

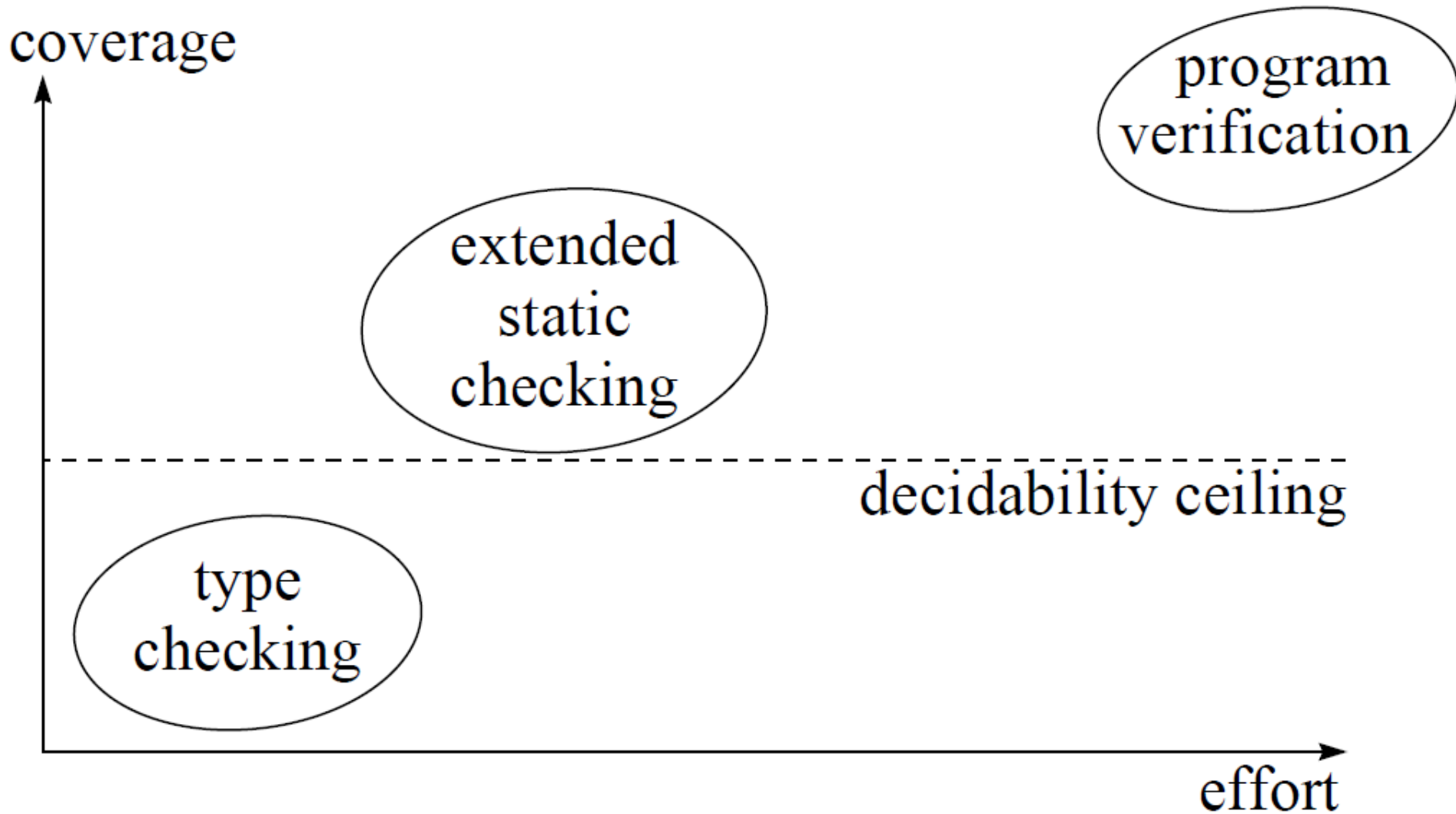
# Extended Static Checking

based on:

C. Flanagan, K. R. M. Leino, M. Lillibridge, G. Nelson, J. B. Saxe, R. Stata,  
Extended Static Checking for Java, *Programming Language Design and  
Implementation (PLDI'02)*, pp. 234-245, 2002

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# Dynamic or Static?

## Dynamic

- Run time

Eraser, locktree, purify,  
tests,...

