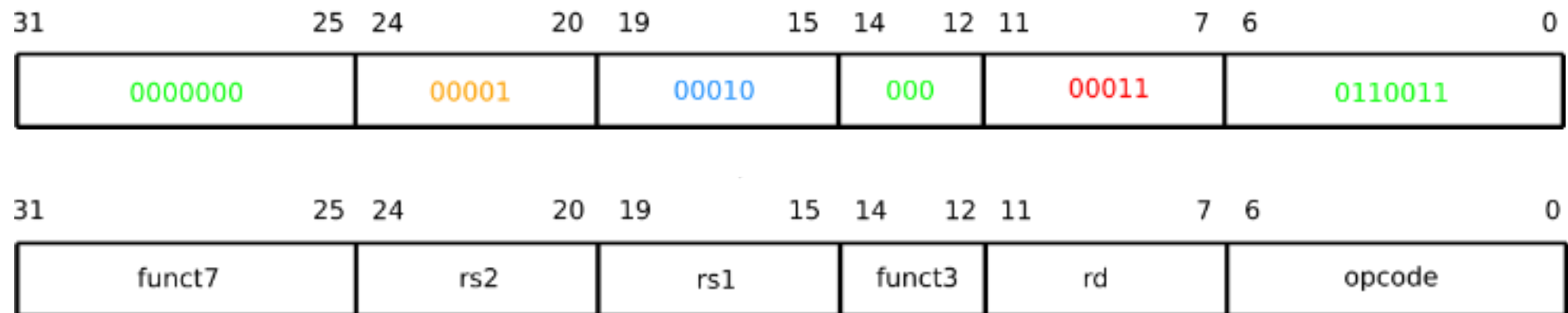
# Example





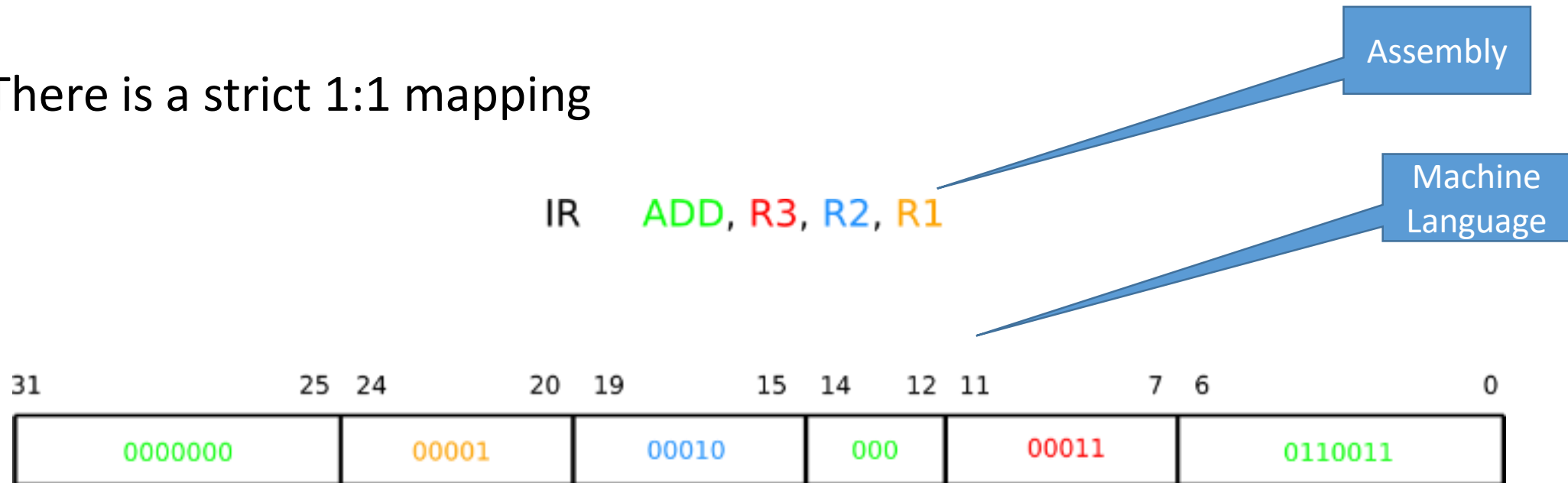
# Example

IR    **ADD**, **R3**, **R2**, **R1**



# Machine Language and Assembly

- Every instruction can be represented in human readable form → **assembly**
- Every instruction can be represented in machine readable form → **machine language**
- There is a strict 1:1 mapping



# The RV32I Instruction Set

- 40 instructions
- Categories:
  - Integer Computational Instructions
  - Load and Store Instructions
  - Control Transfer Instructions
  - Memory Ordering Instructions
  - Environment Call and Breakpoints

imm[31:12]				rd	0110111	LUI	
imm[31:12]				rd	0010111	AUIPC	
imm[20 10:1 11 19:12]				rd	1101111	JAL	
imm[11:0]		rs1	000	rd	1100111	JALR	
imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ	
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE	
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT	
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE	
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU	
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU	
imm[11:0]		rs1	000	rd	0000011	LB	
imm[11:0]		rs1	001	rd	0000011	LH	
imm[11:0]		rs1	010	rd	0000011	LW	
imm[11:0]		rs1	100	rd	0000011	LBU	
imm[11:0]		rs1	101	rd	0000011	LHU	
imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB	
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH	
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW	
imm[11:0]		rs1	000	rd	0010011	ADDI	
imm[11:0]		rs1	010	rd	0010011	SLTI	
imm[11:0]		rs1	011	rd	0010011	SLTIU	
imm[11:0]		rs1	100	rd	0010011	XORI	
imm[11:0]		rs1	110	rd	0010011	ORI	
imm[11:0]		rs1	111	rd	0010011	ANDI	
0000000	shamt	rs1	001	rd	0010011	SLLI	
0000000	shamt	rs1	101	rd	0010011	SRLI	
0100000	shamt	rs1	101	rd	0010011	SRAI	
0000000	rs2	rs1	000	rd	0110011	ADD	
0100000	rs2	rs1	000	rd	0110011	SUB	
0000000	rs2	rs1	001	rd	0110011	SLL	
0000000	rs2	rs1	010	rd	0110011	SLT	
0000000	rs2	rs1	011	rd	0110011	SLTU	
0000000	rs2	rs1	100	rd	0110011	XOR	
0000000	rs2	rs1	101	rd	0110011	SRL	
0100000	rs2	rs1	101	rd	0110011	SRA	
0000000	rs2	rs1	110	rd	0110011	OR	
0000000	rs2	rs1	111	rd	0110011	AND	
fm	pred	succ	rs1	000	rd	0001111	FENCE
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK

# Integer Computational Instructions

0000000	rs2	rs1	000	rd	0110011	ADD
0100000	rs2	rs1	000	rd	0110011	SUB
0000000	rs2	rs1	001	rd	0110011	SLL
0000000	rs2	rs1	010	rd	0110011	SLT
0000000	rs2	rs1	011	rd	0110011	SLTU
0000000	rs2	rs1	100	rd	0110011	XOR
0000000	rs2	rs1	101	rd	0110011	SRL
0100000	rs2	rs1	101	rd	0110011	SRA
0000000	rs2	rs1	110	rd	0110011	OR
0000000	rs2	rs1	111	rd	0110011	AND

- All instructions take two input registers (**rs1** and **rs2**) and compute the result in **rd**
- Example: `sub r3, r1, r2` computes  $r3 = r1 - r2$

# Integer Computational Instructions

0000000	rs2	rs1	000	rd	0110011	ADD
0100000	rs2	rs1	000	rd	0110011	SUB
0000000	rs2	rs1	001	rd	0110011	SLL
0000000	rs2	rs1	010	rd	0110011	SLT
0000000	rs2	rs1	011	rd	0110011	SLTU
0000000	rs2	rs1	100	rd	0110011	XOR
0000000	rs2	rs1	101	rd	0110011	SRL
0100000	rs2	rs1	101	rd	0110011	SRA
0000000	rs2	rs1	110	rd	0110011	OR
0000000	rs2	rs1	111	rd	0110011	AND

## • Logic Functions

- AND
- OR
- XOR

## • Arithmetic

- ADD (Addition)
- SUB (Subtraction)

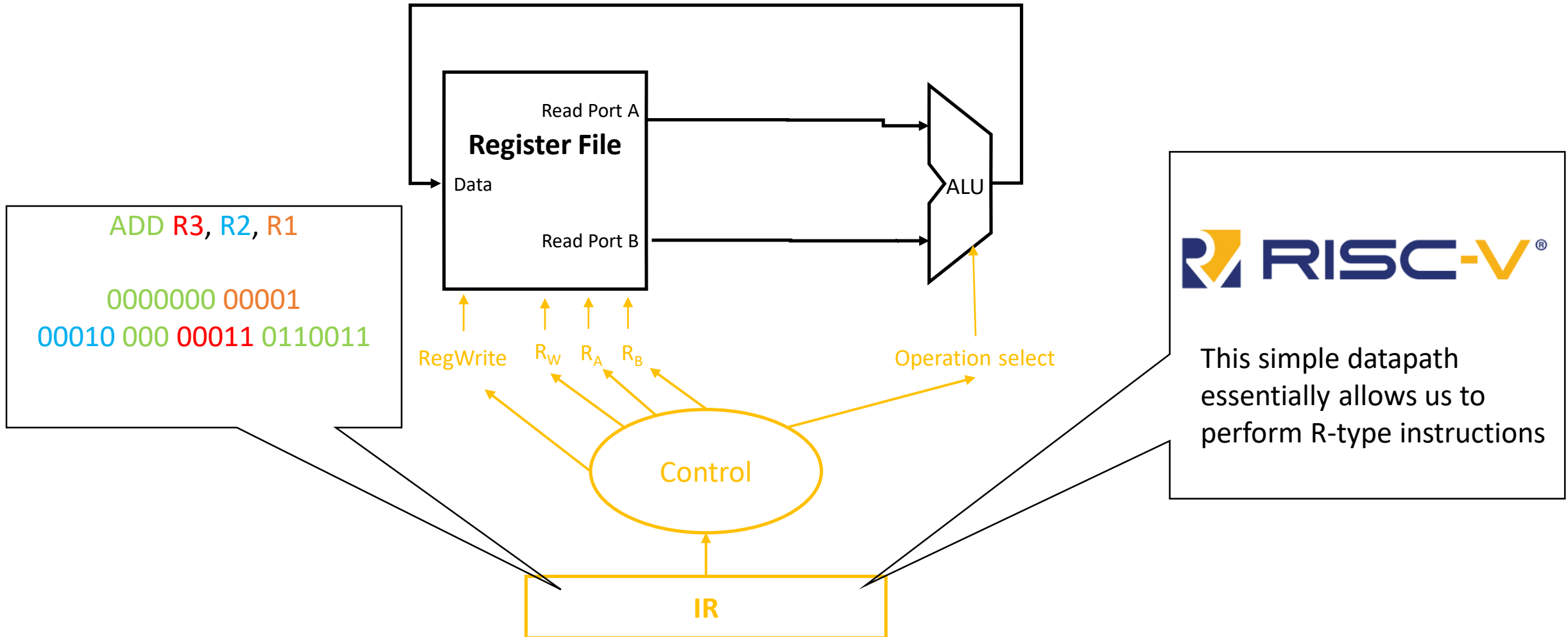
## • Shifts

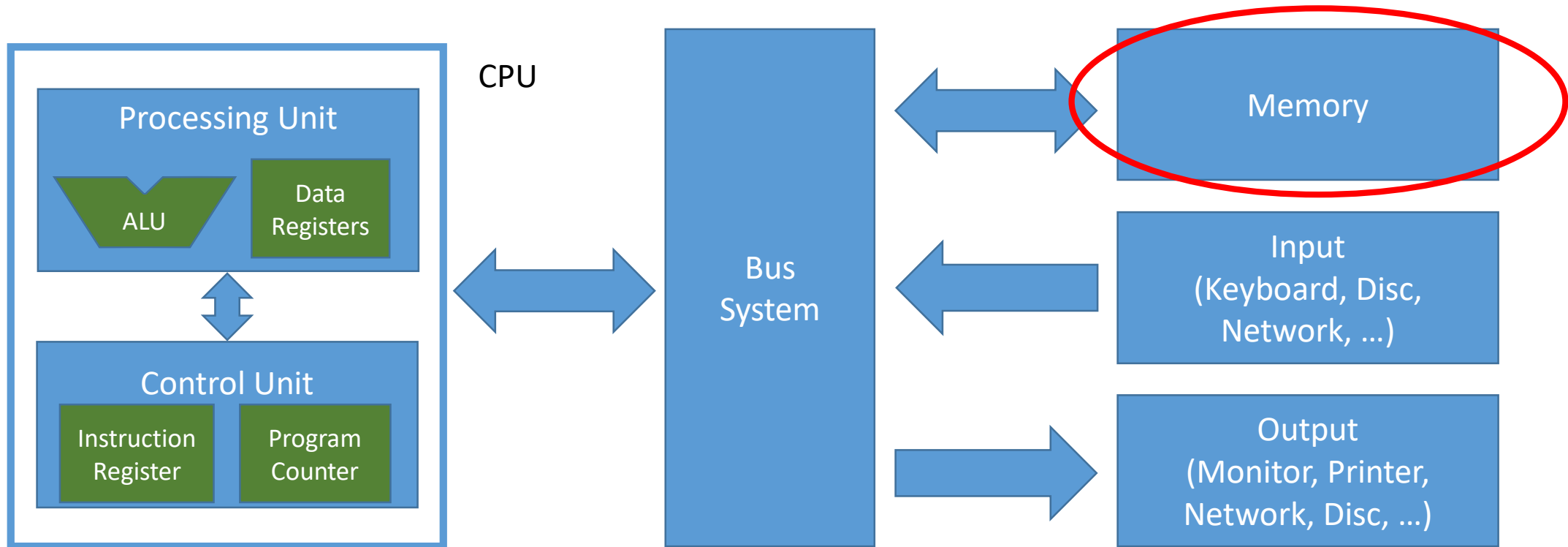
- SLL (Logical Shift Left)
- SRL (Logical Shift Right)
- SRA (Arithmetic Shift Right)

## • Compares

- SLT (Set on Less Than)
- SLTU (Set on Less Than – unsigned)

# A First Simple Datapath with Control for Our CPU



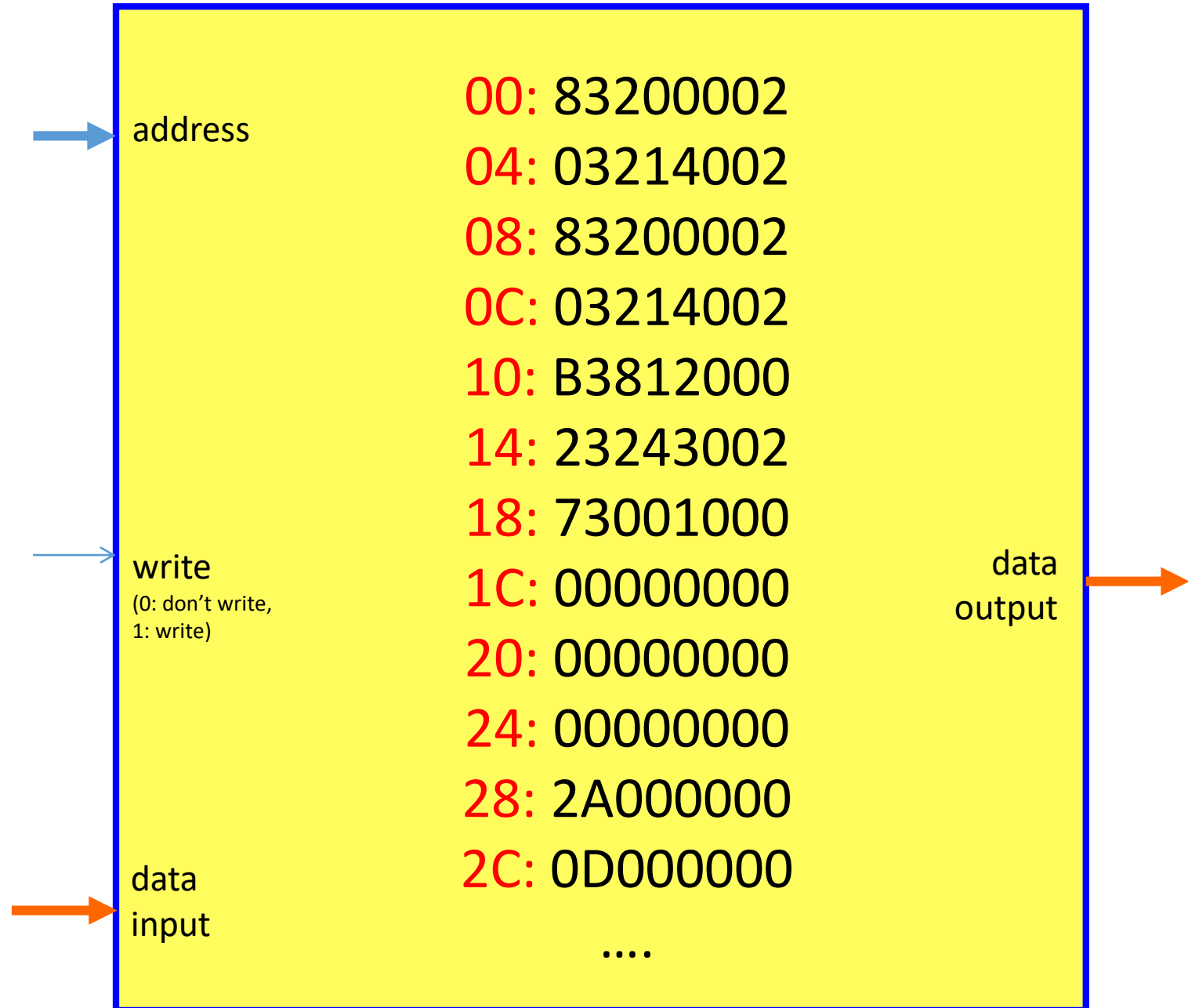


Let's learn about memories!

# Memory



# Memory

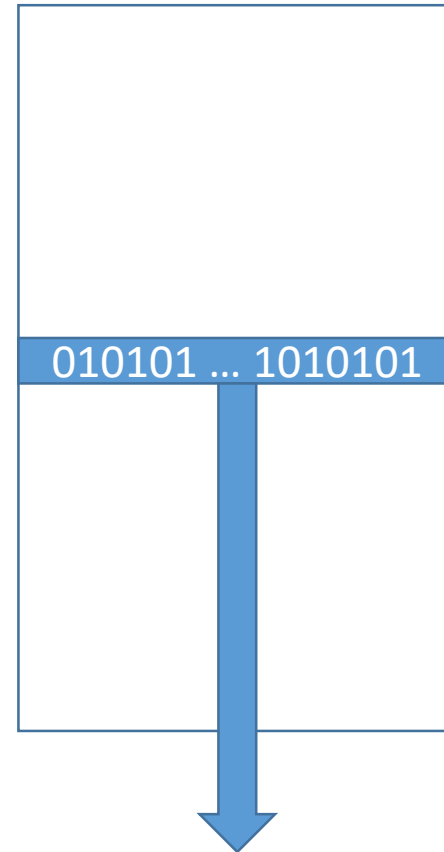


# Main memory is a “RAM”

**R**andom **A**ccess **M**emory

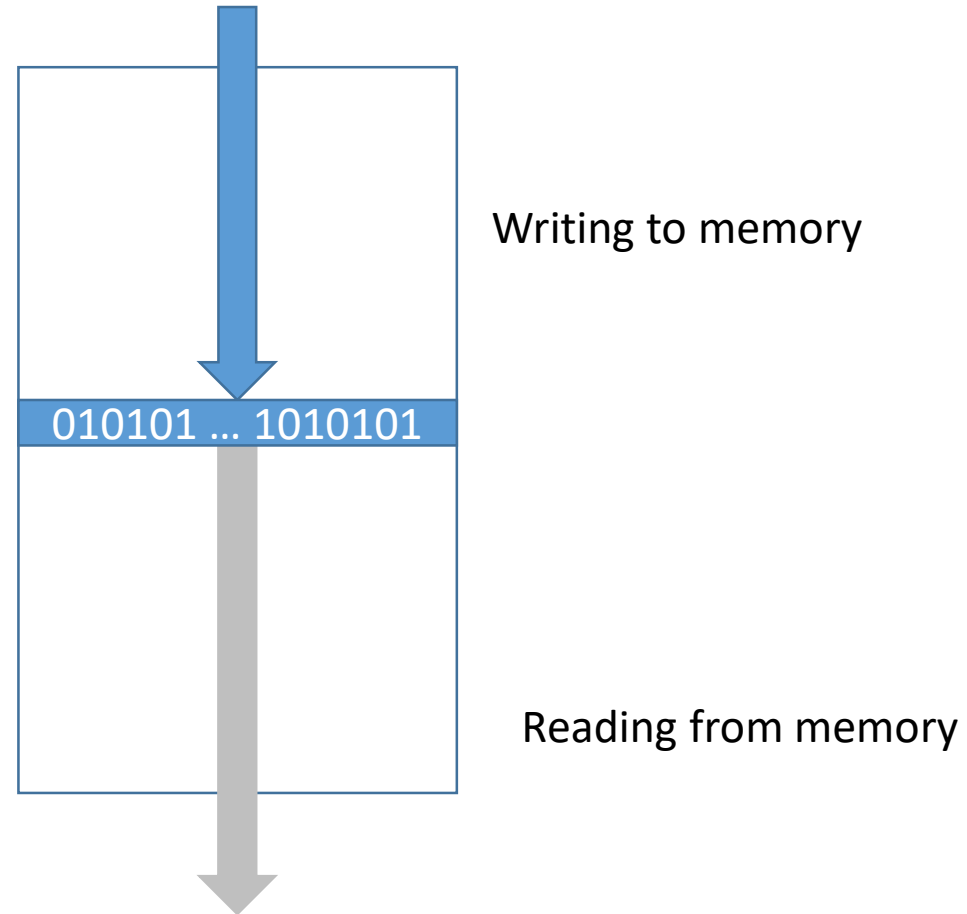
(“Memory where arbitrary read and write accesses can be performed”)

# Reading from memory

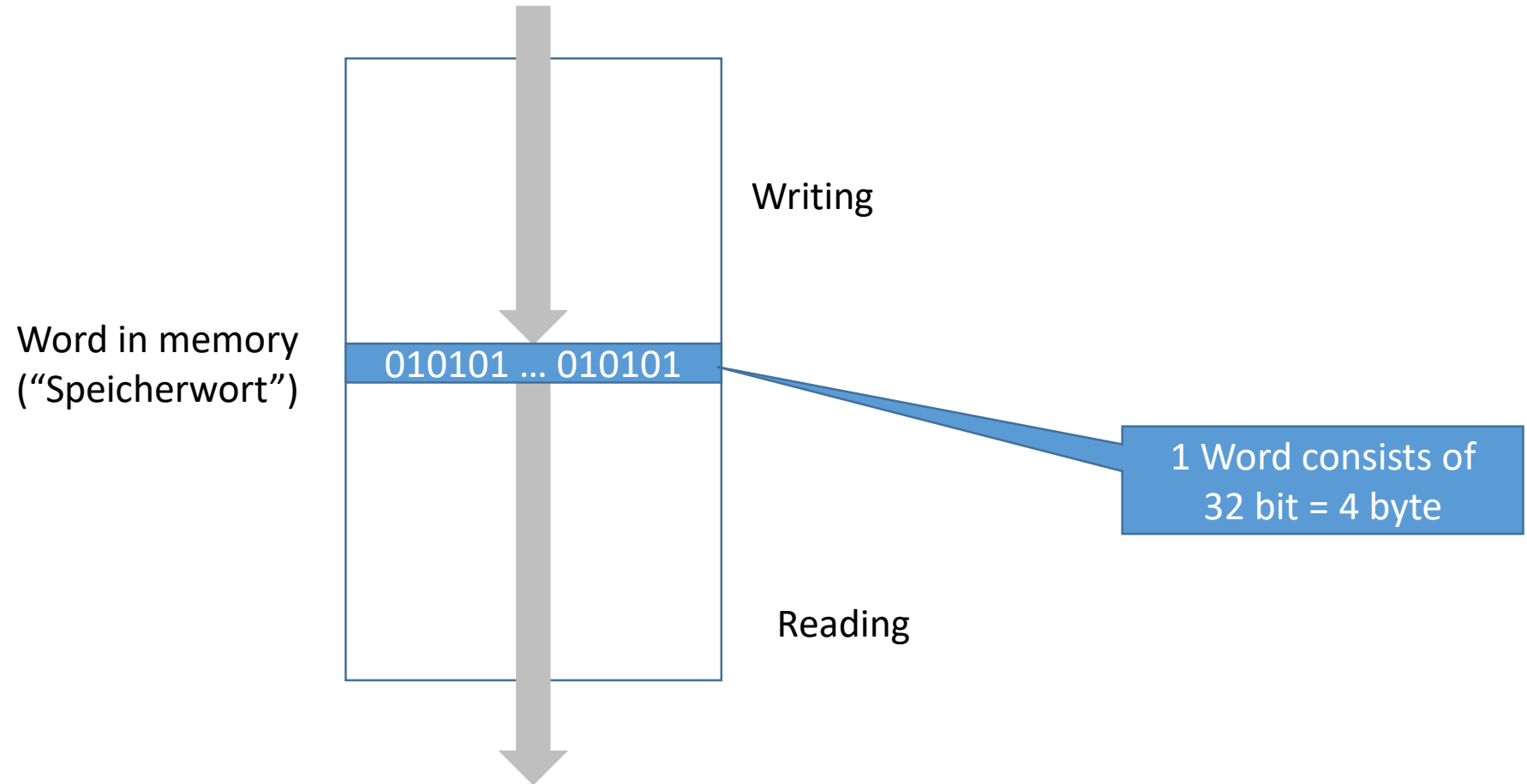


Reading from memory

# Writing to Memory



# A Word in Memory in Case of a 32-bit System



# Each Byte in Memory Has an Address

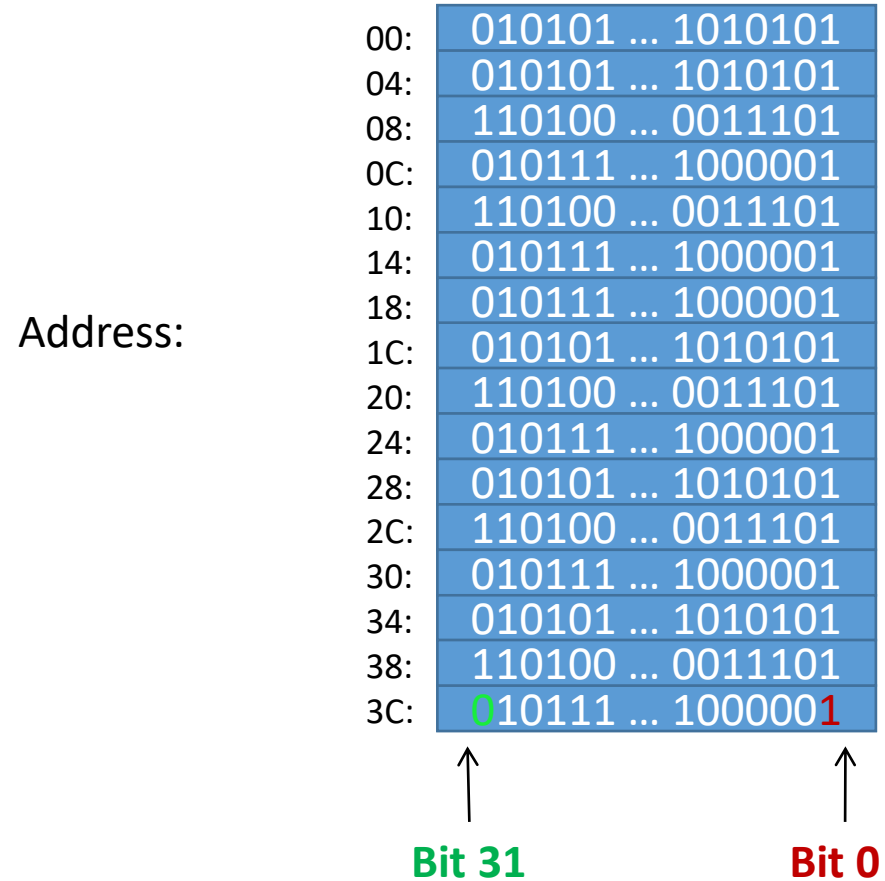
Address  
(increment by 1  
is an increment  
of the position  
by 1 byte)

Address:

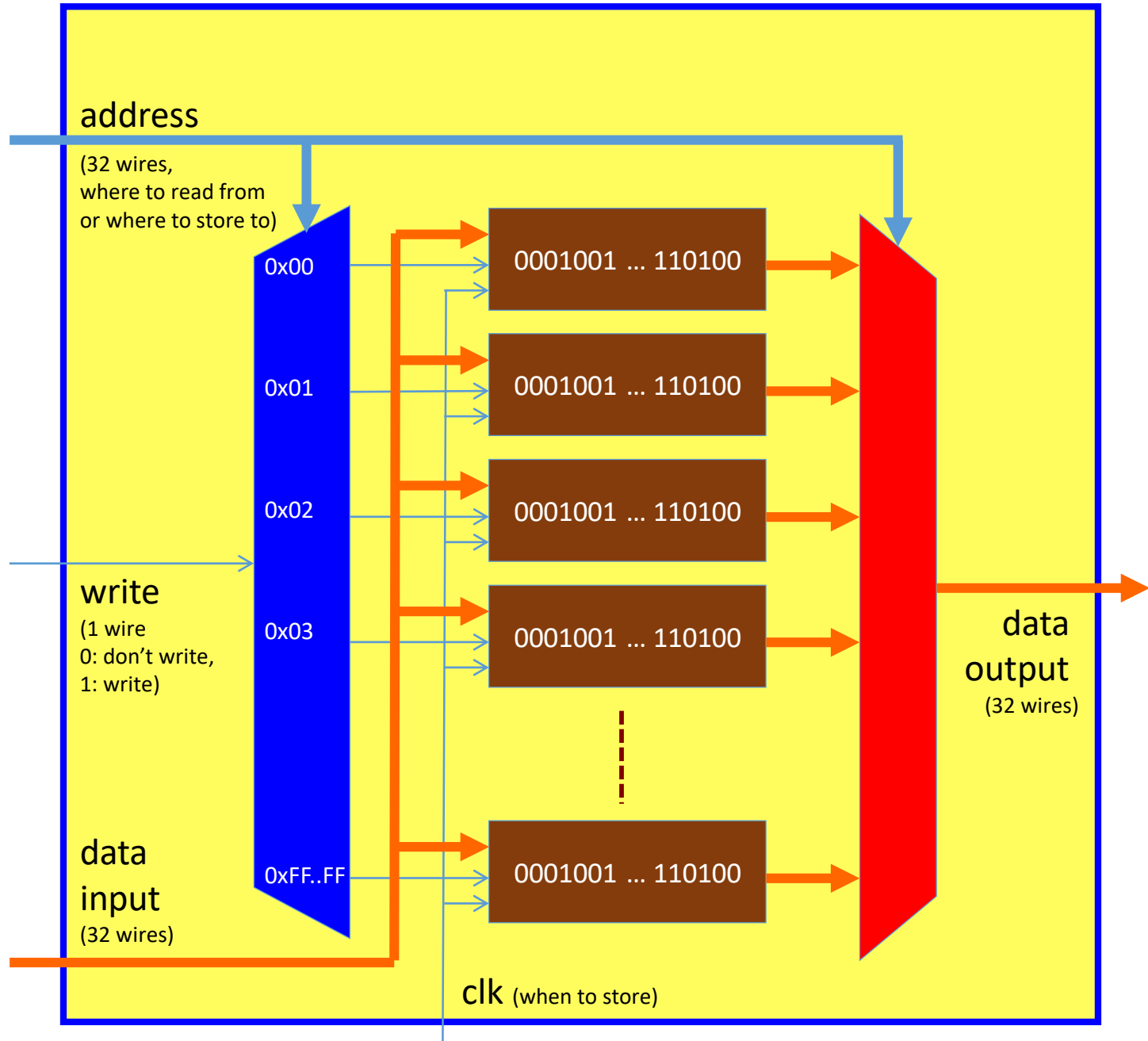
00:	010101 ... 1010101
04:	010101 ... 1010101
08:	110100 ... 0011101
0C:	010111 ... 1000001
10:	110100 ... 0011101
14:	010111 ... 1000001
18:	010111 ... 1000001
1C:	010101 ... 1010101
20:	110100 ... 0011101
24:	010111 ... 1000001
28:	010101 ... 1010101
2C:	110100 ... 0011101
30:	010111 ... 1000001
34:	010101 ... 1010101
38:	110100 ... 0011101
3C:	010111 ... 1000001

1 Word = 4 byte = 32 bit

# The Indices of the Bits Within a Word in Memory



# Memory



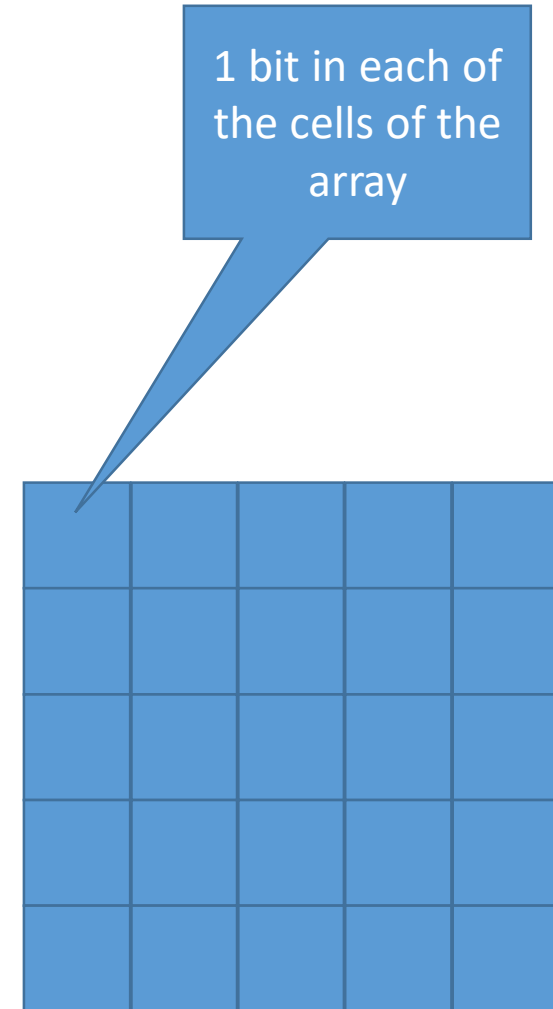


# Building Memories in Practice

- Building Memories based on standard flip flops (FFs), decoders and multiplexers would be extremely expensive!
  - Note: The functionality of a memory is less than what is available in a set of FFs:
    - A set of FFs allows that in each cycle a different value is written to each FF
    - A set of FFs allows that in each cycle the content of each FF is read
- A single port read/write memory requires only that it is possible to read/write one memory cell at a time

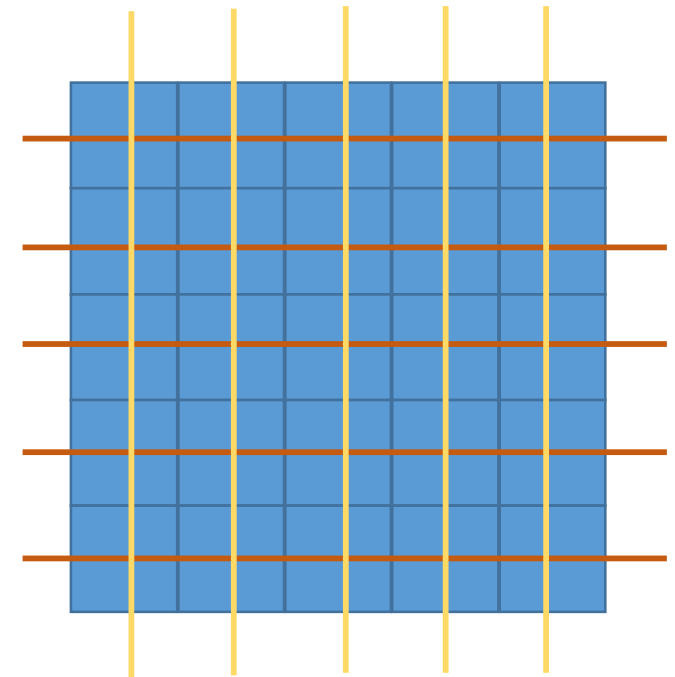
# Basic Idea of Memory Design

- Example: A RAM with a one bit read/write port
- Memories are built using so-called memory cells. Each cell can store one bit
- The memory cells are placed on a chip next to each other and form a rectangular structure: the so-called cell array.



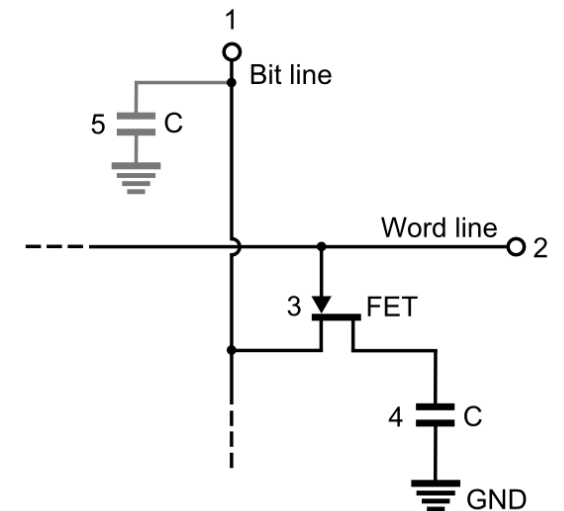
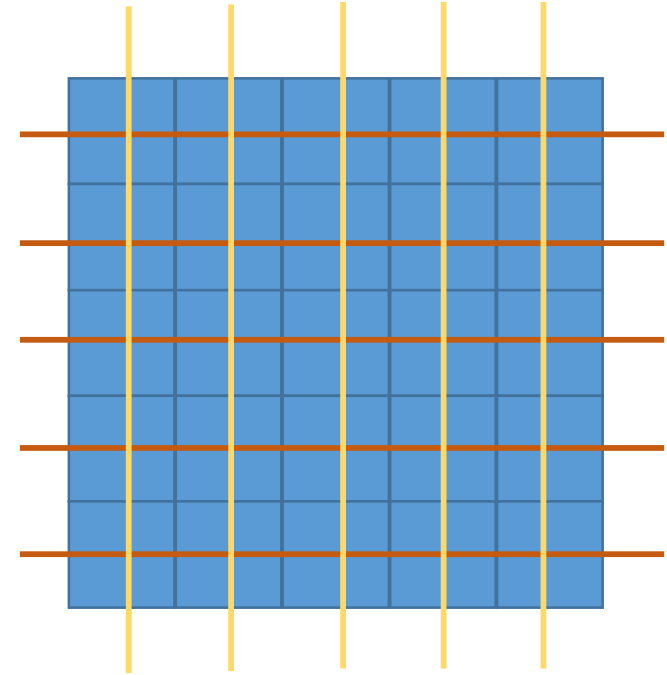
# Basic Idea of Memory Design

- A bitline connects all memory cells vertically (yellow)
- A wordline connects all memory cells horizontally
- This basic structure is used for all kinds of memories:
  - Non-volatile memory (NVM)
  - Static memory (SRAM)
  - Dynamic memory (DRAM)
  - DDR memory
- Each memory type is for different trade-offs with respect to size, speed, ...



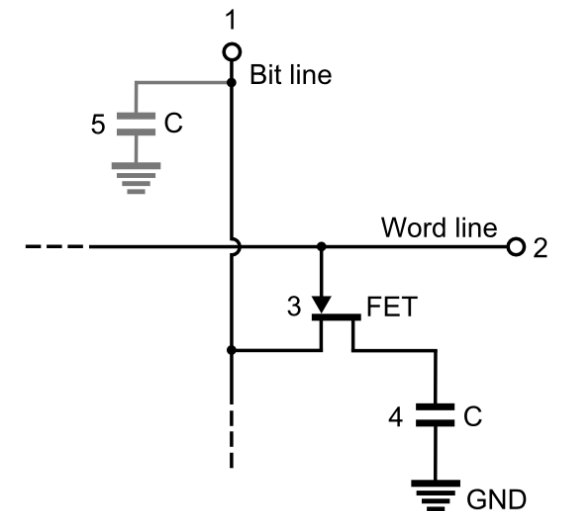
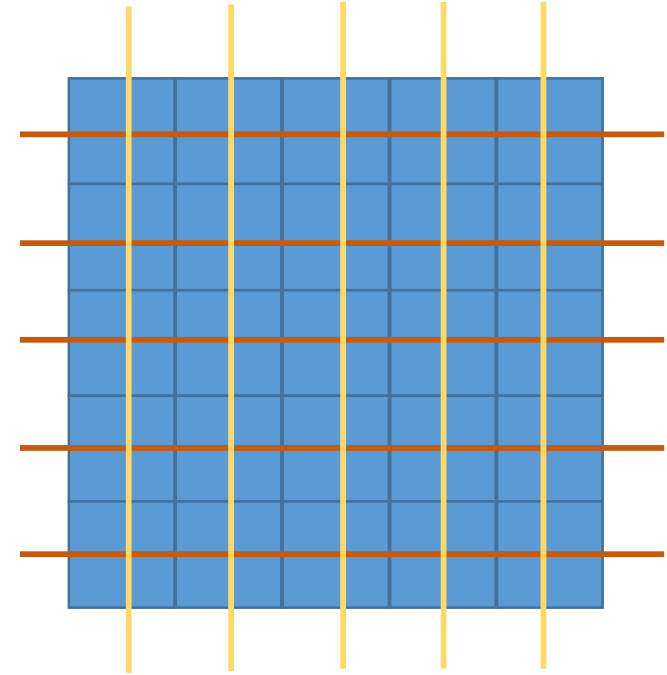
# Basic Idea of Read/Write for DRAM

- A DRAM cell just consists of a single transistor and a capacitance that stores the data value
- In steady state (no access) all bitlines and wordlines are disconnected from the power supply (i.e. they are floating)



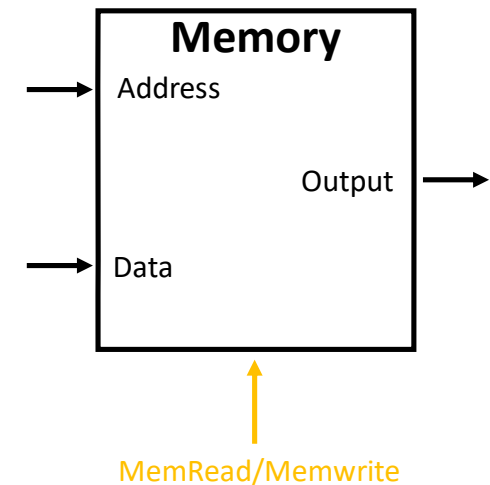
# Basic Idea of Read/Write for DRAM

- Writing a cell:
  - Set corresponding bitline to the desired storage value
  - Set corresponding wordline to high
  - This charges the capacitance of the desired cell to the desired storage value
- Reading a cell:
  - Pre-charge the corresponding bitline to the desired voltage value
  - Disconnect the bitline
  - Set the corresponding wordline to high
  - The bitline keeps its value, if the stored value is high or is pulled to low, if the stored value is zero



# Memories

- There are many details to know and learn about memories → memories are one of the most highly optimized components of a computer system
- In this lecture, we focus on the top-level view
- With “memory” we mean a single-port read and single-port write memory for 32-bit values



# Sign Extension

- Memory operations in RISC-V require to combine signed values of different bit sizes when computing addresses
- This requires to perform “sign extension”
- Sign extension means that the MSB of the shorter value is replicated until the bit size of the larger value is reached. This ensures correct arithmetic handling.