## Static

- Compile time

Extended Static Checking,  
Model Checking, ...

# JML

```
public class BankingExample {
    public static final int MAX_BALANCE = 1000;
    //@ public invariant balance >= 0 && balance <= MAX_BALANCE;
    private int balance;

    //@ assignable balance;
    //@ ensures balance == 0;
    public BankingExample() {
        this.balance = 0;
    }

    //@ requires 0 < amount && amount < MAX_BALANCE - balance;
    //@ assignable balance;
    //@ ensures balance == \old(balance) + amount;
    public void credit(final int amount) {
        this.balance += amount;
    }
    ...
}
```

What is checked when?

Adapted from wikipedia article on JML,  
[http://en.wikipedia.org/wiki/Java\\_Modeling\\_Language](http://en.wikipedia.org/wiki/Java_Modeling_Language)



# Checking JML

## Dynamic

During runtime

OpenJML

What is the point in runtime verification?

## Static

During compile time

ESC/Java (or OpenJML?)

Finds your bugs during compile time

*Well, some bugs.*

# What is Static Checking?

## Static Checking

- Checks during compile time, not run time
- Localizes proofs to methods, not entire programs
- Help from user: annotations per method

## Examples of properties

- Null pointer dereferences
- Array bounds

# Pro & Con

## Pro

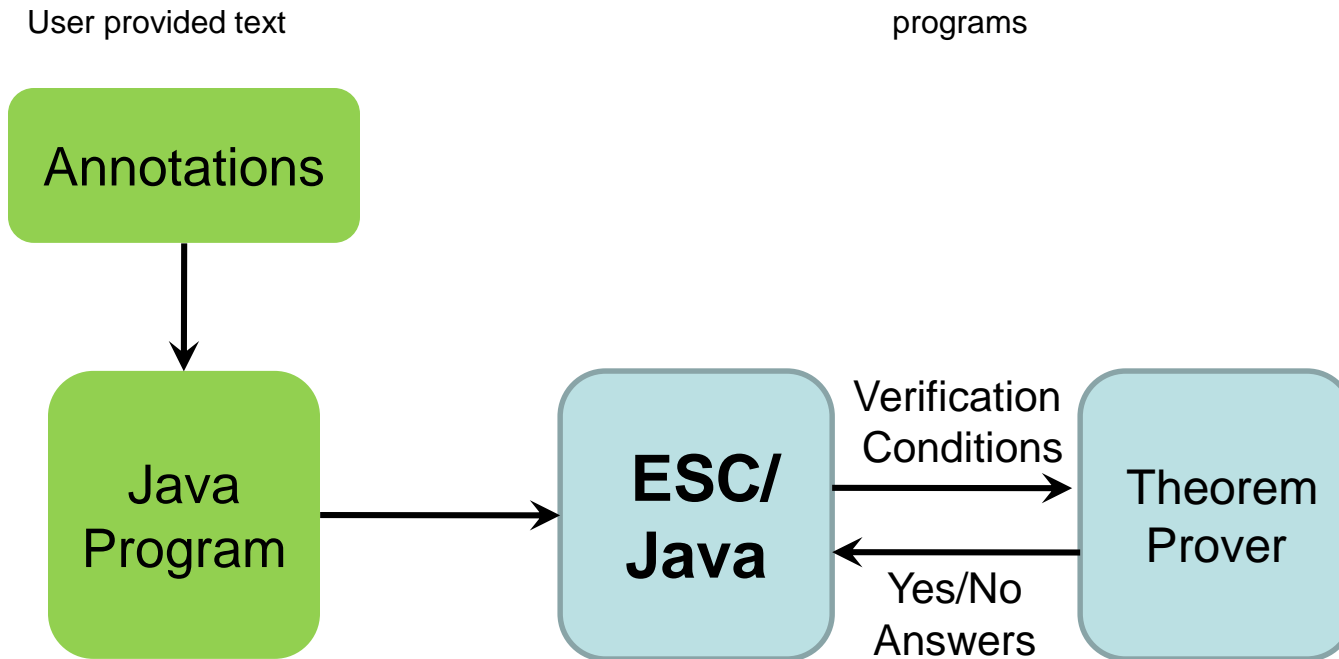
- Independent of program size
- Systematically excludes bugs
  - Caveat: may not be perfect
- Handles more types of bugs than type checking