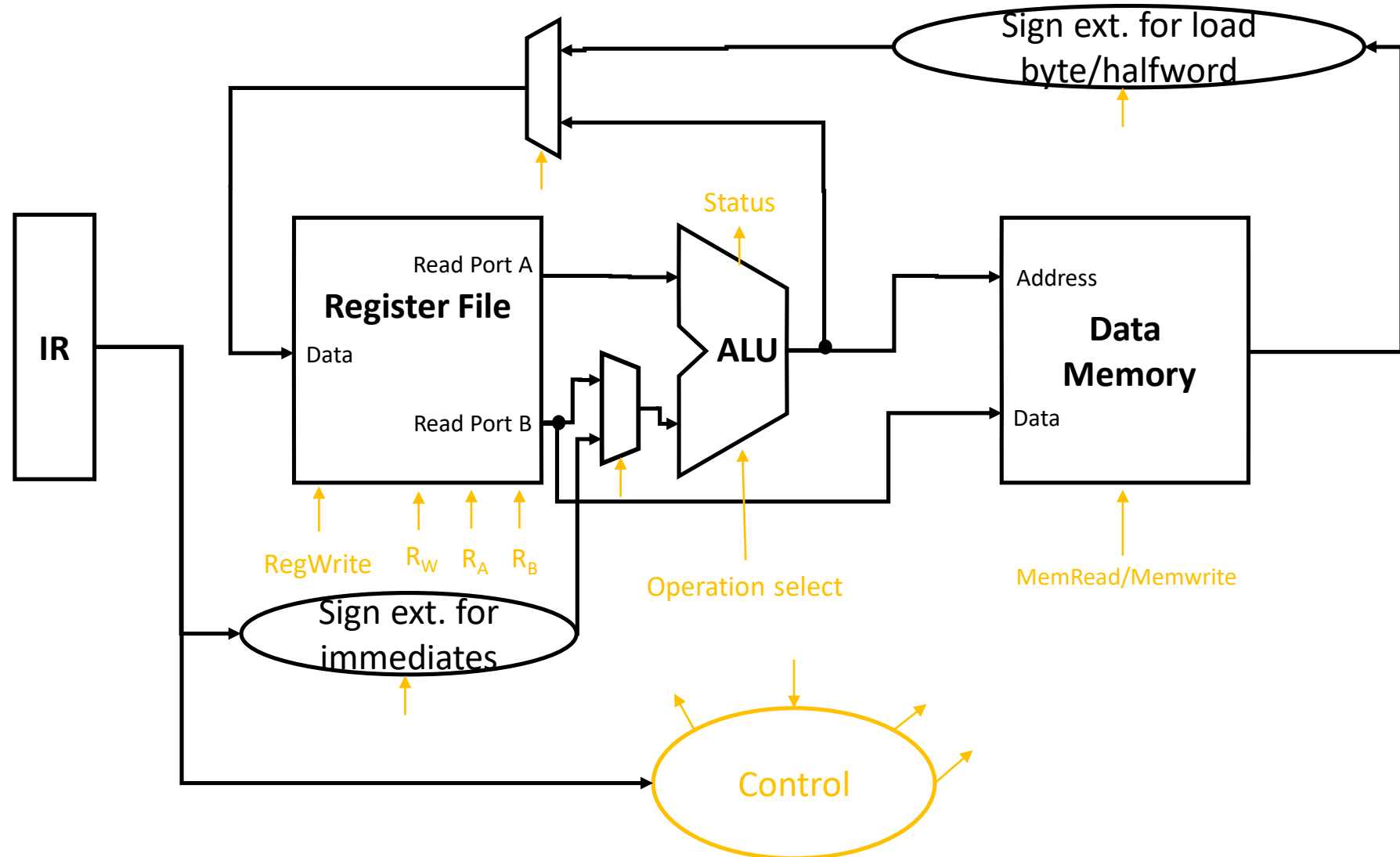
# Sign Extension – Example

- Example: compute  $A + B$ 
  - Value A (16 bit): 7 (Binary: 00000000 00000111 )
  - Value B (8 bit): -1 (Binary: 11111111 )
- If we would simply add the values without sign extension, this would lead to an incorrect result: 262 decimal (Binary: 00000001 00000110 )
- Correct computation with sign extension:
  - Value A (16 bit): 7 (Binary: 00000000 00000111 )
  - Value B after sign extension (16 bit): -1 (Binary: 11111111 11111111 )
  - Result  $A + B$  : 6 (Binary: 00000000 00000110 )





# Datapath Including Data Memory and Sign Extension



## RV32I Base Instruction Set

imm[31:12]				rd	0110111	LUI	
imm[31:12]				rd	0010111	AUIPC	
imm[20 10:1 11 19:12]				rd	1101111	JAL	
imm[11:0]		rs1	000	rd	1100111	JALR	
imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ	
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE	
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT	
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE	
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU	
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU	
imm[11:0]		rs1	000	rd	0000011	LB	
imm[11:0]		rs1	001	rd	0000011	LH	
imm[11:0]		rs1	010	rd	0000011	LW	
imm[11:0]		rs1	100	rd	0000011	LBU	
imm[11:0]		rs1	101	rd	0000011	LHU	
imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB	
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH	
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW	
imm[11:0]		rs1	000	rd	0010011	ADDI	
imm[11:0]		rs1	010	rd	0010011	SLTI	
imm[11:0]		rs1	011	rd	0010011	SLTIU	
imm[11:0]		rs1	100	rd	0010011	XORI	
imm[11:0]		rs1	110	rd	0010011	ORI	
imm[11:0]		rs1	111	rd	0010011	ANDI	
0000000	shamt	rs1	001	rd	0010011	SLLI	
0000000	shamt	rs1	101	rd	0010011	SRLI	
0100000	shamt	rs1	101	rd	0010011	SRAI	
0000000	rs2	rs1	000	rd	0110011	ADD	
0100000	rs2	rs1	000	rd	0110011	SUB	
0000000	rs2	rs1	001	rd	0110011	SLL	
0000000	rs2	rs1	010	rd	0110011	SLT	
0000000	rs2	rs1	011	rd	0110011	SLTU	
0000000	rs2	rs1	100	rd	0110011	XOR	
0000000	rs2	rs1	101	rd	0110011	SRL	
0100000	rs2	rs1	101	rd	0110011	SRA	
0000000	rs2	rs1	110	rd	0110011	OR	
0000000	rs2	rs1	111	rd	0110011	AND	
fm	pred	succ	rs1	000	rd	0001111	FENCE
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK

Arithmetic/Logic operations were already possible with our first version of the ALU

imm[31:12]					rd	0110111	LUI
imm[31:12]					rd	0010111	AUIPC
imm[20 10:1 11 19:12]					rd	1101111	JAL
imm[11:0]			rs1	000	rd	1100111	JALR
imm[12 10:5]		rs2	rs1	000	imm[4:1 11]	1100011	BEQ
imm[12 10:5]		rs2	rs1	001	imm[4:1 11]	1100011	BNE
imm[12 10:5]		rs2	rs1	100	imm[4:1 11]	1100011	BLT
imm[12 10:5]		rs2	rs1	101	imm[4:1 11]	1100011	BGE
imm[12 10:5]		rs2	rs1	110	imm[4:1 11]	1100011	BLTU
imm[12 10:5]		rs2	rs1	111	imm[4:1 11]	1100011	BGEU
imm[11:0]			rs1	000	rd	0000011	LB
imm[11:0]			rs1	001	rd	0000011	LH
imm[11:0]			rs1	010	rd	0000011	LW
imm[11:0]			rs1	100	rd	0000011	LBU
imm[11:0]			rs1	101	rd	0000011	LHU
imm[11:5]		rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]		rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]		rs2	rs1	010	imm[4:0]	0100011	SW
imm[11:0]			rs1	000	rd	0010011	ADDI
imm[11:0]			rs1	010	rd	0010011	SLTI
imm[11:0]			rs1	011	rd	0010011	SLTIU
imm[11:0]			rs1	100	rd	0010011	XORI
imm[11:0]			rs1	110	rd	0010011	ORI
imm[11:0]			rs1	111	rd	0010011	ANDI
0000000		shamt	rs1	001	rd	0010011	SLLI
0000000		shamt	rs1	101	rd	0010011	SRLI
0100000		shamt	rs1	101	rd	0010011	SRAI
0000000		rs2	rs1	000	rd	0110011	ADD
0100000		rs2	rs1	000	rd	0110011	SUB
0000000		rs2	rs1	001	rd	0110011	SLL
0000000		rs2	rs1	010	rd	0110011	SLT
0000000		rs2	rs1	011	rd	0110011	SLTU
0000000		rs2	rs1	100	rd	0110011	XOR
0000000		rs2	rs1	101	rd	0110011	SRL
0100000		rs2	rs1	101	rd	0110011	SRA
0000000		rs2	rs1	110	rd	0110011	OR
0000000		rs2	rs1	111	rd	0110011	AND
fm	pred	succ	rs1	000	rd	0001111	FENCE
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK

Additional operations that we can perform with our updated datapath:

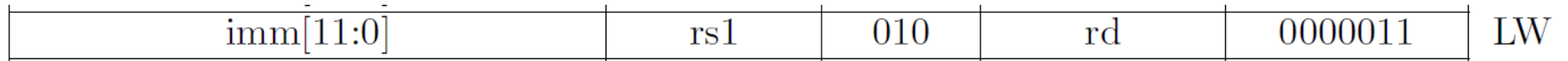
Load/Store Operations

Additional operations that we can perform with our updated datapath:

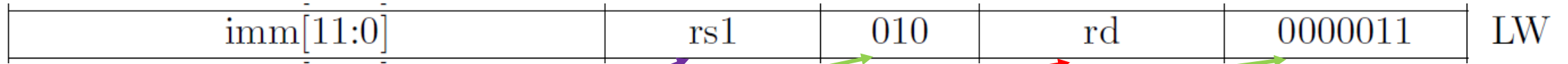
Operations using immediate values

# Example: Load Word

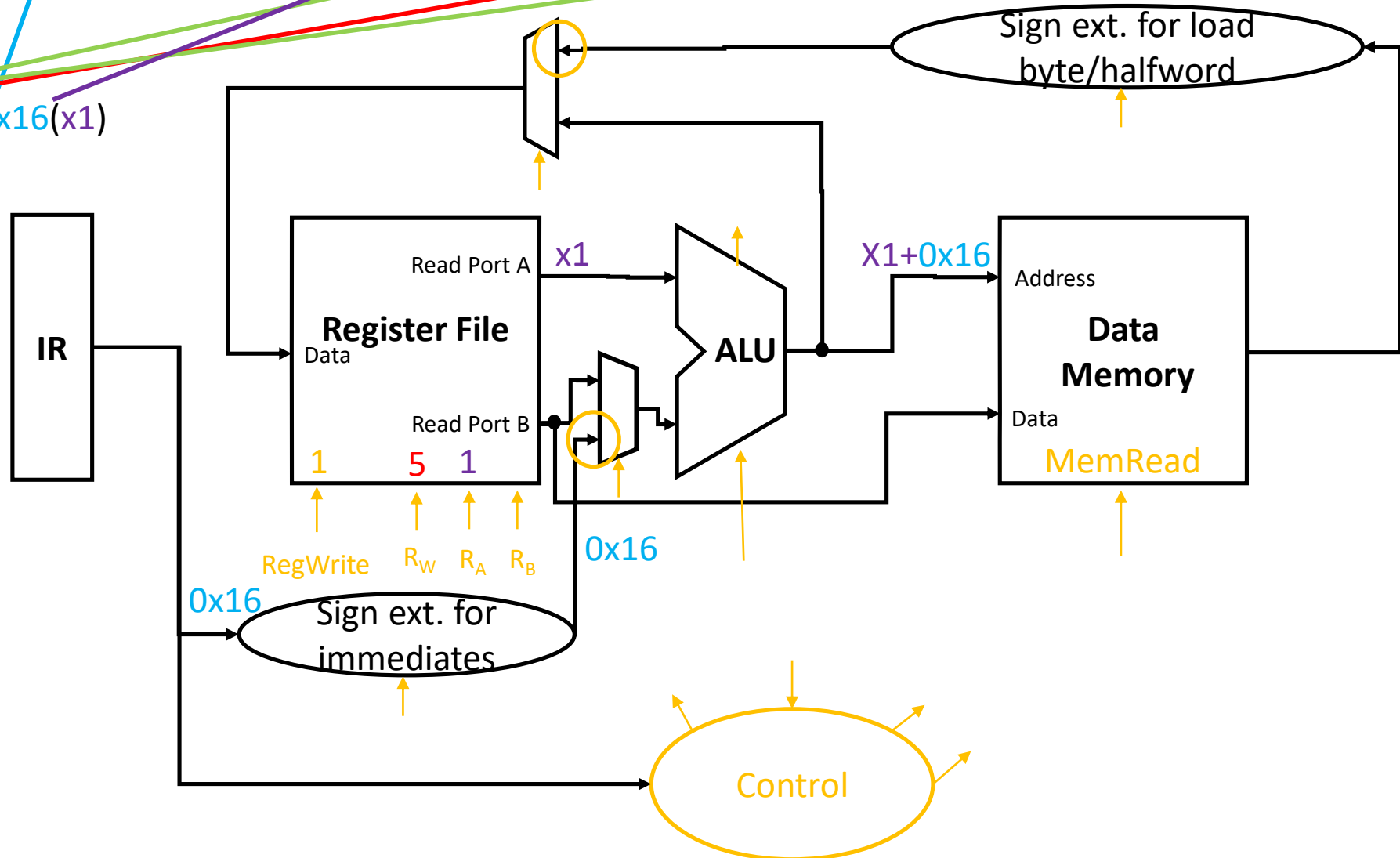
- Assembly:
  - LW rd, offset(rs1)
- Machine language



- Load from data from memory at address (rs1+imm) and store in rd
- Functionality:
  - Loads a word (32 bits / 4 bytes) from memory into a register
  - Example applications
    - load data from a pointer by setting offset to zero (LW rd, 0x0(rs1))
    - load data from a fixed address by setting rs1 to x0 (LW rd, addr(x0))
    - load data from a pointer providing a relative offset (LW rd, offset(rs1))



Example: LW x5, 0x16(x1)



# More Load Instructions

imm[11:0]	rs1	000	rd	0000011	LB
imm[11:0]	rs1	001	rd	0000011	LH
imm[11:0]	rs1	010	rd	0000011	LW
imm[11:0]	rs1	100	rd	0000011	LBU
imm[11:0]	rs1	101	rd	0000011	LHU

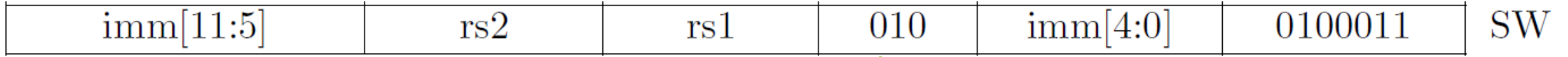
- LBU (Load Byte Unsigned) and LHU (Load Halfword Unsigned) work exactly the same way as LW (Load Word) except for the fact that they only load 8 bit /16 bit instead of 32 bit. The unused bits are zero
- LB and LH work like LBU und LHU, but perform sign extension for the upper bits

# Example: Store Word

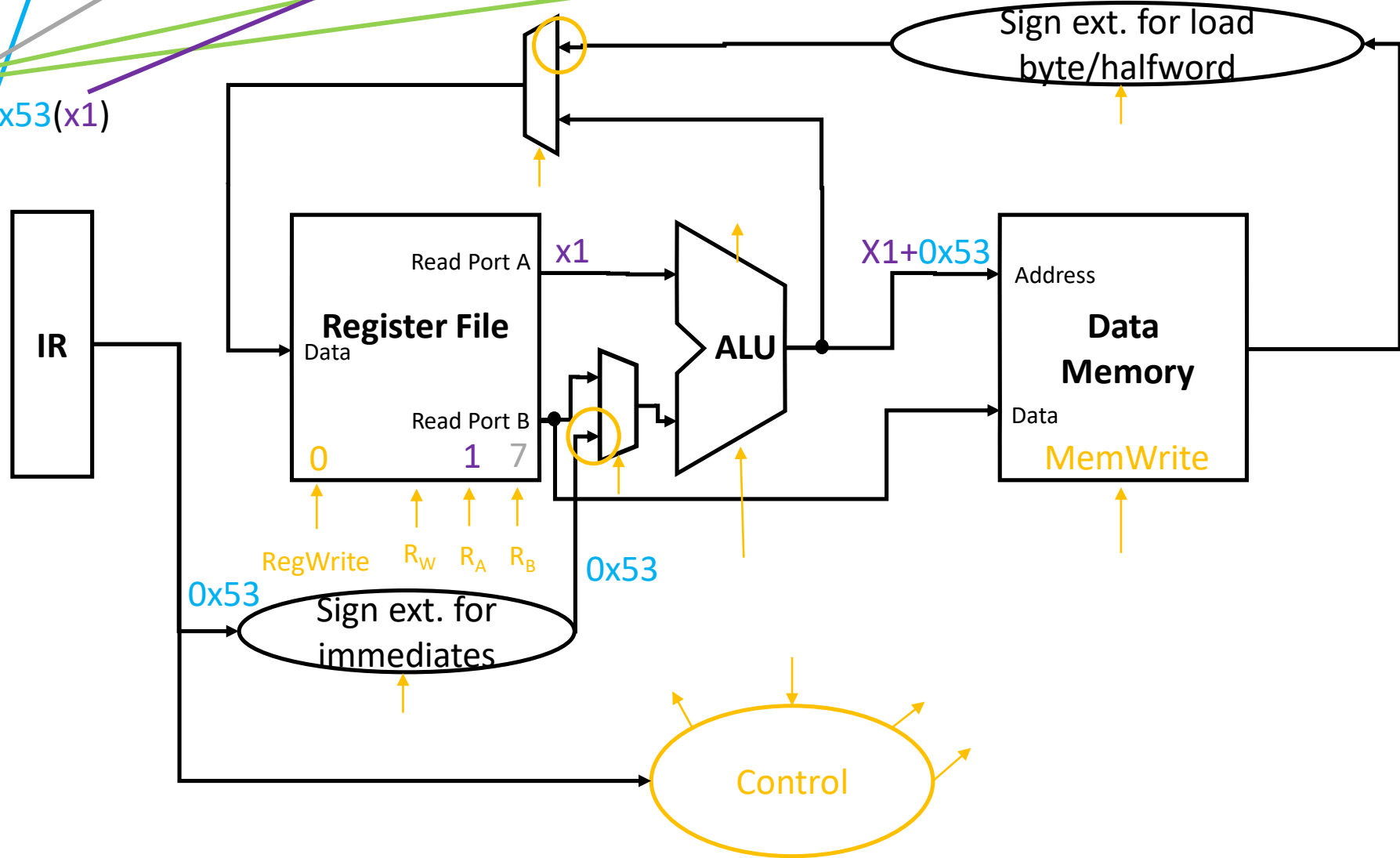
- Assembly:
  - SW rs2, offset(rs1)
- Machine language



- Store the value in rs2 to memory address (rs1+imm)
- Functionality:
  - Store a word (32 bits / 4 bytes) to memory
  - Example applications
    - store data to a pointer stored in a register by setting offset to 0 (SW rs2, 0x0(rs1))
    - store data to an absolute address (SW rs2, addr(x0))
    - store data to pointer + offset (SW rs2, offset(rs1))



Example: SW x7, 0x53(x1)





# More Store Instructions

imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW

- SB (Store Byte) and SH (Store Halfword) work exactly the same way as SW (Store Word) except for the fact that they only store the lowest 8 bit /16 bit of the rs2 register instead of the full 32 bit.
- Note that sign extension is not necessary for storing. To illustrate this consider the representation of -1 as 32 bit value and as 8 bit value.

imm[31:12]					rd	0110111	LUI
imm[31:12]					rd	0010111	AUIPC
imm[20 10:1 11 19:12]					rd	1101111	JAL
imm[11:0]			rs1	000	rd	1100111	JALR
imm[12 10:5]		rs2	rs1	000	imm[4:1 11]	1100011	BEQ
imm[12 10:5]		rs2	rs1	001	imm[4:1 11]	1100011	BNE
imm[12 10:5]		rs2	rs1	100	imm[4:1 11]	1100011	BLT
imm[12 10:5]		rs2	rs1	101	imm[4:1 11]	1100011	BGE
imm[12 10:5]		rs2	rs1	110	imm[4:1 11]	1100011	BLTU
imm[12 10:5]		rs2	rs1	111	imm[4:1 11]	1100011	BGEU
imm[11:0]			rs1	000	rd	0000011	LB
imm[11:0]			rs1	001	rd	0000011	LH
imm[11:0]			rs1	010	rd	0000011	LW
imm[11:0]			rs1	100	rd	0000011	LBU
imm[11:0]			rs1	101	rd	0000011	LHU
imm[11:5]		rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]		rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]		rs2	rs1	010	imm[4:0]	0100011	SW
imm[11:0]			rs1	000	rd	0010011	ADDI
imm[11:0]			rs1	010	rd	0010011	SLTI
imm[11:0]			rs1	011	rd	0010011	SLTIU
imm[11:0]			rs1	100	rd	0010011	XORI
imm[11:0]			rs1	110	rd	0010011	ORI
imm[11:0]			rs1	111	rd	0010011	ANDI
0000000		shamt	rs1	001	rd	0010011	SLLI
0000000		shamt	rs1	101	rd	0010011	SRLI
0100000		shamt	rs1	101	rd	0010011	SRAI
0000000		rs2	rs1	000	rd	0110011	ADD
0100000		rs2	rs1	000	rd	0110011	SUB
0000000		rs2	rs1	001	rd	0110011	SLL
0000000		rs2	rs1	010	rd	0110011	SLT
0000000		rs2	rs1	011	rd	0110011	SLTU
0000000		rs2	rs1	100	rd	0110011	XOR
0000000		rs2	rs1	101	rd	0110011	SRL
0100000		rs2	rs1	101	rd	0110011	SRA
0000000		rs2	rs1	110	rd	0110011	OR
0000000		rs2	rs1	111	rd	0110011	AND
fm	pred	succ	rs1	000	rd	0001111	FENCE
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK

Additional operations that we can perform with our updated datapath:

Load/Store Operations

Additional operations that we can perform with our updated datapath:

Operations using immediate values

# Example: ADDI

- Assembly:
  - ADDI rd, rs1, immediate
- Machine language

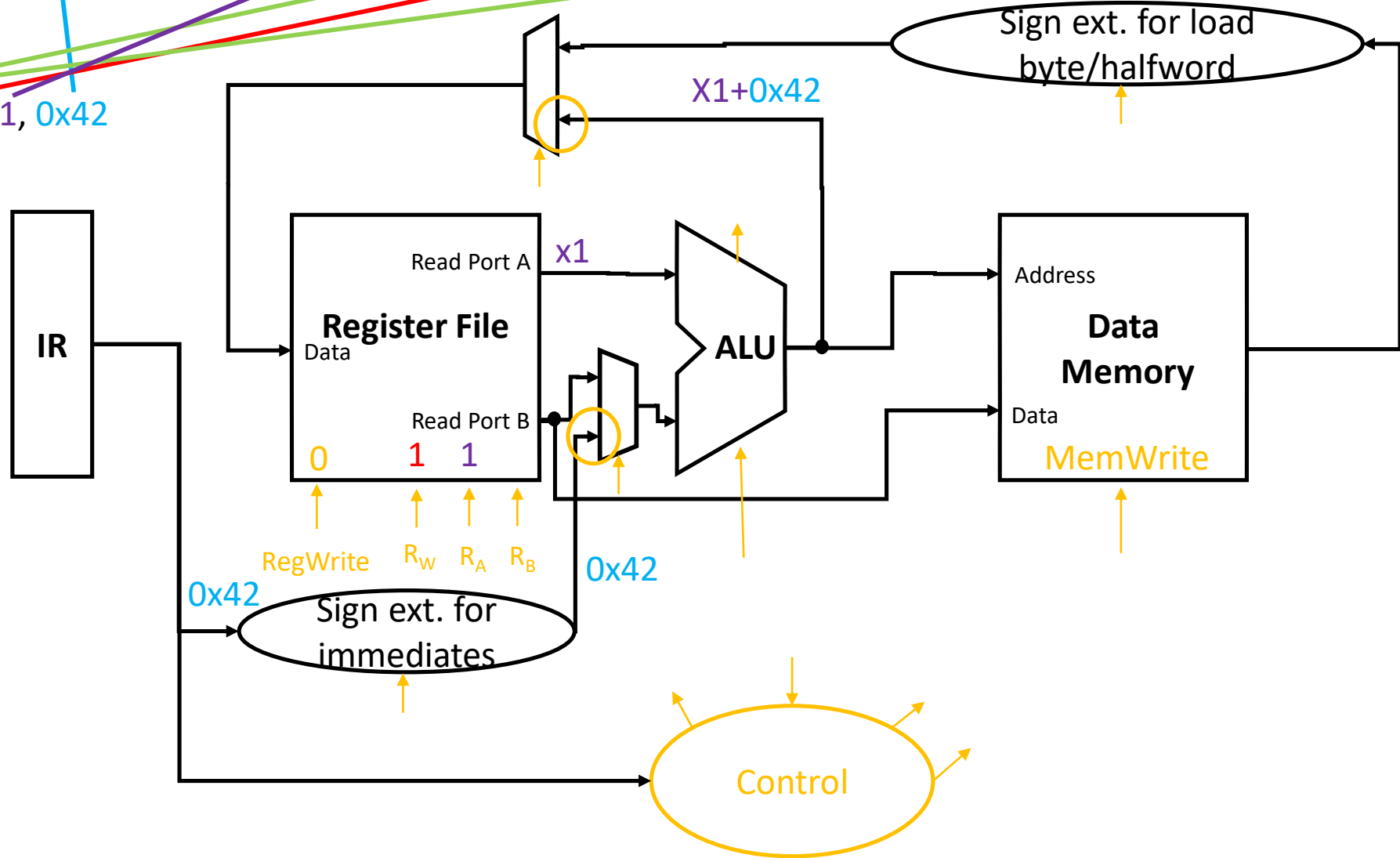
imm[11:0]	rs1	000	rd	0010011	ADDI
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- Computes  $rd = rs1 + imm$

- Functionality:
  - Computes  $rd = rs1 + imm$
  - Example applications
    - Move content of one register to another register by setting immediate to 0 (ADDI rd,rs1,0)
    - Set a register to a constant value by using x0 as source: (ADDI rd, x0, immediate)
    - Increment/decrement a register by setting rd=rs (e.g. ADDI x1, x1, 1)

imm[11:0] | rs1 | 000 | rd | 0010011 | ADDI

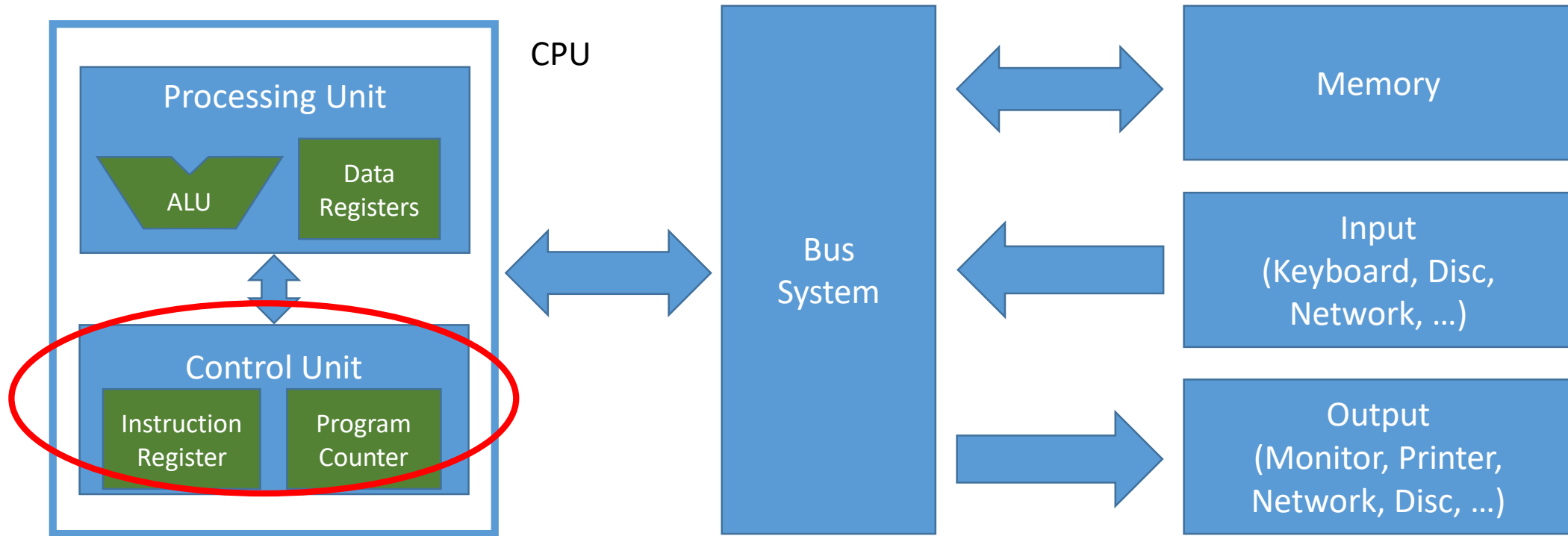
Example: **ADDI** x1, x1, 0x42



# More Operations with Immediates

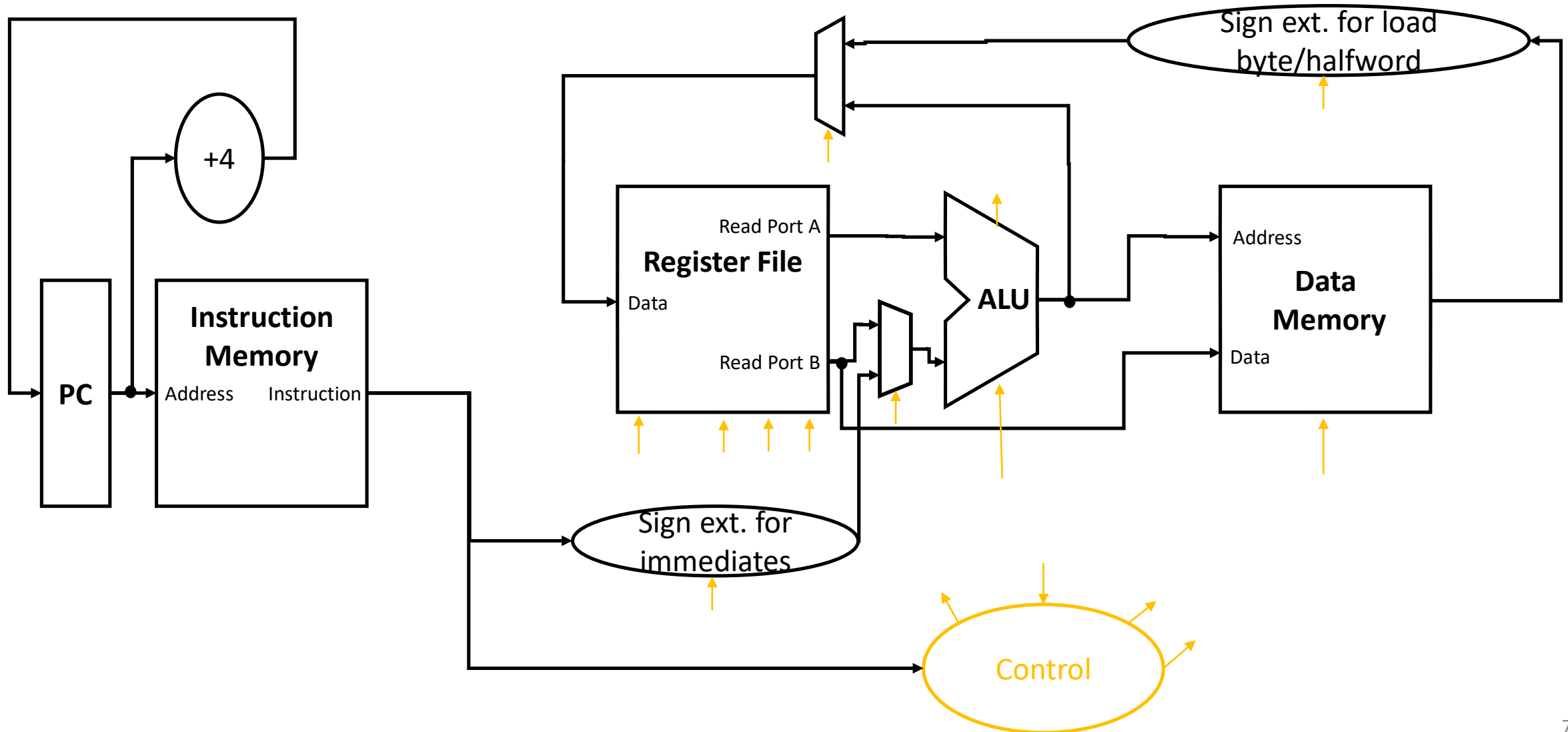
imm[31:12]				rd	0110111	LUI
imm[11:0]		rs1	000	rd	0010011	ADDI
imm[11:0]		rs1	010	rd	0010011	SLTI
imm[11:0]		rs1	011	rd	0010011	SLTIU
imm[11:0]		rs1	100	rd	0010011	XORI
imm[11:0]		rs1	110	rd	0010011	ORI
imm[11:0]		rs1	111	rd	0010011	ANDI
0000000	shamt	rs1	001	rd	0010011	SLLI
0000000	shamt	rs1	101	rd	0010011	SRLI
0100000	shamt	rs1	101	rd	0010011	SRAI

- LUI allows to load 20 bits into the upper bits of a register; together with ADDI this allows to load a full 32 bit value
- SLTI sets the register rd to 1, if rs1 is less than the sign-extended immediate; SLTIU is the unsigned version
- XORI, ORI, ANDI are logic operations with immediates
- SLLI, SRLI, SRAI are shift operations, where the 5 bit immediate shamt defines the shift amount



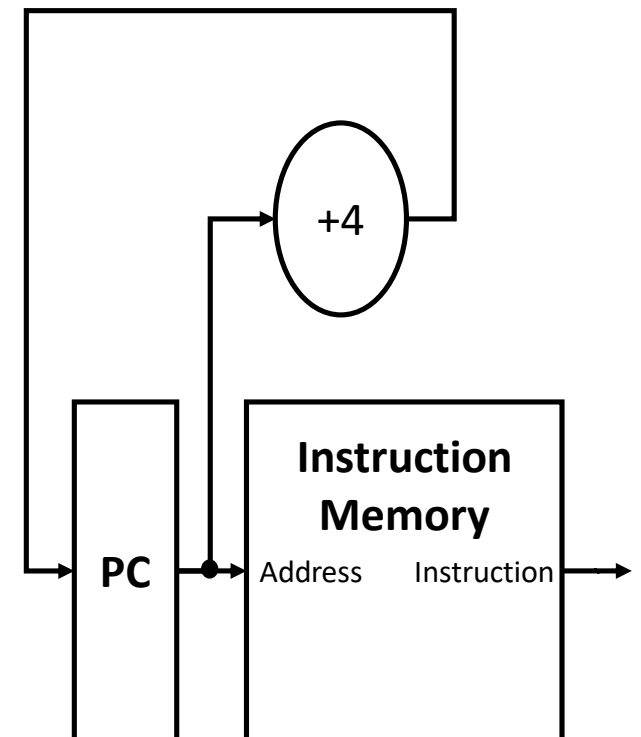
Let's learn about control!

# Adding Instruction Memory



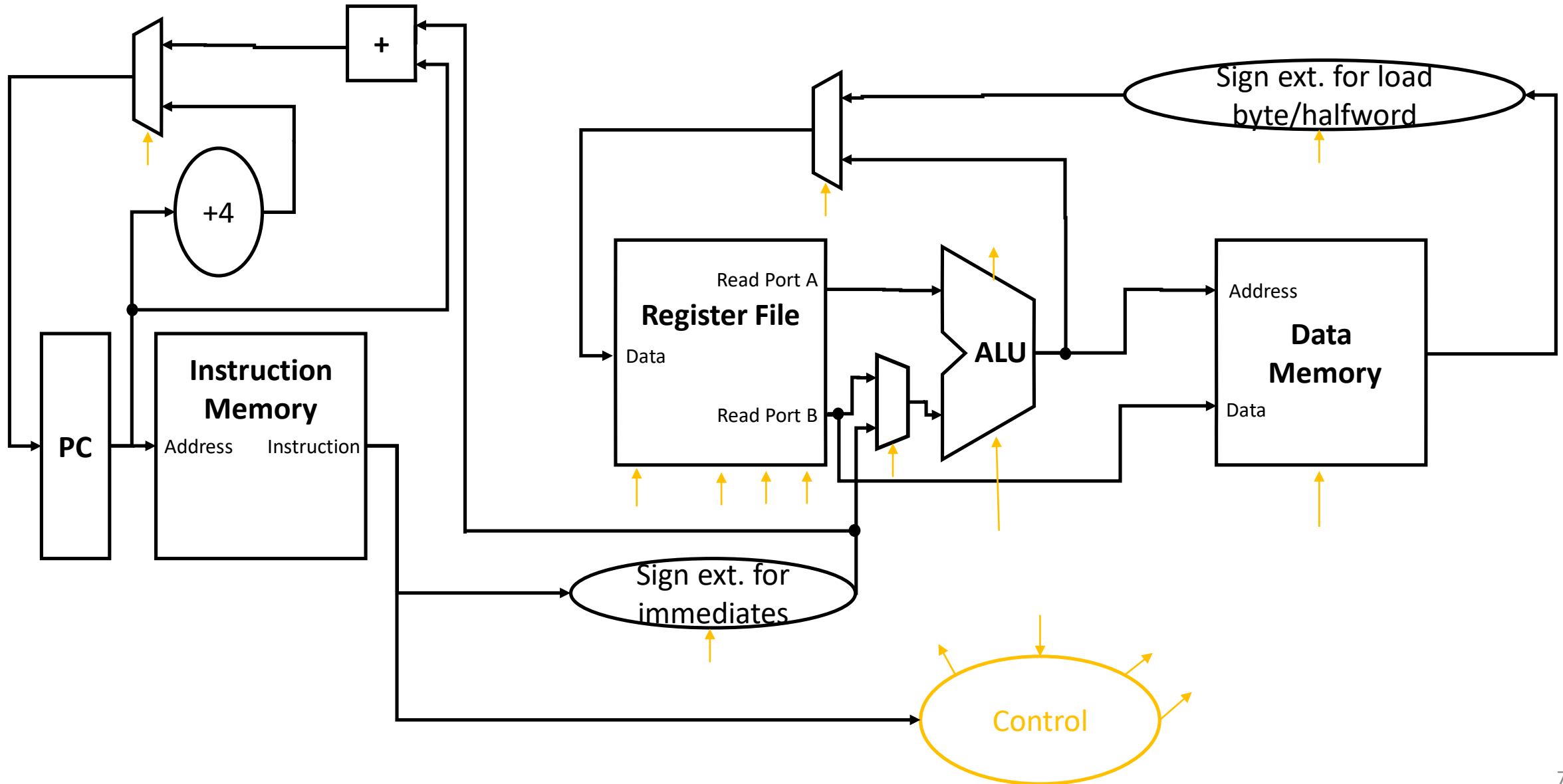
# Instruction Memory

- The instruction memory stores a sequence of instructions
- The program counter (PC) is incremented by 4 in each cycle and reads one instruction after the other
- This allows executing a static batch of instructions





# Extending the datapath for conditional branch instructions



imm[31:12]				rd	0110111	LUI	
imm[31:12]				rd	0010111	AUIPC	
imm[20 10:1 11 19:12]				rd	1101111	JAL	
imm[11:0]		rs1	000	rd	1100111	JALR	
imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ	
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE	
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT	
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE	
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU	
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU	
imm[11:0]		rs1	000	rd	0000011	LB	
imm[11:0]		rs1	001	rd	0000011	LH	
imm[11:0]		rs1	010	rd	0000011	LW	
imm[11:0]		rs1	100	rd	0000011	LBU	
imm[11:0]		rs1	101	rd	0000011	LHU	
imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB	
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH	
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW	
imm[11:0]		rs1	000	rd	0010011	ADDI	
imm[11:0]		rs1	010	rd	0010011	SLTI	
imm[11:0]		rs1	011	rd	0010011	SLTIU	
imm[11:0]		rs1	100	rd	0010011	XORI	
imm[11:0]		rs1	110	rd	0010011	ORI	
imm[11:0]		rs1	111	rd	0010011	ANDI	
0000000	shamt	rs1	001	rd	0010011	SLLI	
0000000	shamt	rs1	101	rd	0010011	SRLI	
0100000	shamt	rs1	101	rd	0010011	SRAI	
0000000	rs2	rs1	000	rd	0110011	ADD	
0100000	rs2	rs1	000	rd	0110011	SUB	
0000000	rs2	rs1	001	rd	0110011	SLL	
0000000	rs2	rs1	010	rd	0110011	SLT	
0000000	rs2	rs1	011	rd	0110011	SLTU	
0000000	rs2	rs1	100	rd	0110011	XOR	
0000000	rs2	rs1	101	rd	0110011	SRL	
0100000	rs2	rs1	101	rd	0110011	SRA	
0000000	rs2	rs1	110	rd	0110011	OR	
0000000	rs2	rs1	111	rd	0110011	AND	
fm	pred	succ	rs1	000	rd	0001111	FENCE
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK

Additional operations that we can perform with our updated datapath:

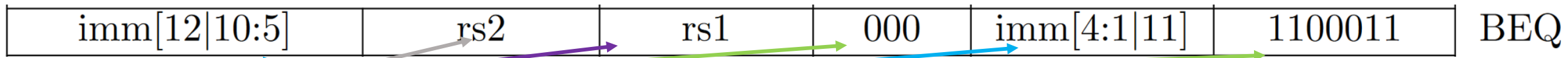
Conditional Branch Operations

# Example: BEQ

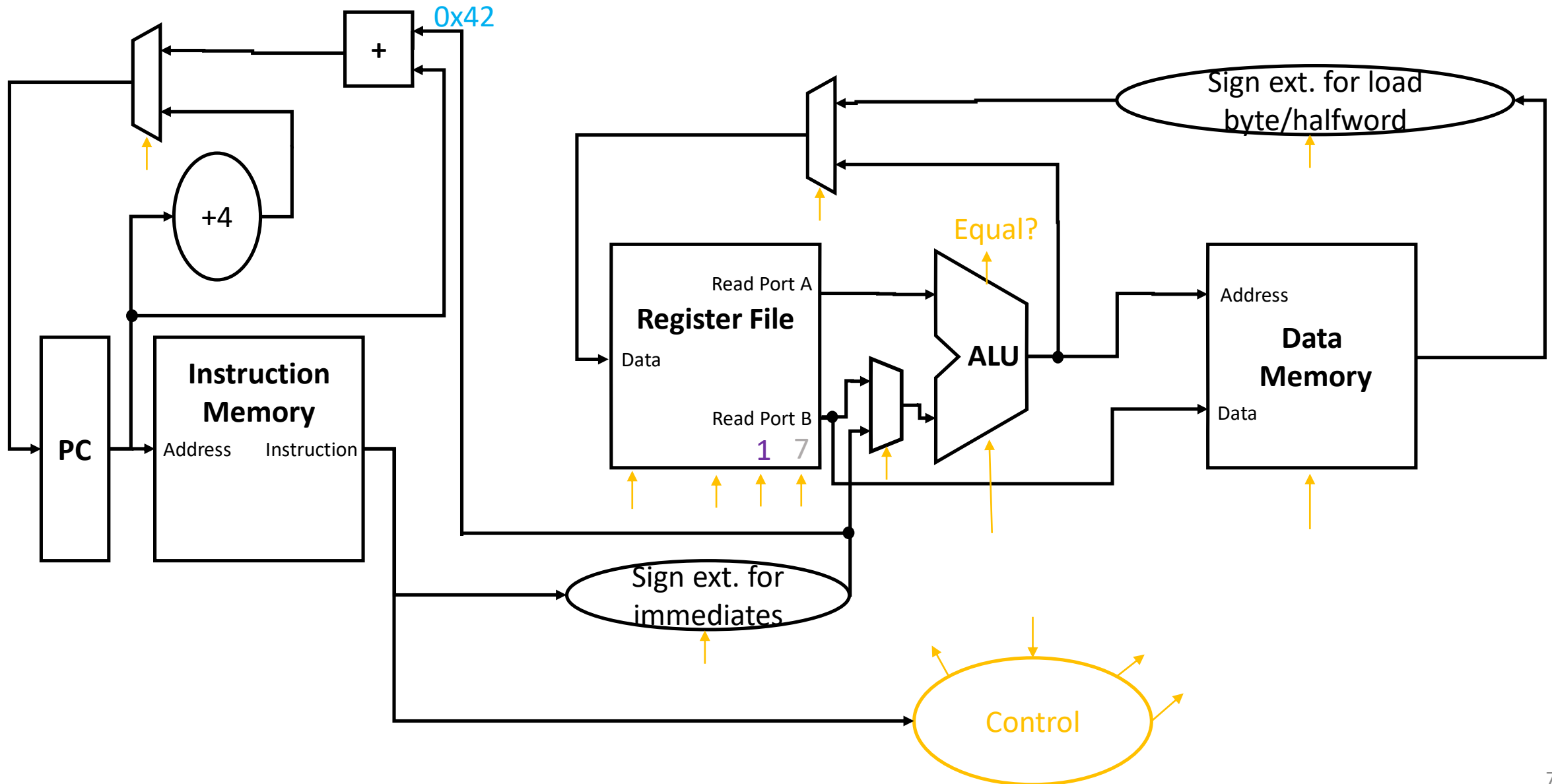
- Assembly:
  - BEQ rs1, rs2, offset
- Machine language

imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ
--------------	-----	-----	-----	-------------	---------	-----

- Branch to location PC + offset, if rs2 == rs1
- Functionality:
  - Branch if equal by to address PC + imm\*2
  - Example applications
    - Implement a branch to secure code, if password was entered correctly



Example: BEQ x1, x7, 0x42

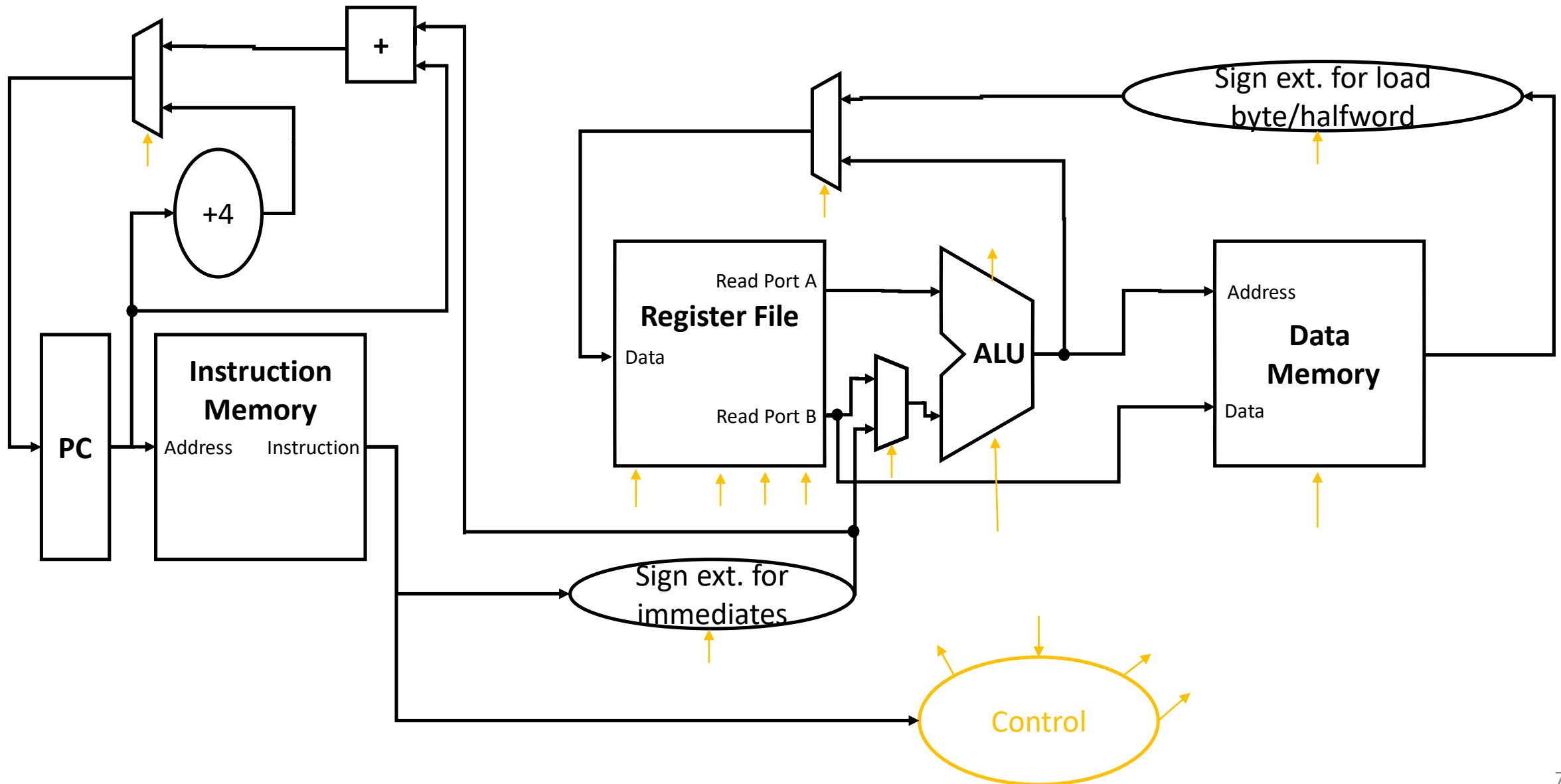


# More Conditional Branches

imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU

- BNE (Branch if not equal)
- BLT (Branch if less than)
- BGE (Branch if greater or equal)
- BLTU (Branch if less than unsigned)
- BGEU (Branch if greater or equal unsigned)