## Con

- Requires significant user effort (depending on program size)
- Can only check relatively simple properties



# Architecture



# Soundness & Completeness

- **Soundness:** no incorrect programs are deemed correct by the tool (all errors are found)
- **Completeness:** A correct program is deemed correct by the tool (no spurious errors)

ESC/Java is neither sound nor complete

```
1: class Bag{
2:   int size;
3:   int[] elts; //valid:elts[0..size-1]
4:
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
9:     size);
10:   }
11:   int extractMin(){
12:     int min = Integer.MAX_VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i <= size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
20:     size--;
21:     elts[minIndex]= elts[size];
22:     return min;
23:   }
24: }
```

# Example

```
1: class Bag{
2:   int size;
3:   int[] elts; //valid:elts[0..size-1]
4:
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
9:     size);
10:  }
11:  int extractMin(){
12:    int min = Integer.MAX VALUE;
13:    int minIndex = 0;
14:    for (int i= 0; i <= size ; i++){
15:      if (elts[i] < min){
16:        min = elts[i];
17:        minIndex = i;
18:      }
19:    }
20:    size--;
21:    elts[minIndex]= elts[size];
22:    return min;
23:  }
24: }
```

## Example

```
Bag.java:6: Warning: Possible null
dereference (Null)
size = input.length;
           ^
Bag.java:15: Warning: Possible null
dereference (Null)
if (elts[i] < min) {
    ^
Bag.java:21: Warning: Possible null
dereference (Null)
elts[minIndex] = elts[size];
    ^
Bag.java:15: Warning: Array index
possibly too large (...
if (elts[i] < min) {
    ^
Bag.java:21: Warning: Possible
negative array index (...
elts[minIndex] = elts[size];
    ^
```

```
1: class Bag{
2:   int size;
3:   int[] elts; //valid:elts[0..size-1]
4:
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
9:     size);
10:   }
11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i <= size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
20:     size--;
21:     elts[minIndex]= elts[size];
22:     return min;
23:   }
24: }
```

# Example

**Bag.java:6: Warning: Possible null  
dereference (Null)  
size = input.length;**

^

```
1: class Bag{
2:   int size;
3:   int[] elts; //valid:elts[0..size-1]
4:
5:   4a: //@requires input != null
6:   Bag(int[ ] input){
7:     size = input.length;
8:     elts = new int[size];
9:     System.arraycopy(input,0,elts,0,
10:    size);
11:   }
12:
13:   int extractMin(){
14:     int min = Integer.MAX VALUE;
15:     int minIndex = 0;
16:     for (int i= 0; i <= size ; i++){
17:       if (elts[i] < min){
18:         min = elts[i];
19:         minIndex = i;
20:       }
21:     }
22:     size--;
23:     elts[minIndex]= elts[size];
24:     return min;
25:   }
26: }
```

# Example

```
Bag.java:6: Warning: Possible null
dereference (Null)
size = input.length;
                ^
```

```
1: class Bag{
2:   int size;
3:   int[] elts; //valid:elts[0..size-1]
4:
4a:  //@requires input != null
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
   size);
9:   }
10:
11:  int extractMin(){
12:    int min = Integer.MAX VALUE;
13:    int minIndex = 0;
14:    for (int i= 0; i <= size ; i++){
15:      if (elts[i] < min){
16:        min = elts[i];
17:        minIndex = i;
18:      }
19:    }
20:    size--;
21:    elts[minIndex]= elts[size];
22:    return min;
23:  }
24: }
```

## Example

```
Bag.java:15: Warning: Possible null
dereference (Null)
```

```
if (elts[i] < min) {
      ^
```

```
Bag.java:21: Warning: Possible null
dereference (Null)
```

```
elts[minIndex] = elts[size];
      ^
```

```
1: class Bag{
2:   int size;
3:   /*@non_null*/ int[] elts;
   //valid:elts[0..size-1]
4:
5a:  //@requires input != null
6:   Bag(int[ ] input){
7:     size = input.length;
8:     elts = new int[size];
9:     System.arraycopy(input,0,elts,0,
   size);
10:  }
11:  int extractMin(){
12:    int min = Integer.MAX VALUE;
13:    int minIndex = 0;
14:    for (int i= 0; i <= size ; i++){
15:      if (elts[i] < min){
16:        min = elts[i];
17:        minIndex = i;
18:      }
19:    }
20:    size--;
21:    elts[minIndex]= elts[size];
22:    return min;
23:  }
24: }
```

# Example

Bag.java:15: Warning: Possible null dereference  
(Null)

```
if (elts[i] < min) {
    ^
```

Bag.java:21: Warning: Possible null dereference  
(Null)

```
elements[minIndex] = elts[size];
    ^
```



```
1: class Bag{
2:   int size;
3:   /*@non_null*/ int[] elts;
   //valid:elts[0..size-1]
4:
5a:  //@requires input != null
6:   Bag(int[ ] input){
7:     size = input.length;
8:     elts = new int[size];
9:     System.arraycopy(input,0,elts,0,
   size);
10:  }
11:  int extractMin(){
12:    int min = Integer.MAX VALUE;
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22:    return min;
23:  }
24: }
```

## Example

```
Bag.java:15: Warning: Array index
possibly too large (...
```

```
if (elts[i] < min) {
      ^
```

```
Bag.java:21: Warning: Possible
negative array index (...
```

```
elts[minIndex] = elts[size];
      ^
```

```
1: class Bag{
2:   int size;
3a:   //@ invariant 0<=size &&
      size<=elts.length
4:   /*@non_null*/ int[] elts;
      //valid:elts[0..size-1]
5:
6a:   //@requires input != null
7:   Bag(int[ ] input){
8:     size = input.length;
9:     elts = new int[size];
10:    System.arraycopy(input,0,elts,0,
11:    size);
12:  }
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16:    int minIndex = 0;
17:    for (int i= 0; i <= size ; i++){
18:      if (elts[i] < min){
19:        min = elts[i];
20:        minIndex = i;
21:      }
22:    }
23:    size--;
24:    elts[minIndex]= elts[size];
25:    return min;
26:  }
27: }
```

# Example

```
Bag.java:15: Warning: Array index
possibly too large (...
```

```
if (elts[i] < min) {
      ^
```

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Bag.java:21: Warning: Possible
negative array index (...
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elts[minIndex] = elts[size];
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```
1: class Bag{
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4:   /*@non_null*/ int[] elts;
      //valid:elts[0..size-1]
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
9:       size);
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11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i <= size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
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19:     }
20:     size--;
21:     elts[minIndex]= elts[size];
22:     return min;
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```

## Example

```
Bag.java:15: Warning: Array index
possibly too large (...
```

```
if (elts[i] < min) {
      ^
```

```
Bag.java:21: Warning: Possible
negative array index (...
```

```
elts[minIndex] = elts[size];
                        ^
```

```
1: class Bag{
2:   int size;
3a:   //@ invariant 0<=size &&
      size<=elts.length
4:   /*@non_null*/ int[] elts;
      //valid:elts[0..size-1]
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6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
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## Example

```
Bag.java:15: Warning: Array index
possibly too large (...
```

```
if (elts[i] < min) {
      ^
```

```
Bag.java:21: Warning: Possible
negative array index (...
```

```
elts[minIndex] = elts[size];
                        ^
```

# Example

```
1: class Bag{
2:   int size;
2a:   //@ invariant 0<=size &&
      size<=elts.length
3:   /*@non_null*/ int[] elts;
      //valid:elts[0..size-1]
4:
4a:   //@requires input != null
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
      size);
9:   }
10:
11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i < size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
20:     size--;
20a:   if(size>=0)
21:     elts[minIndex]= elts[size];
22:     return min;
23:   }
24: }
```

```
Bag.java:15: Warning: Array index
possibly too large (...
```

```
if (elts[i] < min) {
      ^
```

```
Bag.java:21: Warning: Possible
negative array index (...
```

```
elts[minIndex] = elts[size];
      ^
```

```
1: class Bag{
2:   int size;
2a:   //@ invariant 0<=size &&
      size<=elts.length
3:   /*@non_null*/ int[] elts;
      //valid:elts[0..size-1]
4:
4a:   //@requires input != null
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
      size);
9:   }
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11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i < size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
20:     size--;
20a:   if(size>=0)
21:     elts[minIndex]= elts[size];
22:     return min;
23:   }
24: }
```

# Example

Bag.java:26: Warning: Possible violation of object invariant

}

^

Associated declaration is "Bag.java", line 3, col 6:

```
//@ invariant 0 <= size && size <=
elts.length
```

^

Possibly relevant items from the counterexample context:

brokenObj == this

(brokenObj\* refers to the object for which the invariant is broken.)