# High-Level Overview (Single Cycle Datapath)



imm[31:12]				rd	0110111	LUI		
imm[31:12]				rd	0010111	AUIPC		
imm[20 10:1 11 19:12]				rd	1101111	JAL		
imm[11:0]				rs1	000	rd	1100111	JALR
imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ		
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE		
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT		
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE		
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU		
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU		
imm[11:0]				rs1	000	rd	0000011	LB
imm[11:0]				rs1	001	rd	0000011	LH
imm[11:0]				rs1	010	rd	0000011	LW
imm[11:0]				rs1	100	rd	0000011	LBU
imm[11:0]				rs1	101	rd	0000011	LHU
imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB		
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH		
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW		
imm[11:0]				rs1	000	rd	0010011	ADDI
imm[11:0]				rs1	010	rd	0010011	SLTI
imm[11:0]				rs1	011	rd	0010011	SLTIU
imm[11:0]				rs1	100	rd	0010011	XORI
imm[11:0]				rs1	110	rd	0010011	ORI
imm[11:0]				rs1	111	rd	0010011	ANDI
0000000	shamt	rs1	001	rd	0010011	SLLI		
0000000	shamt	rs1	101	rd	0010011	SRLI		
0100000	shamt	rs1	101	rd	0010011	SRAI		
0000000	rs2	rs1	000	rd	0110011	ADD		
0100000	rs2	rs1	000	rd	0110011	SUB		
0000000	rs2	rs1	001	rd	0110011	SLL		
0000000	rs2	rs1	010	rd	0110011	SLT		
0000000	rs2	rs1	011	rd	0110011	SLTU		
0000000	rs2	rs1	100	rd	0110011	XOR		
0000000	rs2	rs1	101	rd	0110011	SRL		
0100000	rs2	rs1	101	rd	0110011	SRA		
0000000	rs2	rs1	110	rd	0110011	OR		
0000000	rs2	rs1	111	rd	0110011	AND		
fm	pred	succ	rs1	000	rd	0001111	FENCE	
000000000000			00000	000	00000	1110011	ECALL	
000000000001			00000	000	00000	1110011	EBREAK	

Conditional Branch Operations

Load/Store Operations

Operations using immediate values

Arithmetic/Logic operations

# JAL/JALR

imm[20 10:1 11 19:12]			rd	1101111	JAL
imm[11:0]	rs1	000	rd	1100111	JALR

- Jump and Link (JAL):
  - Performs an unconditional jump to  $PC + imm * 2$
  - Stores the PC of the next instruction in rd
- Example applications
  - Unconditional jump (rd is set to x0 in this case)
  - Subroutine call (will be discussed later)

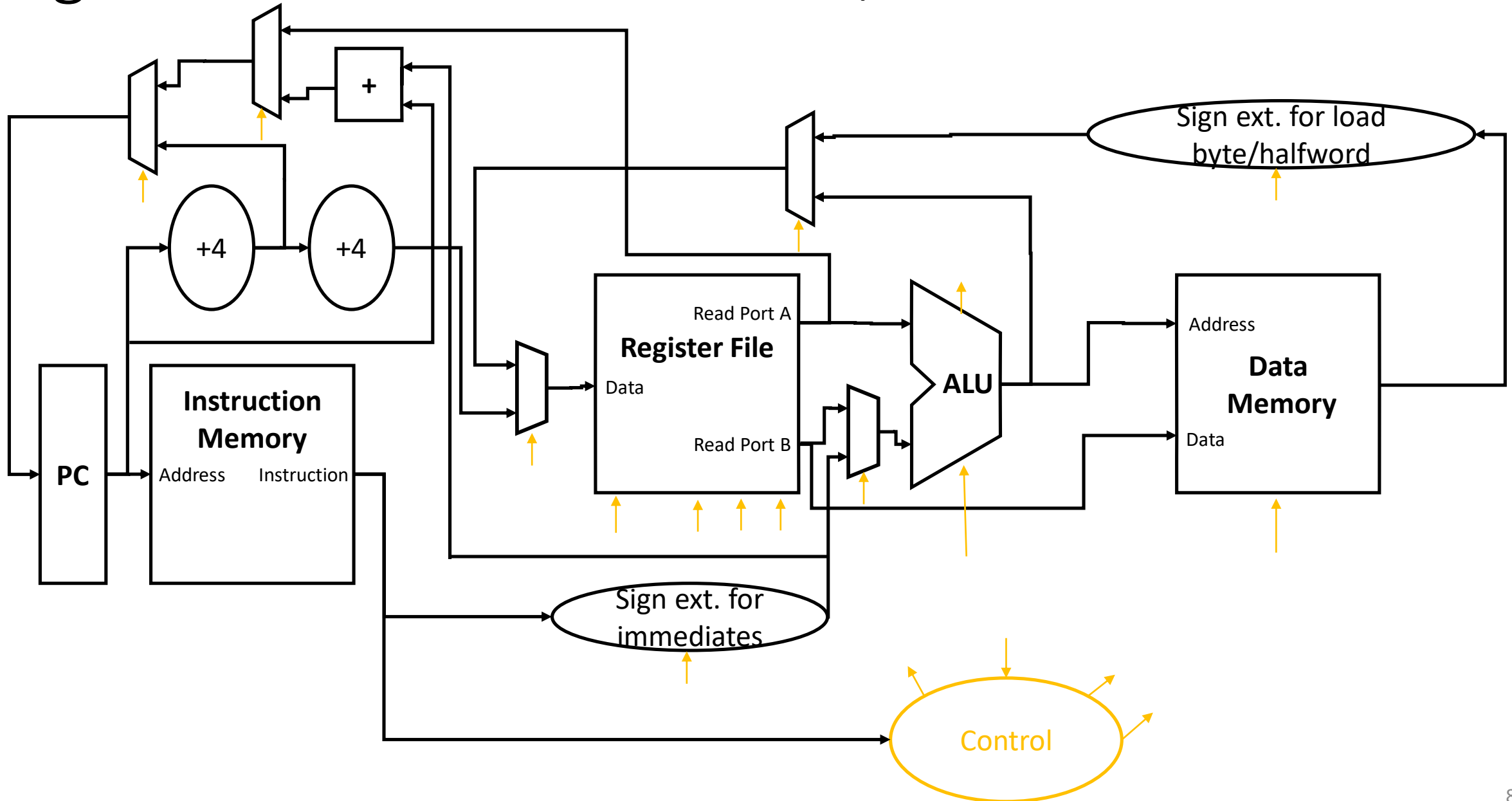


# JAL/JALR

imm[20 10:1 11 19:12]			rd	1101111	JAL
imm[11:0]	rs1	000	rd	1100111	JALR

- Jump and Link Register (JALR):
  - Performs an unconditional jump to  $rs1 + imm$
  - Stores the PC of the next instruction in rd
- Example applications
  - Subroutine call (will be discussed later)

# High-Level Overview incl. JAL/JALR



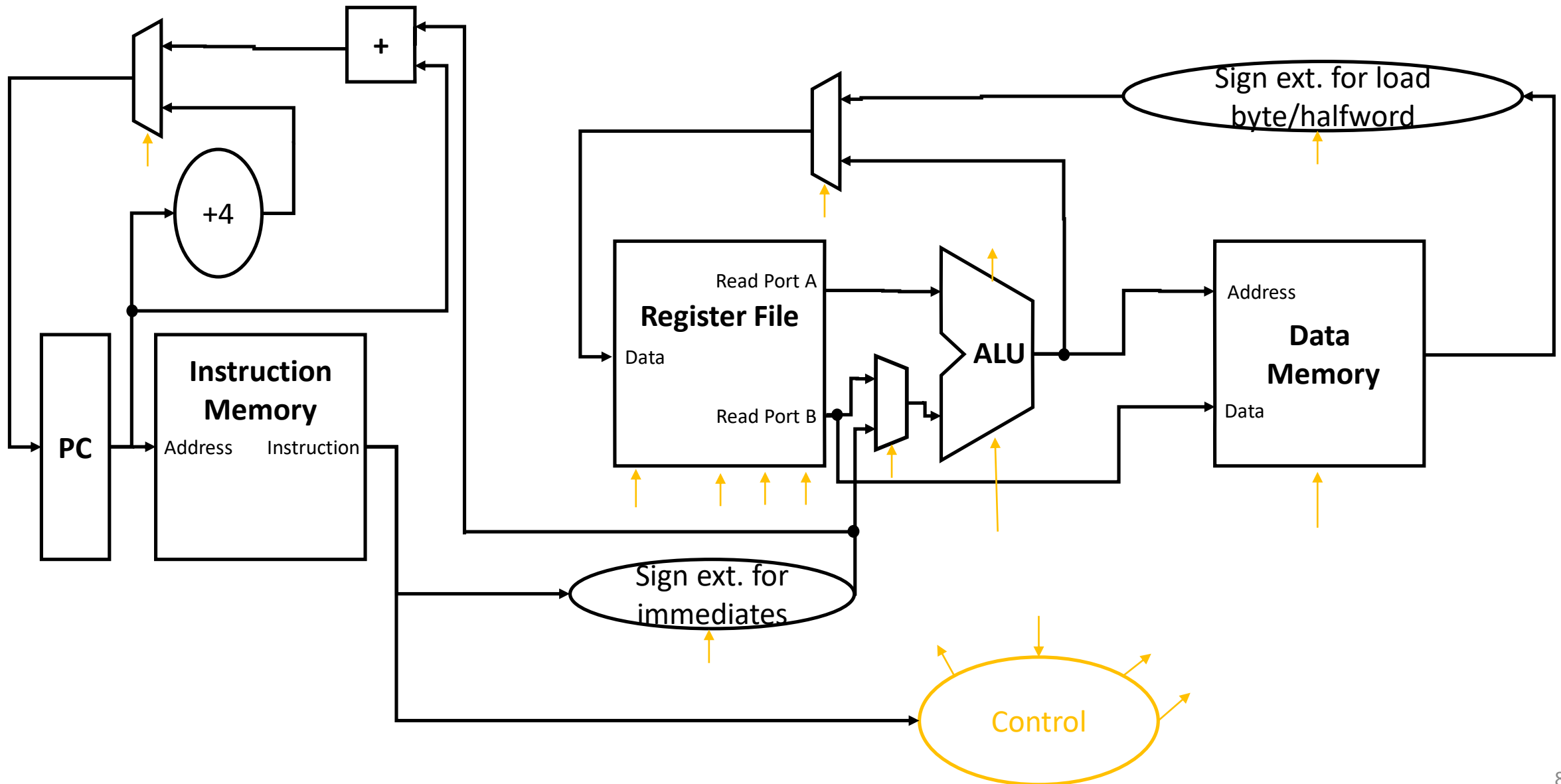
# Performance

- The goal of processor design is maximize the executed number of instructions per time
- This is determined by two factors
  - The needed clock cycles per instruction (CPI)
  - The clock frequency, which determines the number of cycles per second
- The execution time for a program with  $N$  instructions is  $N * CPI * (1/f)$ 
  - $f$  is the clock frequency ( $1/f$  is the clock period)
  - CPI is the average number of cycles per instruction

# Performance of the Single-Cycle Design

- Each instruction takes exactly one cycle to execute
- The maximum clock frequency is defined by the slowest instruction of the design
  - Remember: the critical path is the longest combinational path in the design.
  - The critical path of the slowest instruction therefore defines the clock frequency of our processor

# High-Level Overview (Single Cycle Datapath)



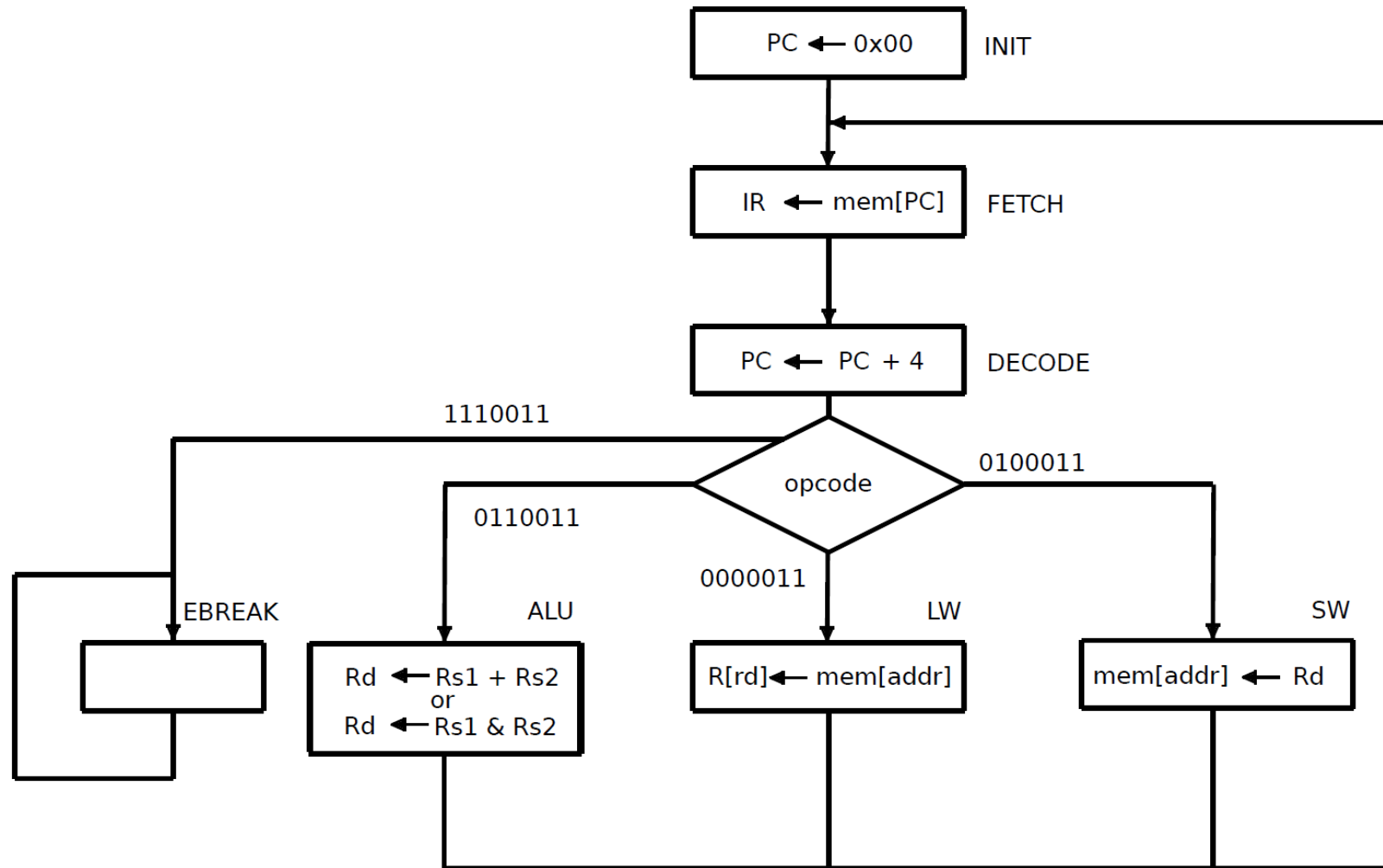
# Single Cycle Machine in Practice?

- In practice, we typically do not build single-cycle machines with separated instruction and data memory
  - Main drawbacks:
    - Low performance (the clock rate is defined by the slowest instructions)
    - We need separate instruction and data memory
- we need to have a more fine granular view of the operations that are performed for each instructions
- First improvement: we split the actions of the CPU in three basic steps:
  - Fetch: Read an instruction from memory
  - Decode: Prepare the necessary control signals for the instruction
  - Execute: Execute the actual instruction
- This splitting does not immediately lead to higher performance, but it allows to have a single memory for instructions and data

# Modelling with an ASM Graph

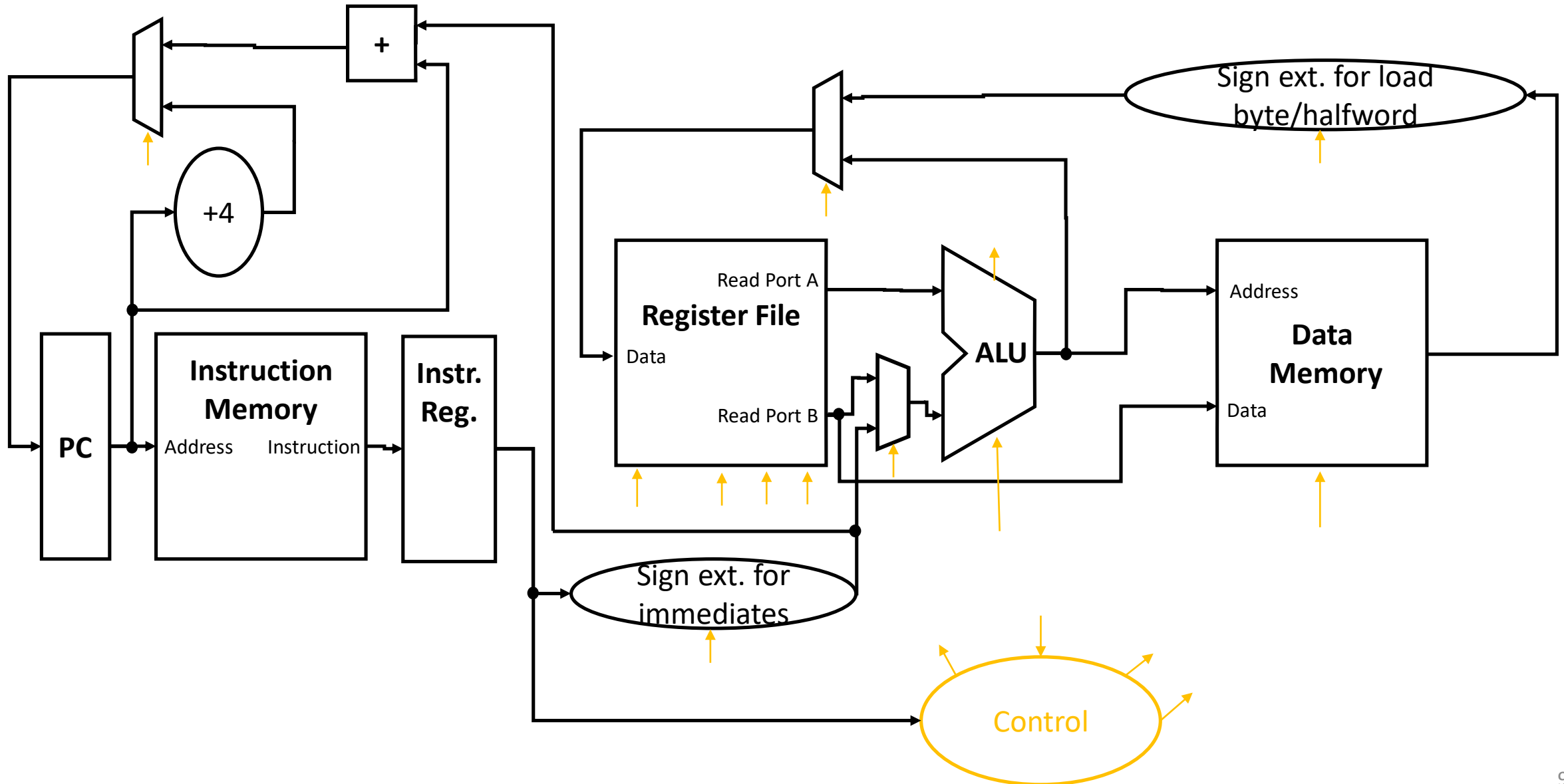
- Given that there are multiple cycles per instructions, it makes sense to draw an ASM graph for the CPU
- Example:
  - Simple CPU implementing LW, SW, ADD, AND and EBREAK (EBREAK is used in our simulation environment to halt the CPU)

# Simple Fetch/Decode/Execute ASMs

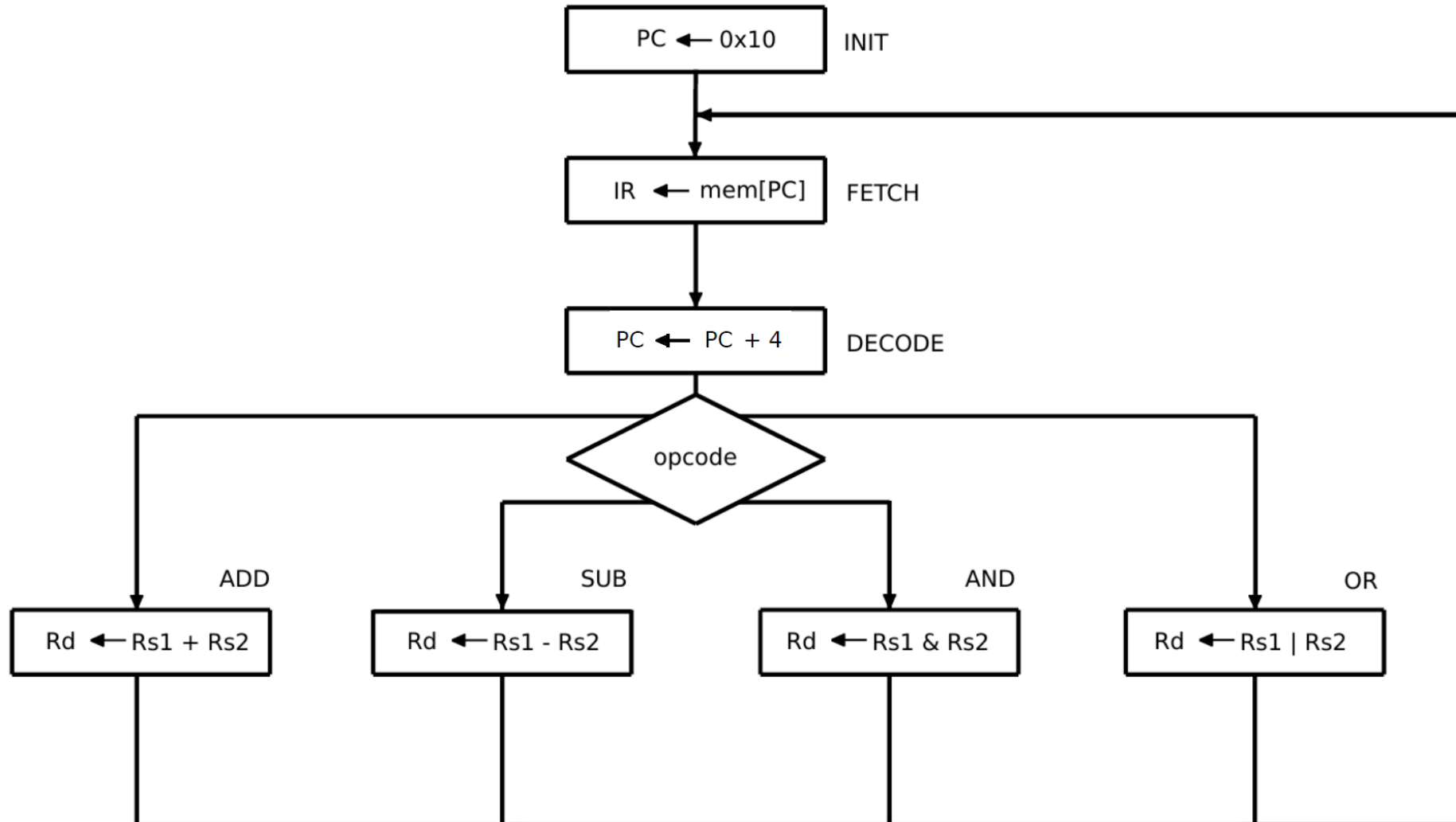




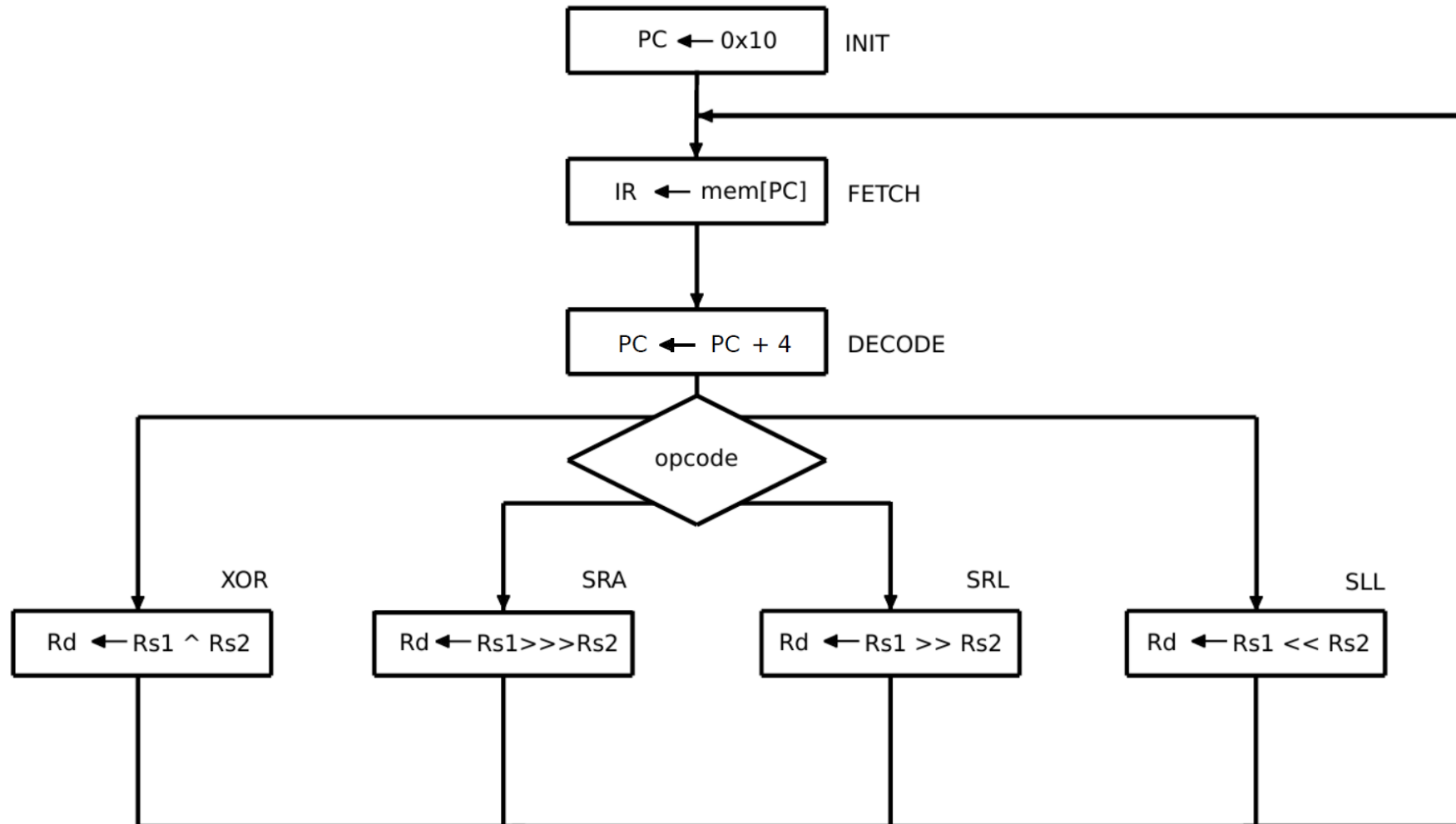
# High-Level Overview (Single Cycle Datapath)



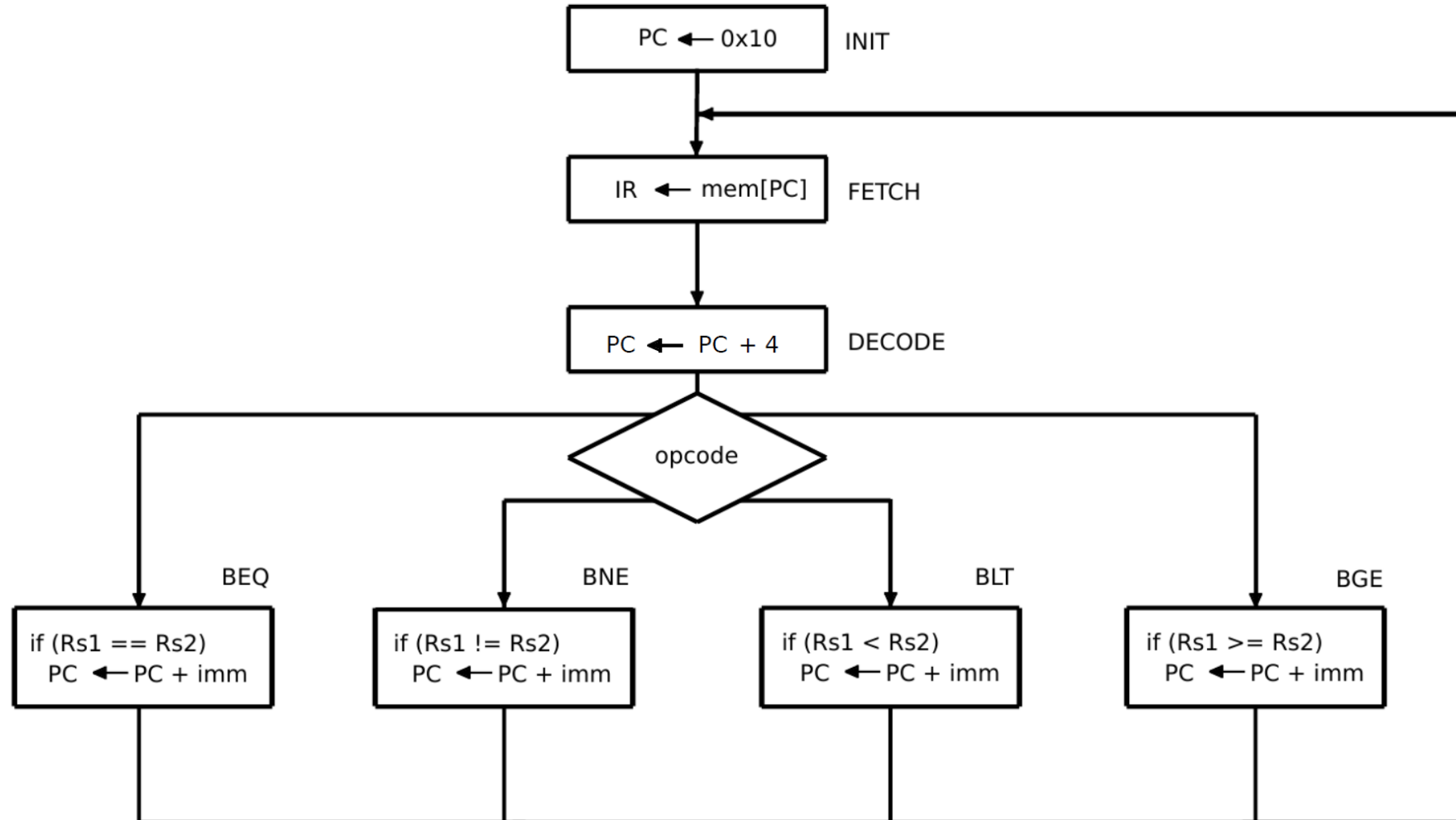
# Extending the ASM Graph (Arithmetic/Logic Operations)



# Extending the ASM Graph (Arithmetic/Logic Operations)



# Extending the ASM Graph (Conditional Branches)



# The Programmer's View

# Simple Demo Program

- Load values from memory address 0x20, 0x24 into registers
- Add the registers together
- Store the result back to memory at 0x28
- Halt the CPU

# A First Mapping to Instructions

LW	rd = x1	rs1 = x0	<i>offset</i> = 0x20
LW	rd = x2	rs1 = x0	<i>offset</i> = 0x24
ADD	rd = x3	rs1 = x1	rs2 = x2
SW	rs2 = x3	rs1 = x0	<i>offset</i> = 0x28
EBREAK			

# Mapping to Encoding

Type	funct7	rs2	rs1	funct3	rd	opcode
I-Type	0x20		0	LW	1	LOAD
I-Type	0x24		0	LW	2	LOAD
R-Type	DEFAULT	2	1	ADD	3	ALU
S-Type	hi(0x28)	3	0	SW	lo(0x28)	STORE
I-Type	EBREAK		0	PRIV	0	SYSTEM



# Mapping to Binary

Type	funct7	rs2	rs1	funct3	rd	opcode
I-Type	0000001	00000	00000	010	00001	0000011
I-Type	0000001	00100	00000	010	00010	0000011
R-Type	0000000	00010	00001	000	00011	0110011
S-Type	0000001	00011	00000	010	01000	0100011
I-Type	0000000	00001	00000	000	00000	1110011

Instruction	Binary	Hexadecimal	Bytes
LW	000000100000000000010000010000011	0x02002083	83 20 00 02
LW	000000100100000000010000100000011	0x02402103	03 21 40 02
ADD	00000000001000001000000110110011	0x002081b3	b3 81 20 00
SW	00000010001100000010010000100011	0x02302423	23 24 30 02
EBREAK	00000000000100000000000001110011	0x00100073	73 00 10 00

# Putting the Program (Code and Data) into a single Memory

Instruction	Address	Value	Bytes
LW	0x00	0x02002083	83 20 00 02
LW	0x04	0x02402103	03 21 40 02
ADD	0x08	0x002082b3	b3 81 20 00
SW	0x0c	0x02302423	23 24 30 02
EBREAK	0x10	0x00100073	73 00 10 00
	0x14	0	00 00 00 00
	0x18	0	00 00 00 00
	0x1c	0	00 00 00 00
	0x20	42	2a 00 00 00
	0x24	13	0d 00 00 00
	0x28	0	00 00 00 00

# Tools to Write Assembler Code

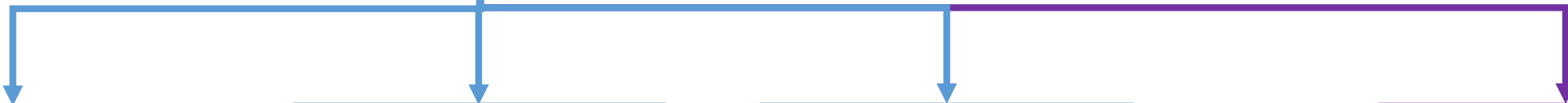
- Writing instruction opcodes by hand is tedious
- An assembler is a tools to assemble machine code for us
- For this lecture we use `riscvasm.py`
- usage: `riscvasm.py program.asm -o program.hex`

# Software

.asm file

.hex file

Assembler ("riscvasm.py")



Instruction Set Simulation ("riscvsim.py")

SytemVerilog RTL Simulation ("iverilog")

Verilog Gate-Level Simulation

Physical Chip

# Hardware

.sv file

Synthesis (using yosys)

Placement, Routing, Chip Manufacturing (this is part of the course "Digital System Design")



# The Demo Program Written in Assembly

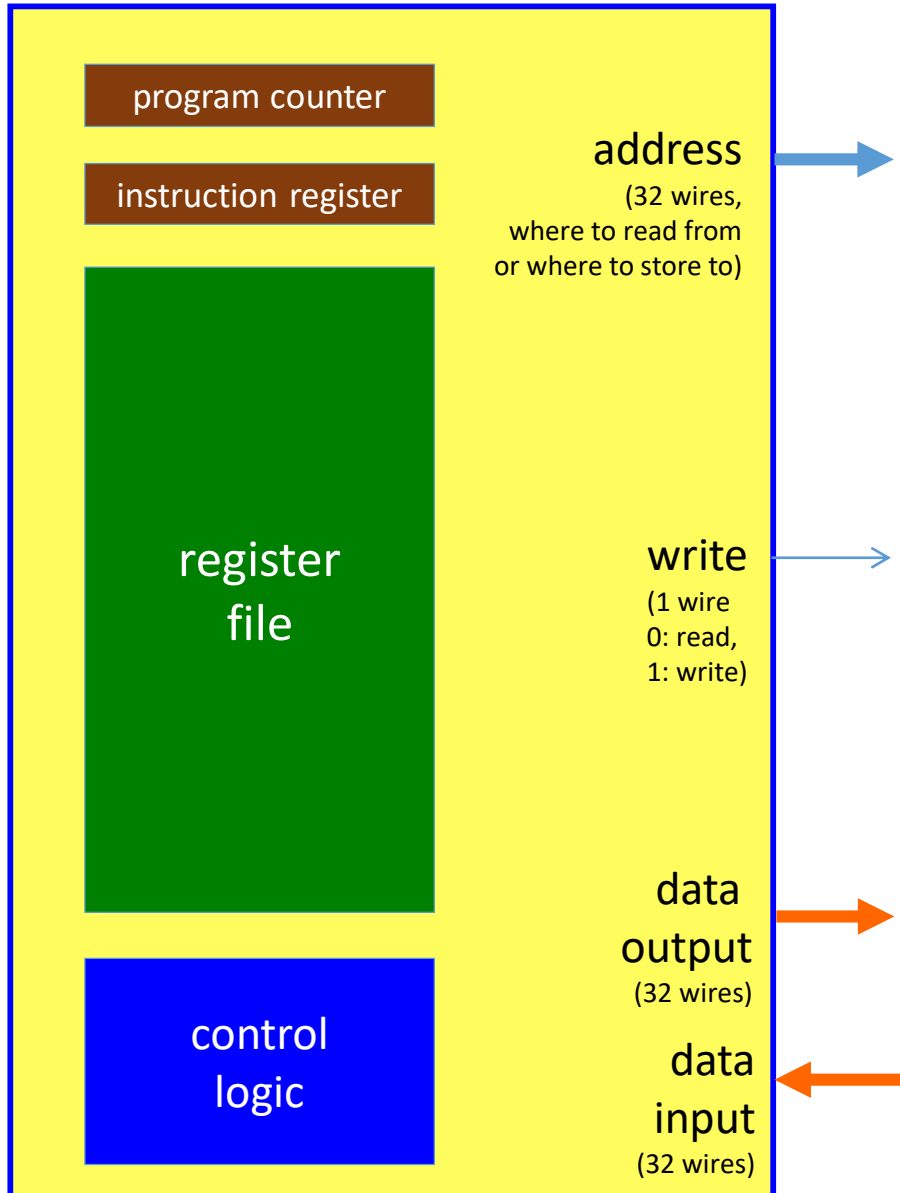
```
.org 0x00 # start program at address 0x00
LW x1, 0x20(x0)
LW x2, 0x24(x0)
ADD x3, x1, x2
SW x3, 0x28(x0)
EBREAK

.org 0x20 # place data at address 0x20
# insert raw data instead of instructions
.word 42
.word 13
```