```
1: class Bag{
2:   int size;
2a:   //@ invariant 0<=size &&
      size<=elts.length
3:   /*@non_null*/ int[] elts;
      //@valid:elts[0..size-1]
4:
4a:   //@requires input != null
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
      size);
9:   }
10:
11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i < size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
19a:   if(size>0) {
20:     size--;
21:     elts[minIndex]= elts[size];
21a:   }
22:   return min;
23: }
```

# Example

```
Bag.java:26: Warning: Possible
violation of object invariant
```

```
}
```

```
^
```

```
Associated declaration is
"Bag.java", line 3, col 6:
```

```
//@ invariant 0 <= size && size <=
elts.length
```

```
^
```

```
Possibly relevant items from the
counterexample context:
```

```
brokenObj == this
```

```
(brokenObj* refers to the object for
which the invariant
is broken.)
```

## No more warnings

# Specification & Check

## Specification:

```
class XXX {  
  //@ INVARIANT  
  
  //@requires PRE1  
  //@ensures POST1  
  method1 () {  
  
  }  
}
```

## 1. Prove:

{pre1  $\wedge$  invariant}  $\leftarrow$  **assumption**

method1

{post1  $\wedge$  invariant}  $\leftarrow$  **guarantee**

## 2. Prove:

{pre1  $\wedge$  invariant}

method1

{no\_null\_pointer\_dereferences  $\wedge$   
no\_array\_out\_of\_bounds}

(For constructors, the invariant is not part of the assumptions)



```
1: class Bag{
2:   int size;
2a:   //@ invariant 0<=size &&
      size<=elts.length
3:   /*@non_null*/ int[] elts;
      //@valid:elts[0..size-1]
4:
4a:   //@requires input != null
5:   Bag(int[ ] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
size);
9:   }
10:
11:   int extractMin(){
12:     int min = Integer.MAX VALUE;
13:     int minIndex = 0;
14:     for (int i= 0; i < size ; i++){
15:       if (elts[i] < min){
16:         min = elts[i];
17:         minIndex = i;
18:       }
19:     }
19a:   if(size>0) {
20:     size--;
21:     elts[minIndex]= elts[size];
21a:   }
22:   return min;
23: }
24: }
```

# Example

**For line 6, prove:**

{input !=null}

→

{input != null}

**For constructor, prove:**

{input !=null}

size = input.length;

elts = new int[size];

System.arraycopy(input,0,elts,0,size);

{elts != null && 0<=size && size <= elts.length}

# Specification & Check

Specification:

```
class XXX {
  //@ INVARIANT

  //@requires PRE1
  //@ensures POST1
  method1 () {
    body1
    method2 ();
    body12;
  }

  //@requires PRE2
  //@ensures POST2
  method2 () {

  }
}
```

For nested functions you must prove

- $PRE2 \wedge INVARIANT$  using  $PRE1 \wedge INVARIANT$
- $POST1 \wedge INVARIANT$  using  $PRE1 \wedge POST2 \wedge INVARIANT$

```
1. //@requires a != null
2. //@requires 0<=i && i < a.size-1
3. f(int[] a; int i){
4.     j = g(i);
5.     a[j] = 1;
6. }
7.
8. //@requires true
9. //@ensures true
10.g(int i){
11.     return i+1;
12. }
```

# Example: Modular Proof

**For f, prove:**

```
{a != null && 0 ≤ i < a.size - 1}
```

implies

```
{true}
```

and then

This part stays because g  
does not touch a or i

```
{a != null && 0 ≤ i < a.size - 1}
```

implies

```
{j < a.size}
```

**This fails.**

```
1. //@ requires a != null
2. //@requires 0<=i && i < a.size-1
3. f(int[] a; int i){
4.     j = g(i);
5.     a[j] = 1;
6. }
7.
8. //@requires true
9. //@ ensures \result = \old i + 1
10. int g(int i){
11.     return i+1;
12. }
```

# Example: Modular Proof

**For f, prove:**

$\{a \neq \text{null} \ \&\& \ 0 \leq i < a.\text{size} - 1\}$

implies

$\{\text{true}\}$

and then

$\{a \neq \text{null} \ \&\& \ 0 \leq i < a.\text{size} - 1 \ \&\& \ j = i + 1\}$

implies

$\{j < a.\text{size}\}$

**This succeeds, which shows the need for annotations.**

# Simplification: Loop Unrolling

- Loops are hard: unrolling
- Proper unrolling: The following three programs are identical:

1. `while(c) { s1 } s2`

2. `if(c) {  
 s1;  
 while(c) {  
 s1  
 }  
}`  
`s2`

1. `if(c) {  
 s1;  
 if(c) {  
 s1;  
 while(c) {  
 s1  
 }  
 }  
}`  
`s2`

Proper unrolling gives an equivalent program (but does not make it simpler)

# Simplification: Loop Unrolling

- Loops are hard: unrolling
- Limited unrolling (ESCJava)

```
while(c){ s1 }; s2;
```
- Example: depth 1

```
if (c) {  
    s1;  
    if (c) {  
        assume (false)  
    }  
}  
s2
```

- depth 2

```
while(c){ s1 }; s2; becomes  
if (c) {  
    s1;  
    if (c) {  
        assume (false)  
    }  
}  
s2
```

assume false means “all further assertions can be assumed true”

Correctness is proven if loop is traversed 0, 1, or 2 times (set unrolling using –loop i)

**No checks for runs that go through loop more often!**

# Loop Unrolling Effects

This program is deemed **correct** by ESCJava!

```
class Loop {
    Loop() {
        int i = 0;

        while(i < 100){
            i++;
        }
        //@ assert 4<3;
    }
}
```

This program is deemed correct by ESCJava (unless you call ESCJava using –loop 2 or bigger)

```
import java.util.Random;

class Loop {
    Loop() {
        int i = 0;
        Random r = new Random();

        while(i < 100){
            i++;
            if(r.nextInt(2)>0) break;
        }
        //@ assert i < 2;
    }
}
```

# Loop Unrolling: Effects

This program is deemed correct by  
ESCJava

```
import java.util.Random;

class Loop {
    Loop() {
        int i = 0;
        Random r = new Random();

        while(i < 100){
            i++;
            //if(r.nextInt(2)>0) break;
        }
        //@ assert 4<3;
    }
}
```

This program is deemed correct by  
ESCJava

```
import java.util.Random;

class Loop {
    Loop() {
        int i = 0;
        Random r = new Random();

        while(i < 100){
            i++;
            //if(r.nextInt(2)>0) break;
        }
        //@ assert 4<3;
    }
}
```



# Loop Unrolling: Effects

This program is deemed correct by  
ESCJava

```
import java.util.Random;

class Loop {
    Loop() {
        int i = 0;
        Random r = new Random();

        while(i < 100){
            i++;
            //if(r.nextInt(2)>0) break;
        }
        //@ assert 4<3;
    }
}
```

This program is deemed correct by  
ESCJava

```
import java.util.Random;

class Loop {
    Loop() {
        int i = 0;
        Random r = new Random();

        while(i < 100){
            i++;
            //if(r.nextInt(2)>0) break;
        }
        //@ assert 4<3;
    }
}
```

# Example: Loop unrolling

```
1: class Bag{
2:   int size;
2a:   //@ invariant 0<=size &&
      size<=elts.length
3:   /*@non_null*/ int[] elts;
      //@valid:elts[0..size-1]
4:
4a:   //@requires input != null
5:   Bag(int[] input){
6:     size = input.length;
7:     elts = new int[size];
8:     System.arraycopy(input,0,elts,0,
size);
9:   }
10:
11:  int extractMin(){
12:    int min = Integer.MAX VALUE;
13:    int minIndex = 0;
14:    for (int i= 0; i < size ; i++){
15:      if (elts[i] < min){
16:        min = elts[i];
17:        minIndex = i;
18:      }
19:    }
19a:  if(size>0) {
20:    size--;
21:    elts[minIndex]= elts[size];
21a:  }
22:    return min;
23:  }
24: }
```

**For line 21, prove:**

```
{0<=size && size <= elts.length}
min = Integer.MAX VALUE;
minIndex = 0;
i = 0;
if(i < size){
  if (elts[i] < min){
    min = elts[i];
    minIndex = i;
  }
  if(elts[i] < min)
    assume(false);
}
size--;
{0<=minIndex<=elts.length &&
0<=size<=elts.length}
```

**This proof fails!**