Try out to assemble and simulate your own code based on

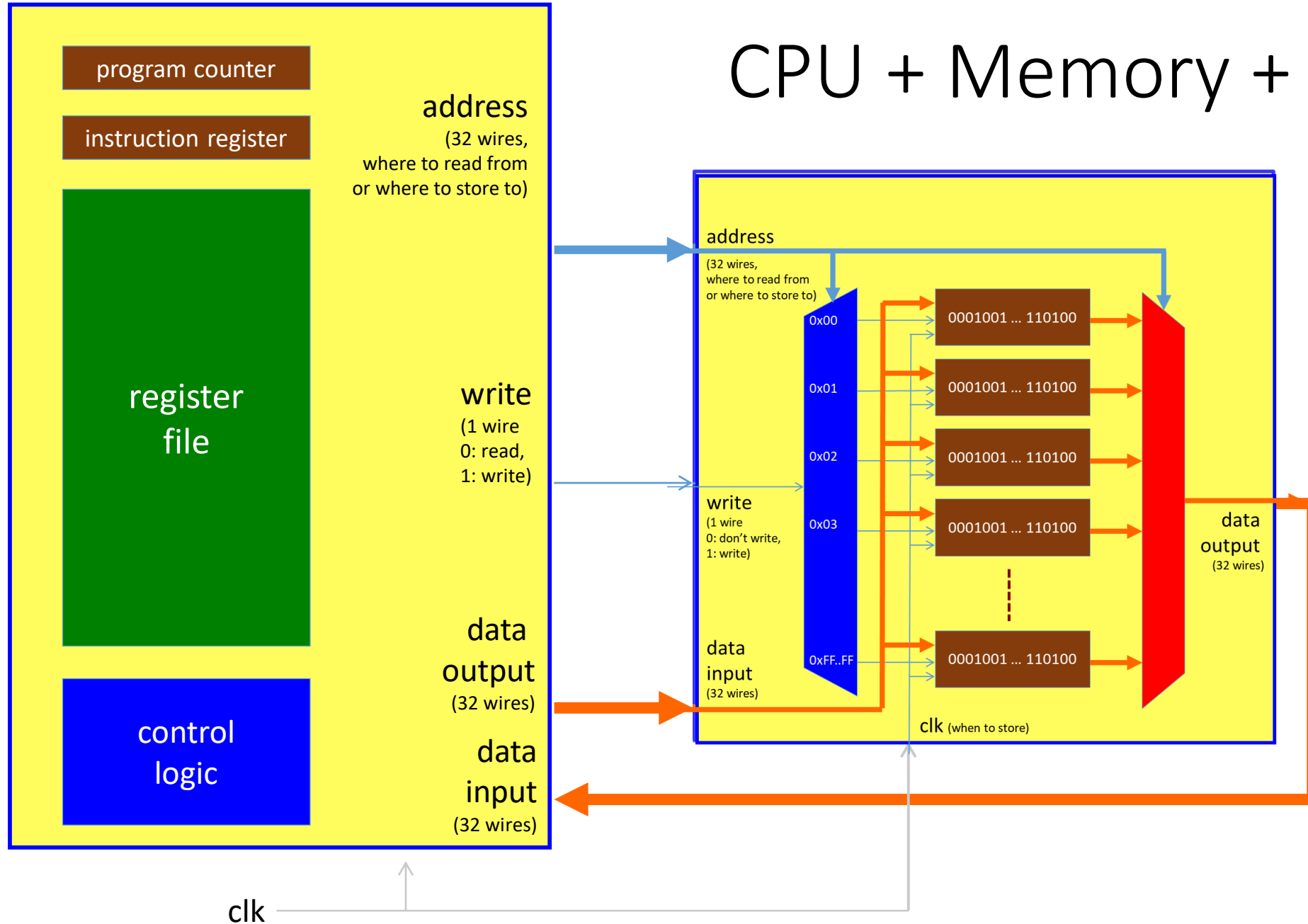
**con04\_adding-two-constants**

# Programmer's View on the CPU

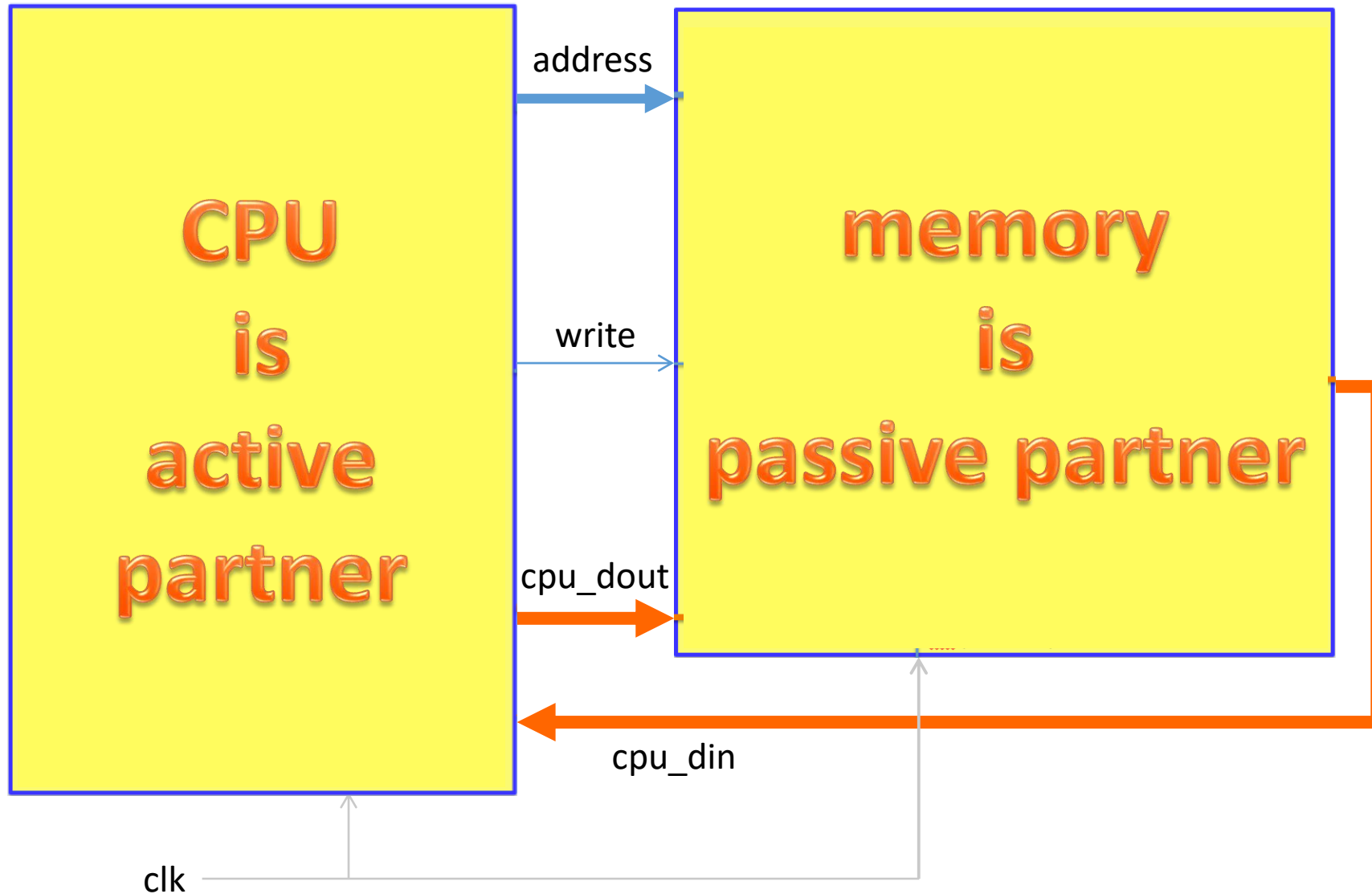




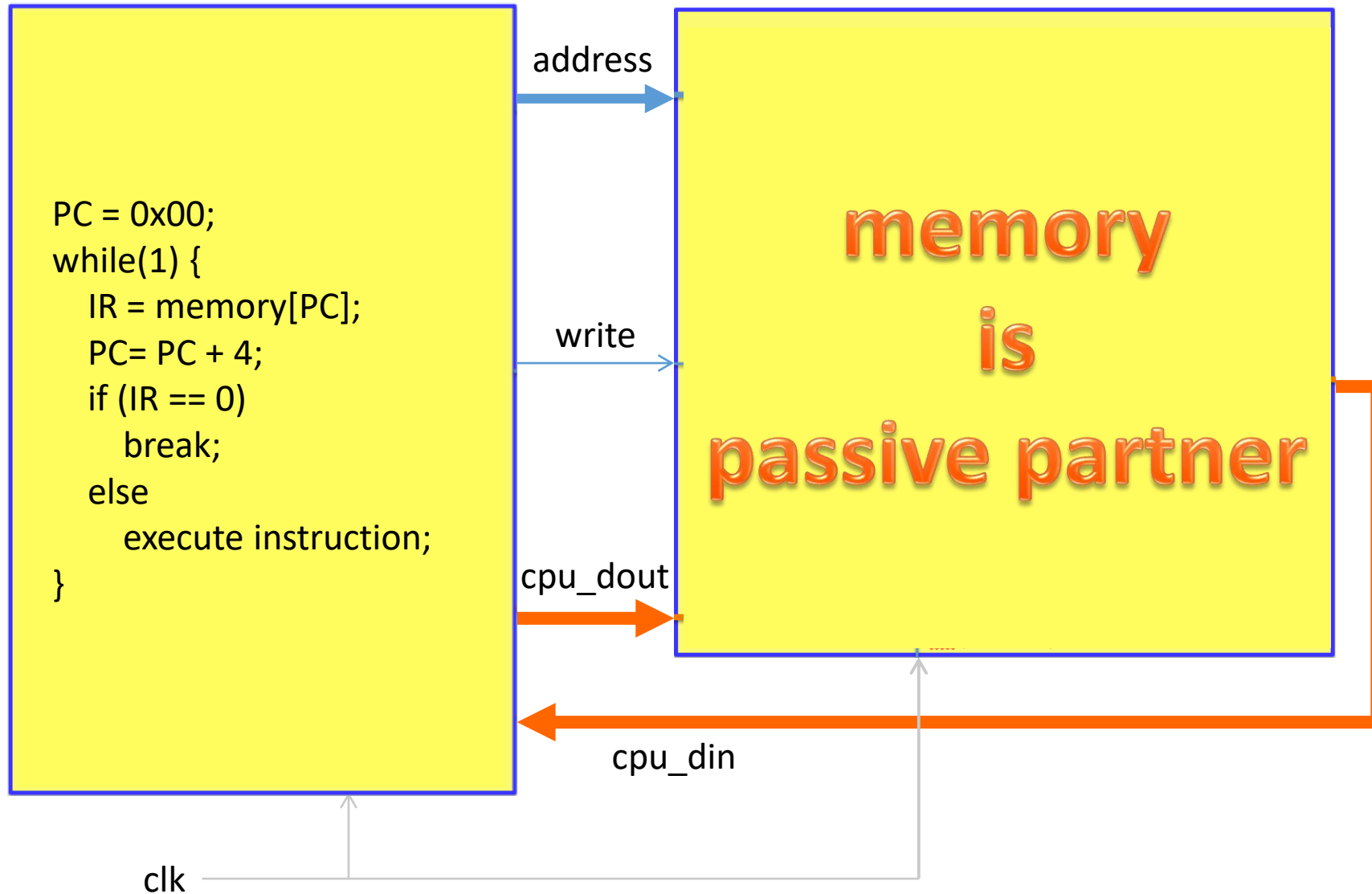
# CPU + Memory + Bus



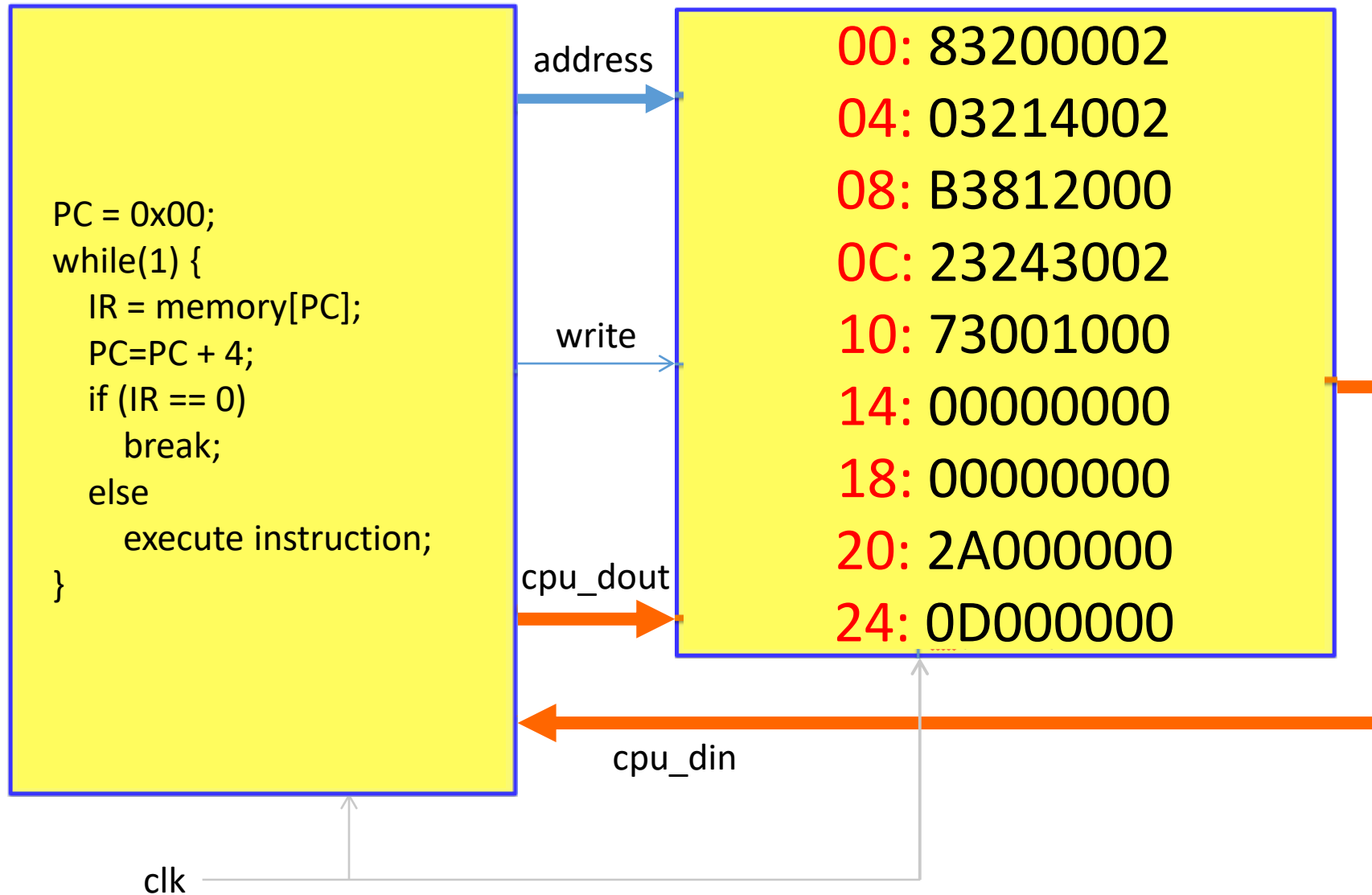
# CPU is Active, Memory is Passive



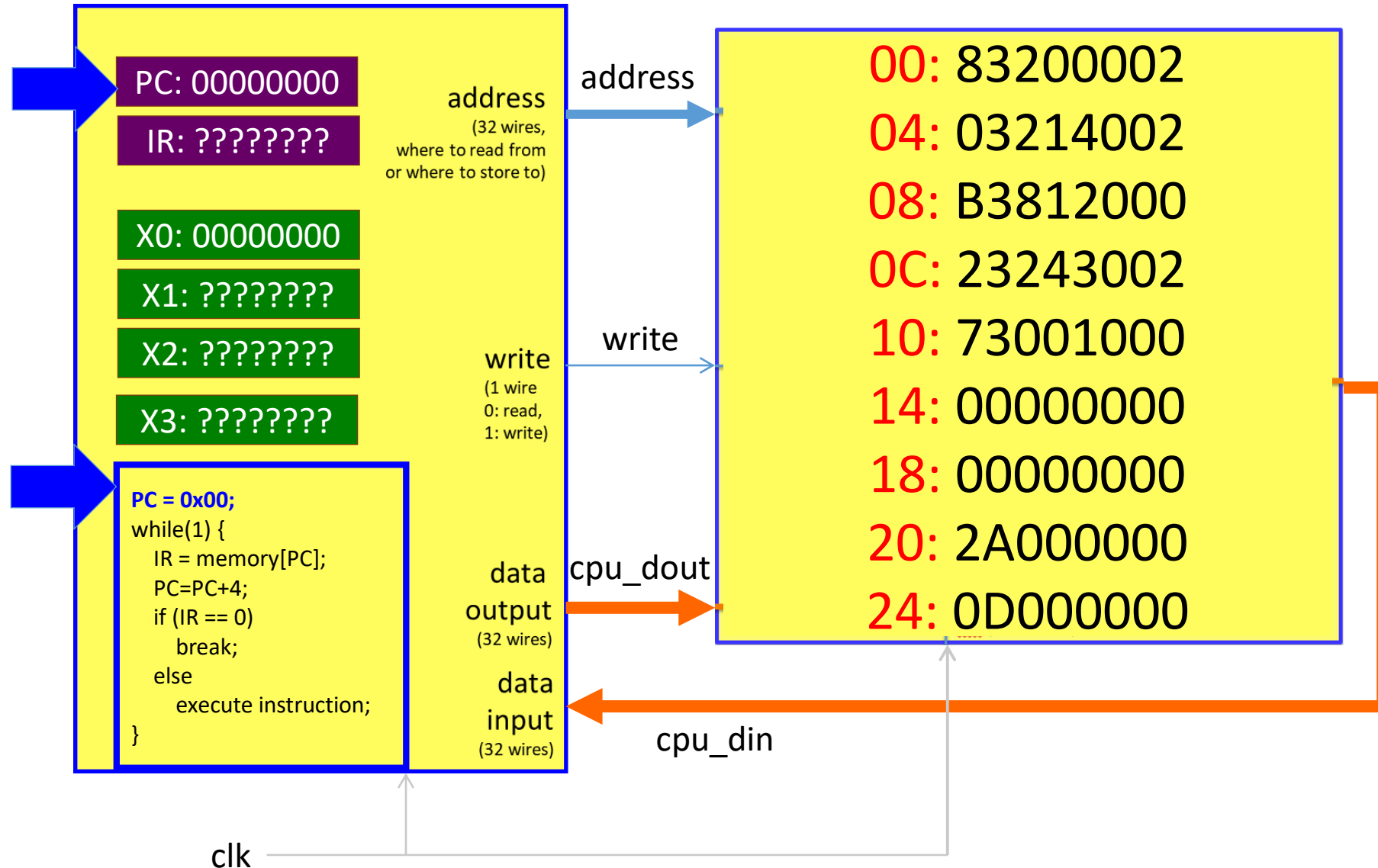
# CPU's Job: Fetch, Decode, and Execute



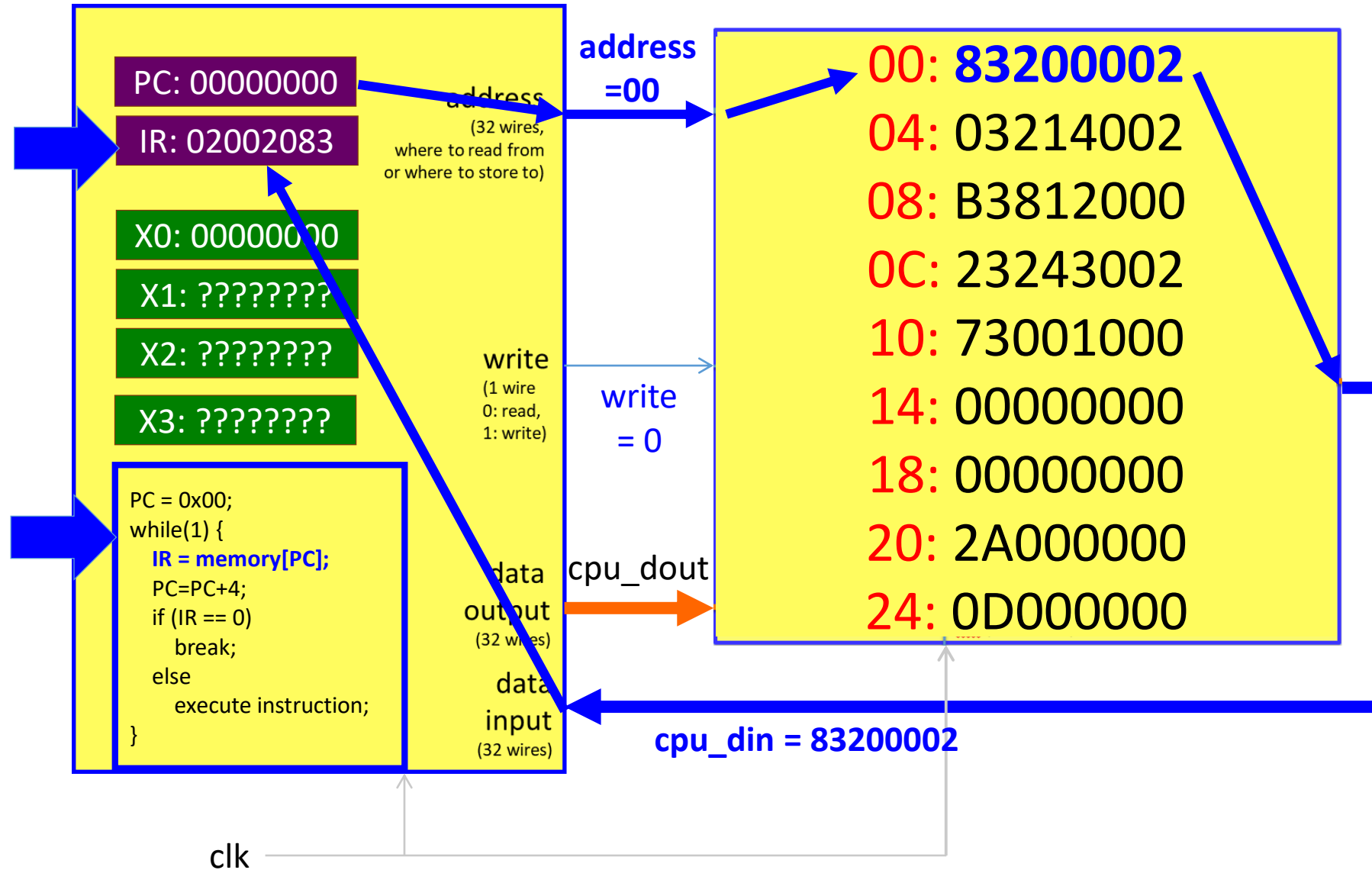
# Example: Content in Main Memory



# (1) Initialize PC with 0x00000000

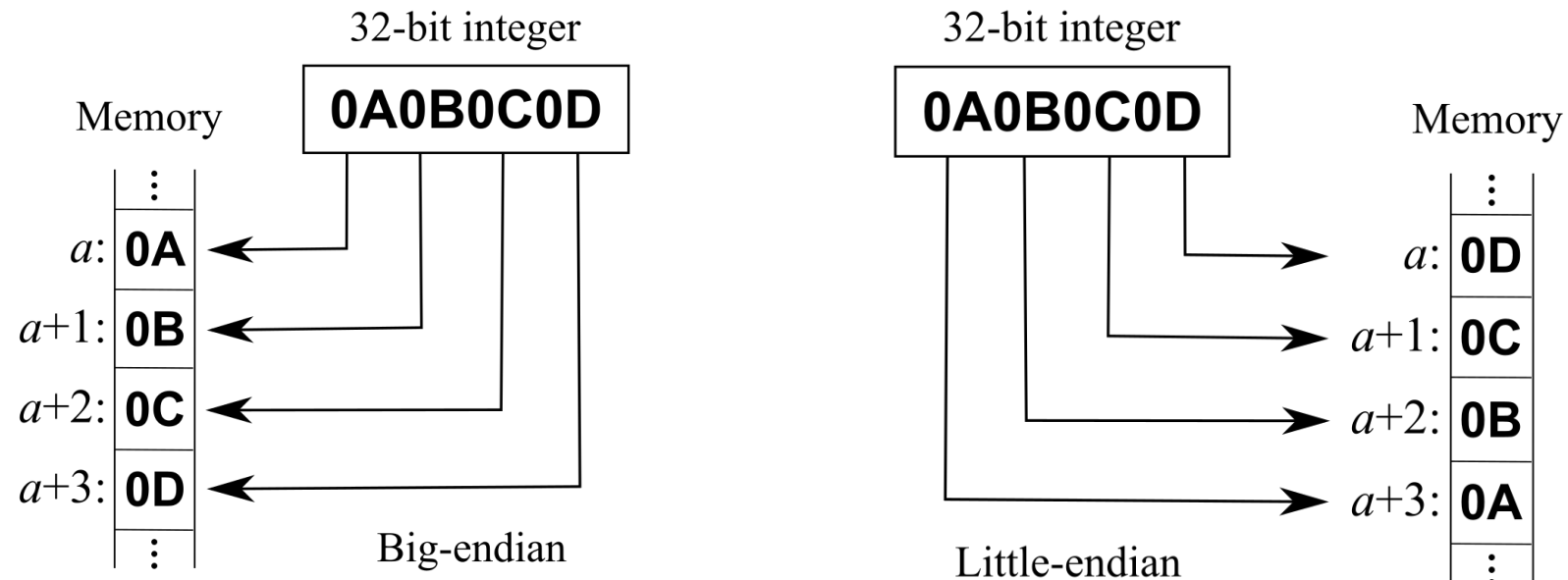


# (2) Fetch First Instruction

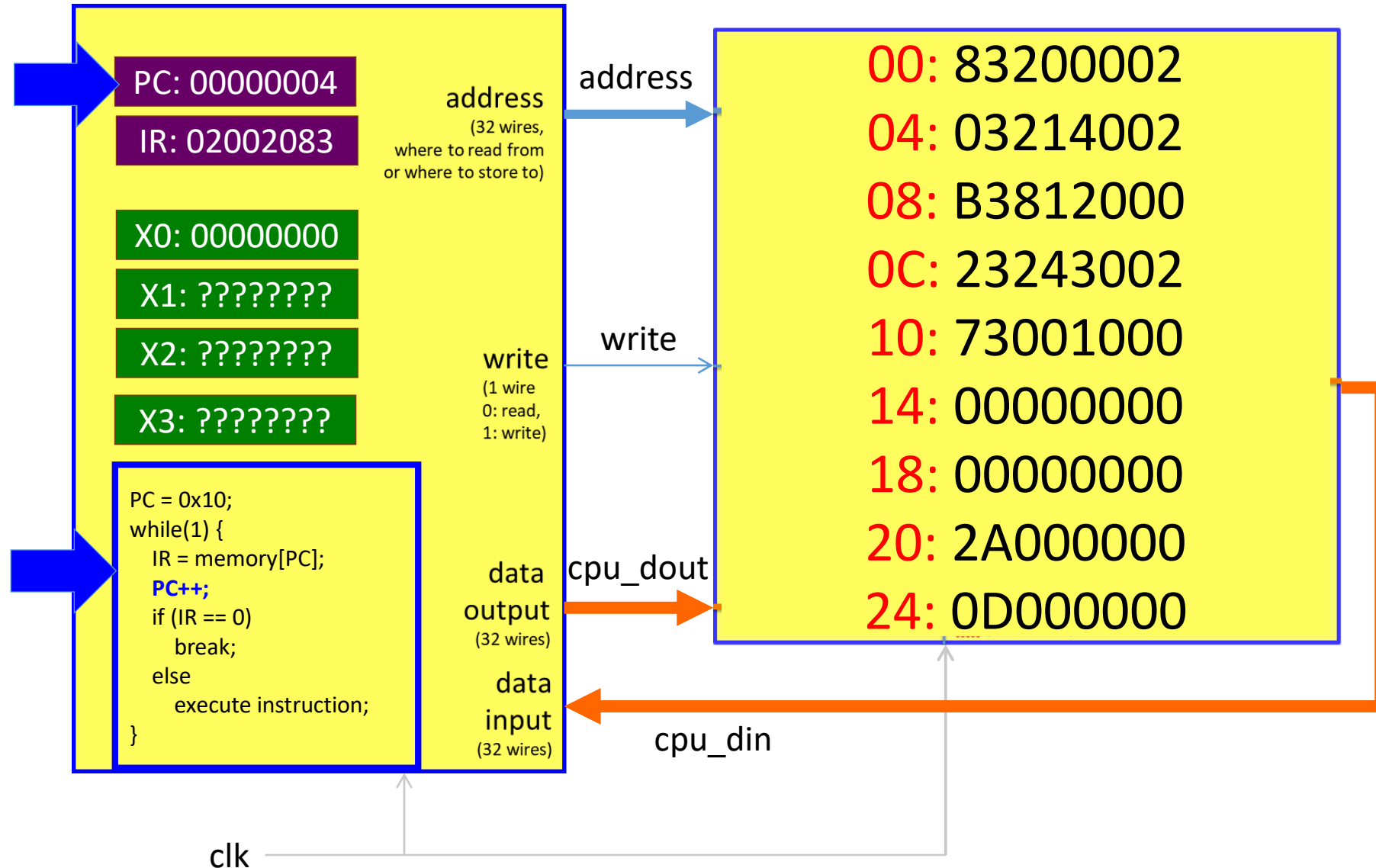


# Note on Endianness

- There are two options for the sequence of storing the bytes of a word in memory:
  - Little endian: least significant byte is at the lowest address
  - Big endian: most significant byte is at lowest address



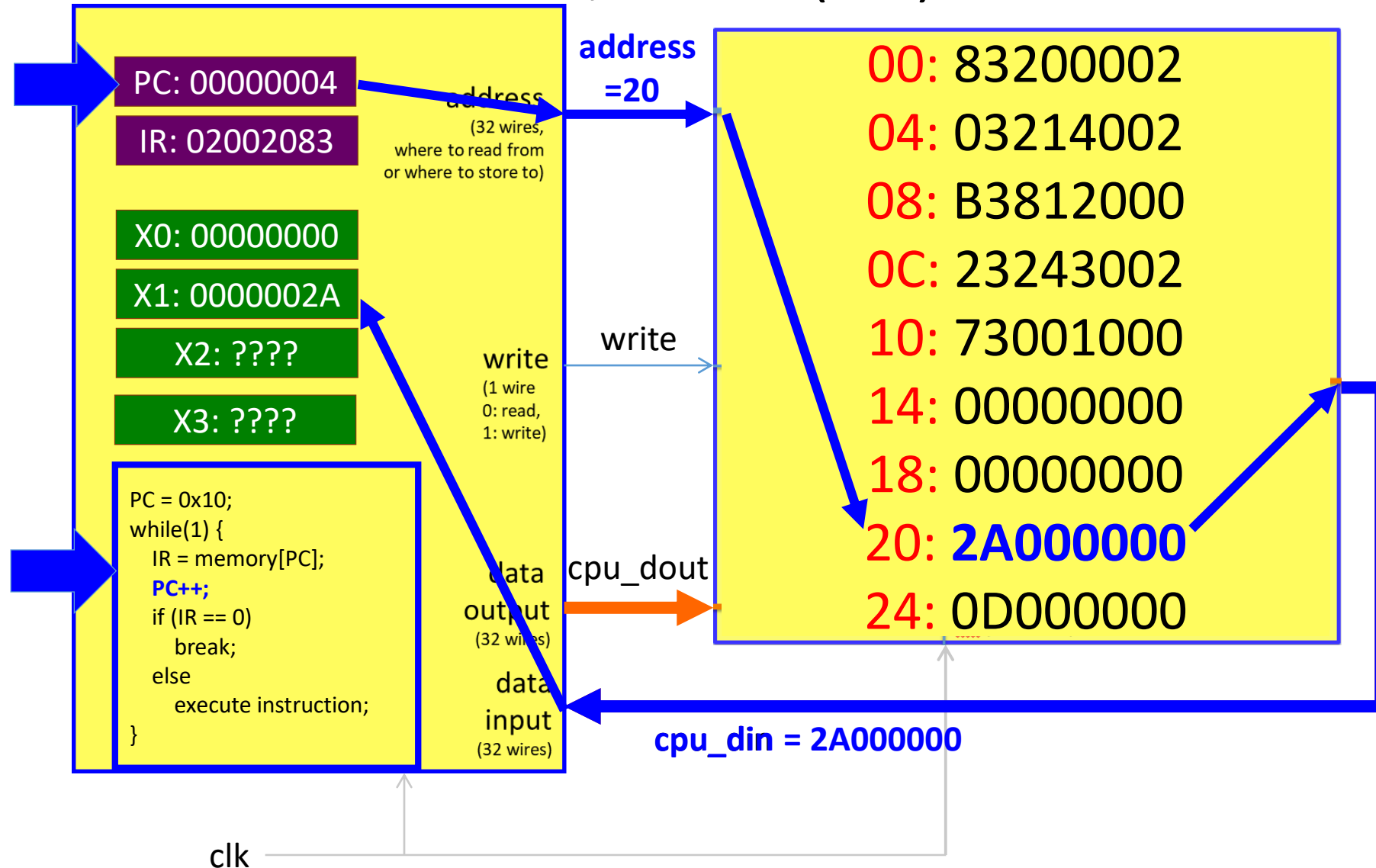
# (3) Increment Value in PC



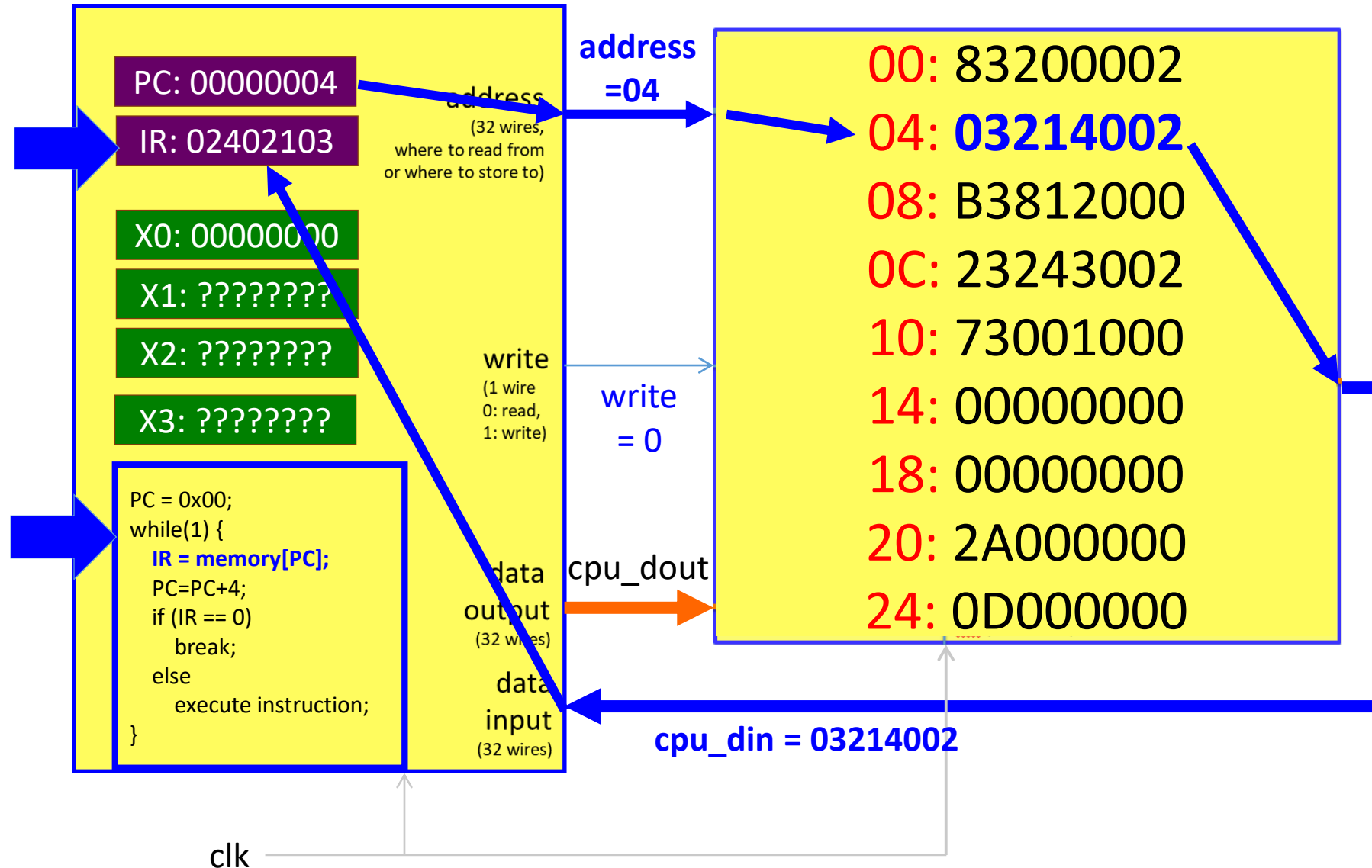


# (4) Decode and Execute Machine Instruction

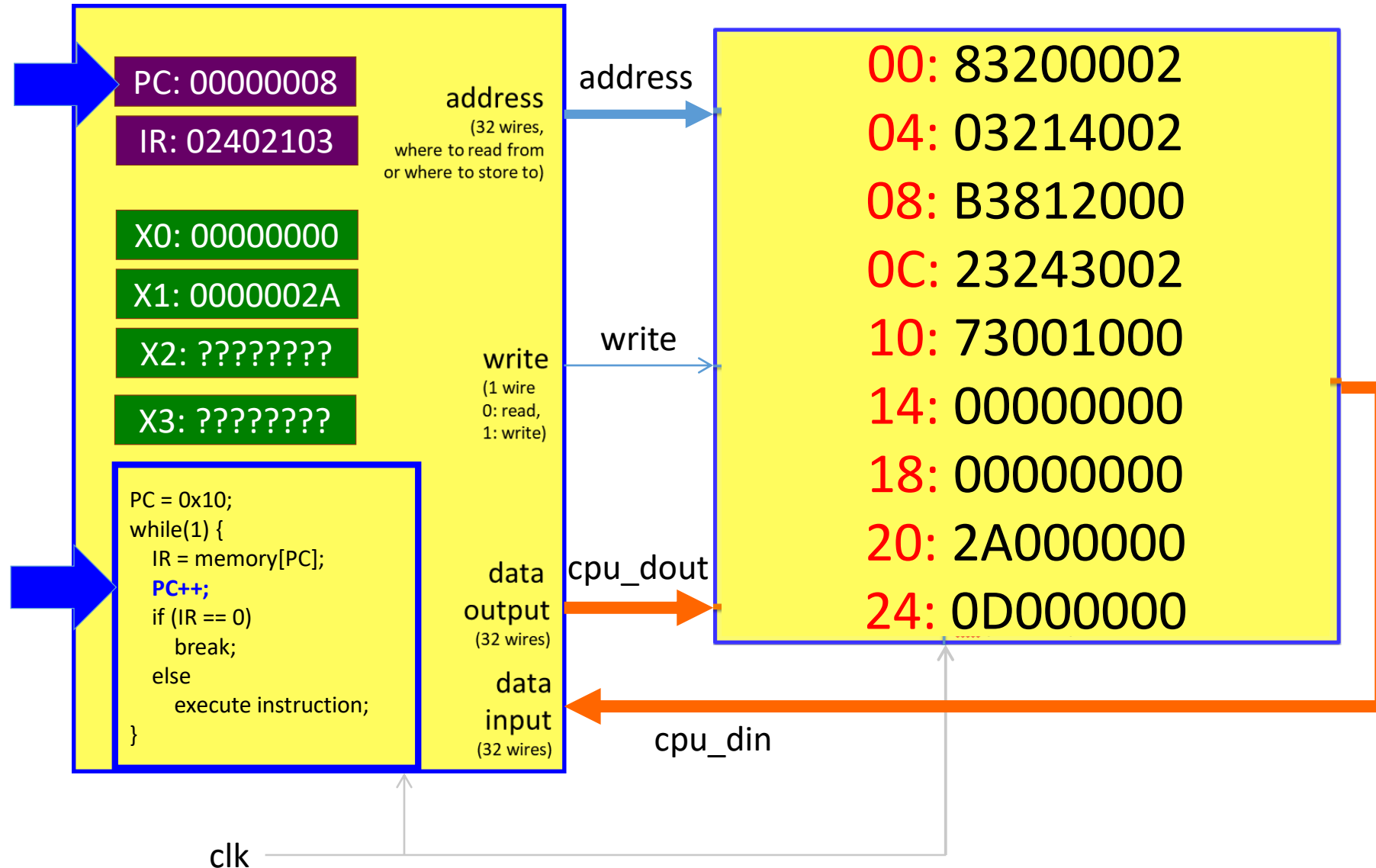
## 0x83200002: LW x1, 0x20(x0)



# (5) Fetch Second Instruction

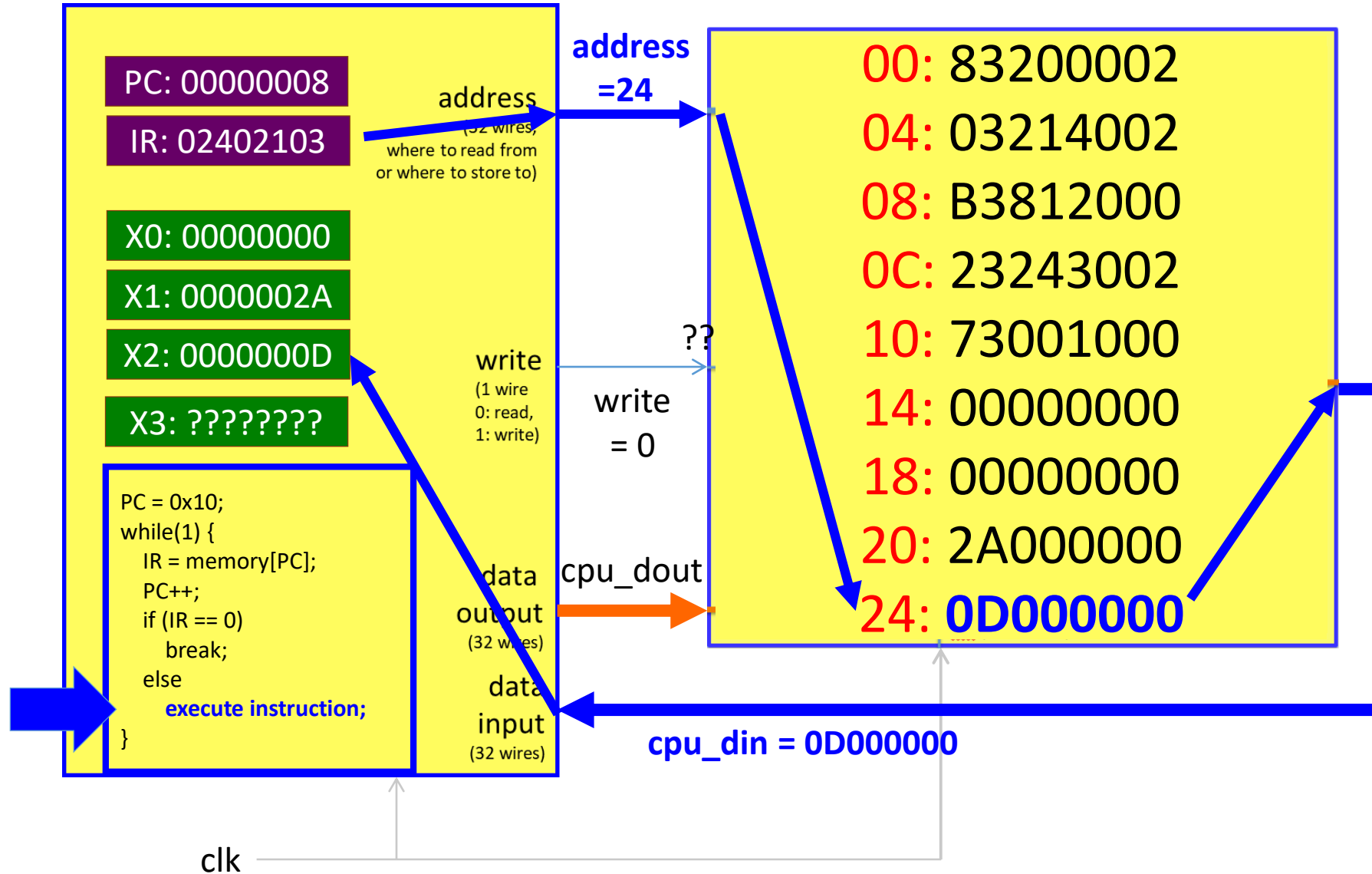


# (6) Increment value in PC

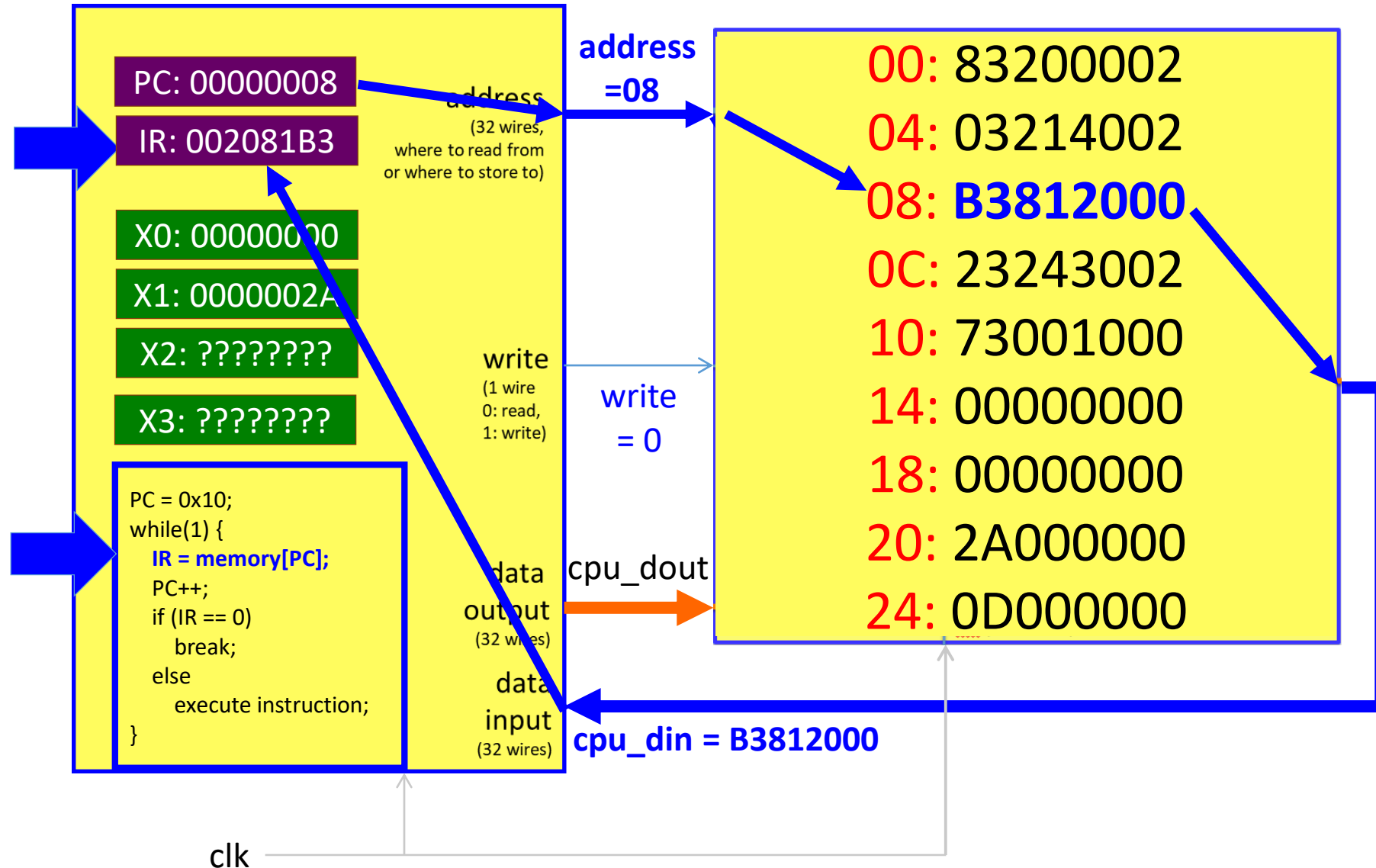


# (7) Decode and Execute Machine Instruction

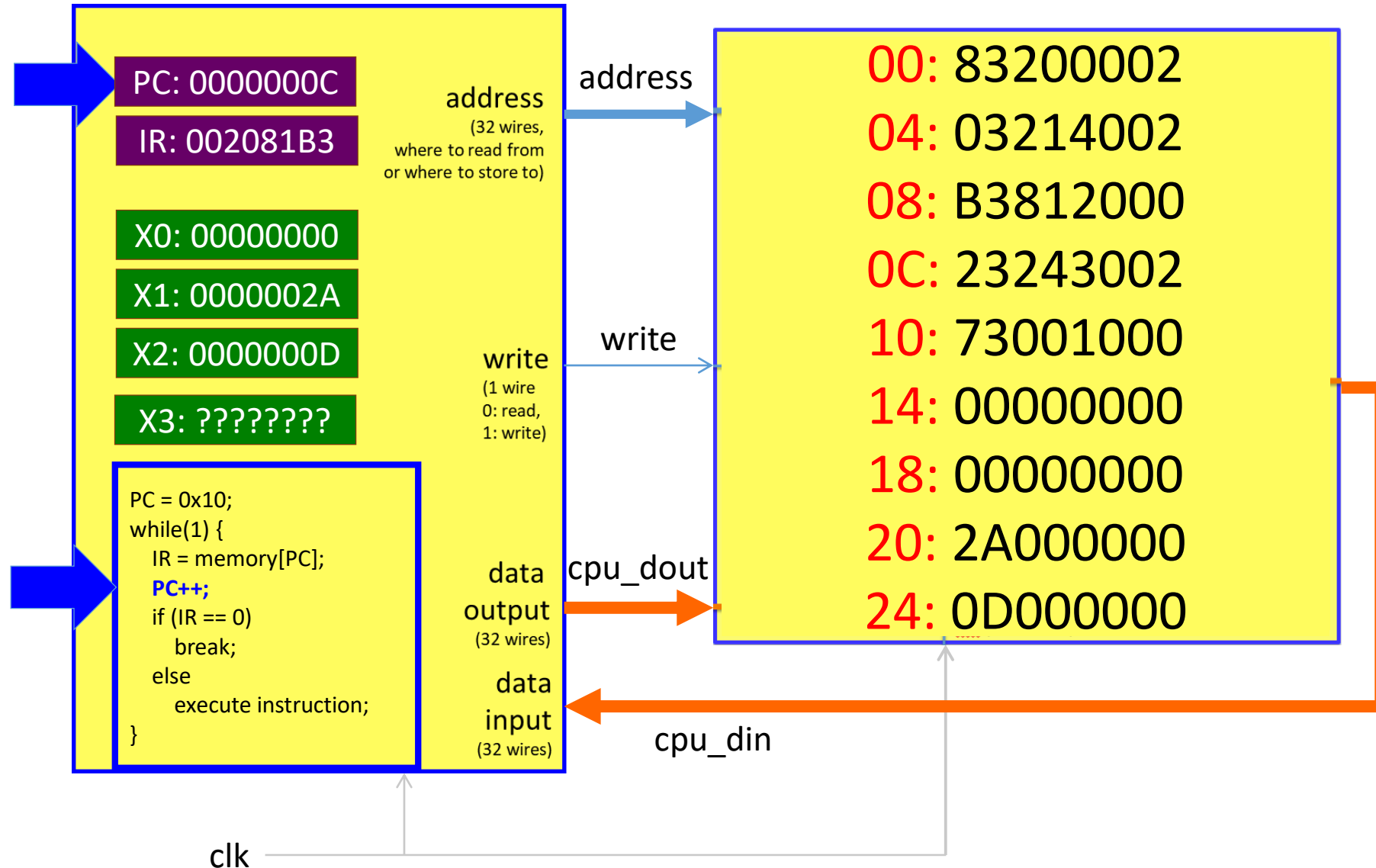
## 0x03214002 : LW x2, 0x24(x0)



# (8) Fetch third instruction



# (9) Increment value in PC



# (10) Decode and Execute Machine Instruction

## 0x03214002 : ADD x3, x1, x2

